AWSOTAUG

Amazon FreeRTOS Over-The-Air Updates using i.MX RT1060

Rev. 4 — 10 January 2024 User guide

Document information

Information	Content
Keywords	OTAP, AWS, AWS services, Amazon FreeRTOS, Over The Air, RT1060-EVK SDK
Abstract	This document lists the steps to configure AWS services to make an Amazon FreeRTOS Over The Air Update using NXPs RT1060-EVK SDK

1 Overview

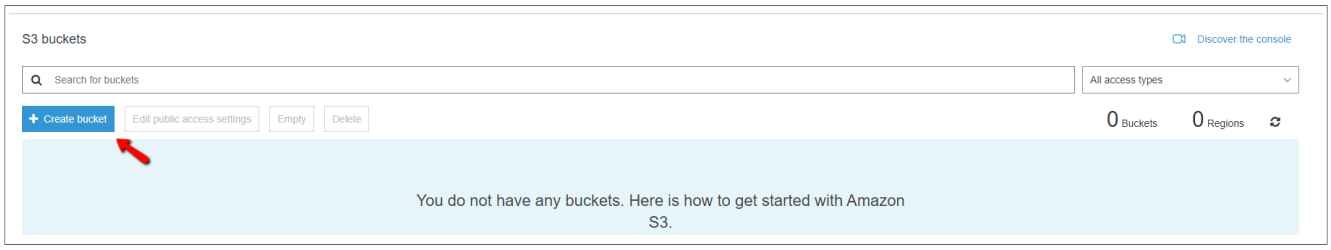
This guide walks through the steps to configure AWS services to make an Amazon FreeRTOS Over The Air Update using NXPs RT1060-EVK SDK. First, it creates an IAM role with OTA update, S3, IoT policies, and permissions. Then, using OpenSSL and AWS CLI commands, a code signing certificate is issued. Finally, it shows how to create an IoT thing with the code signing certificate with an OTA job.

Note: *The figures used in the document might be slightly different due to background changes by Amazon.*

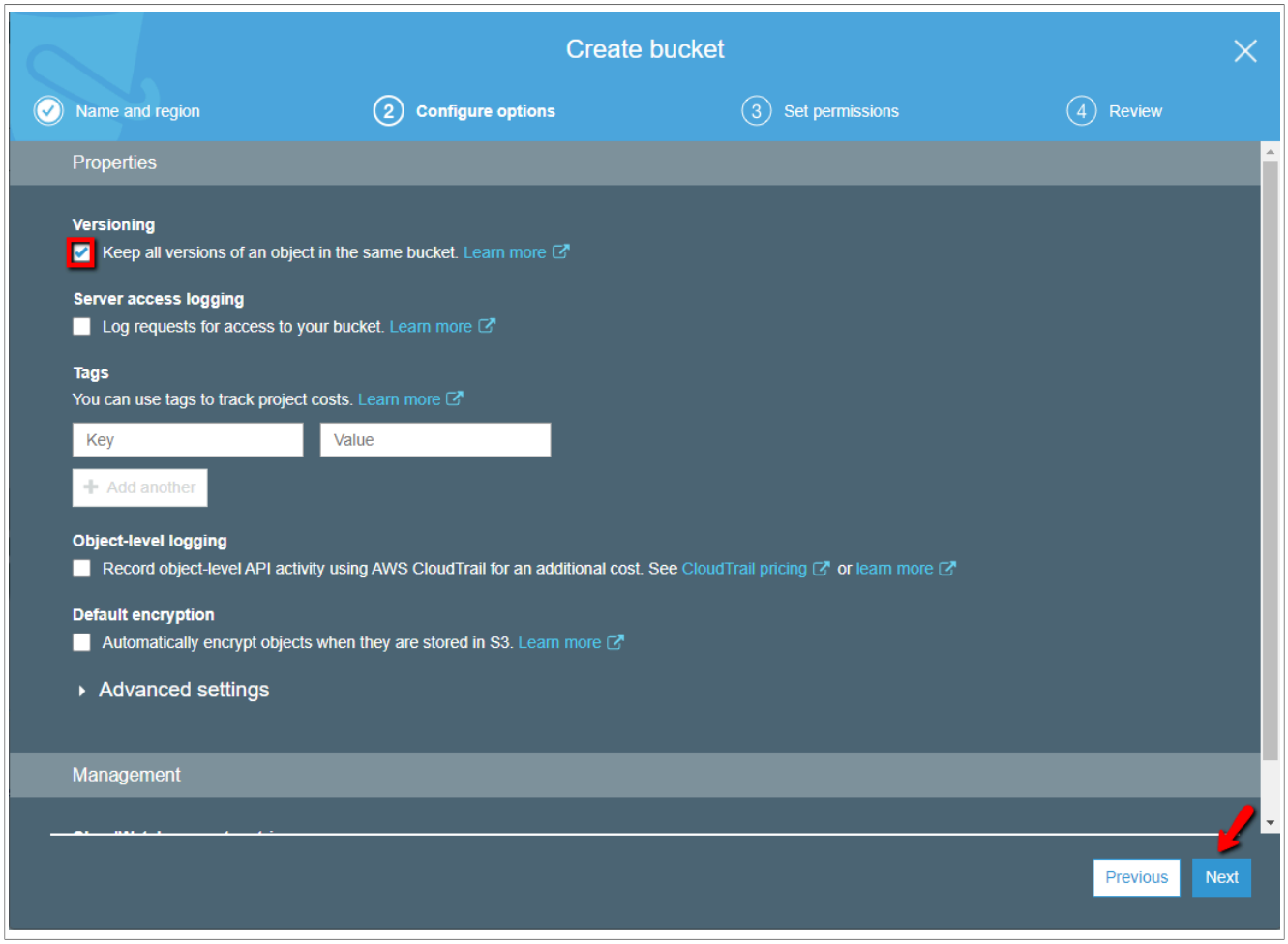
2 AWS OTA prerequisites

2.1 Create an Amazon S3 bucket and store your update

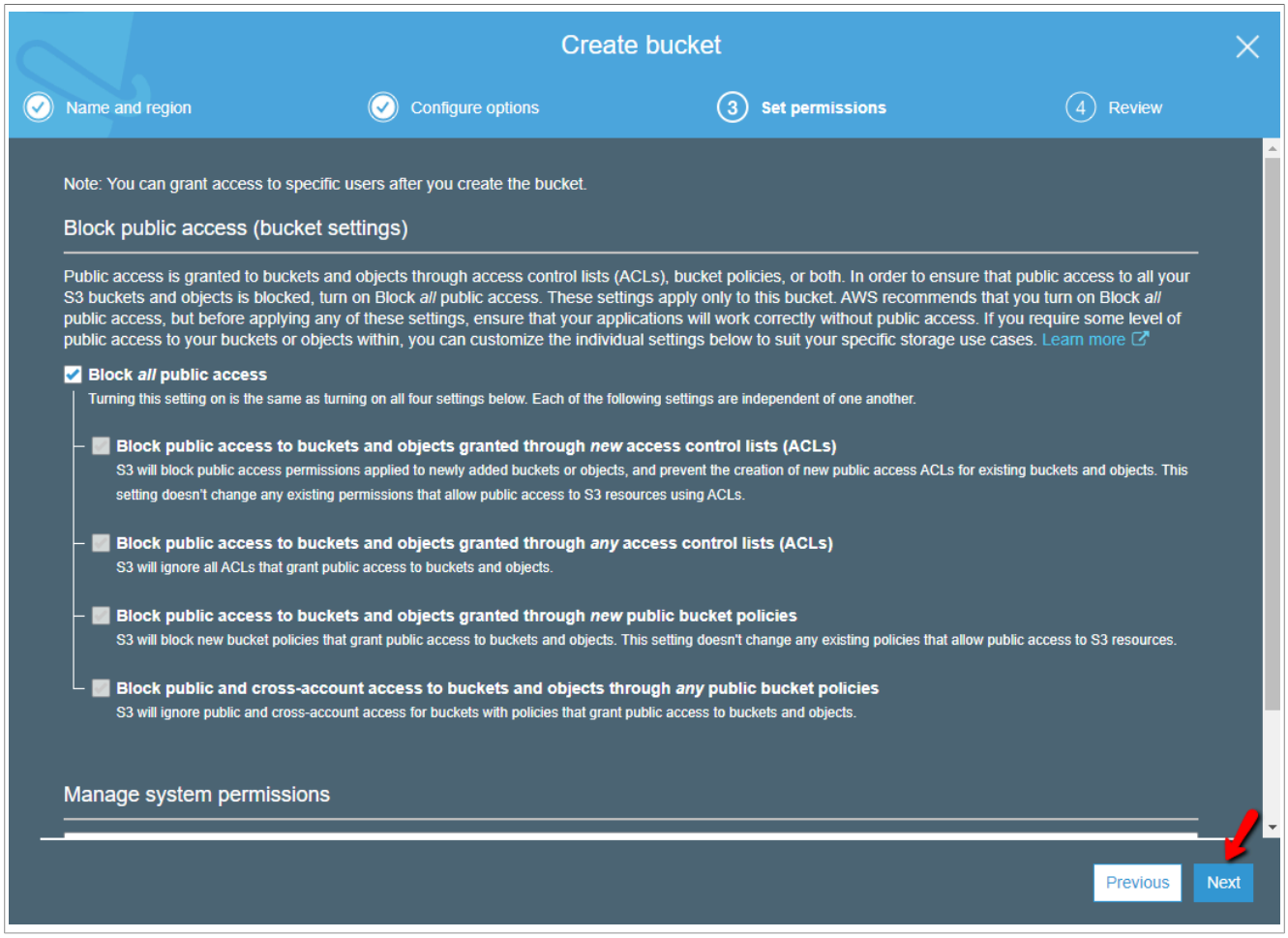
1. Go to the https://console.aws.amazon.com/s3/.
2. Choose **Create bucket**.



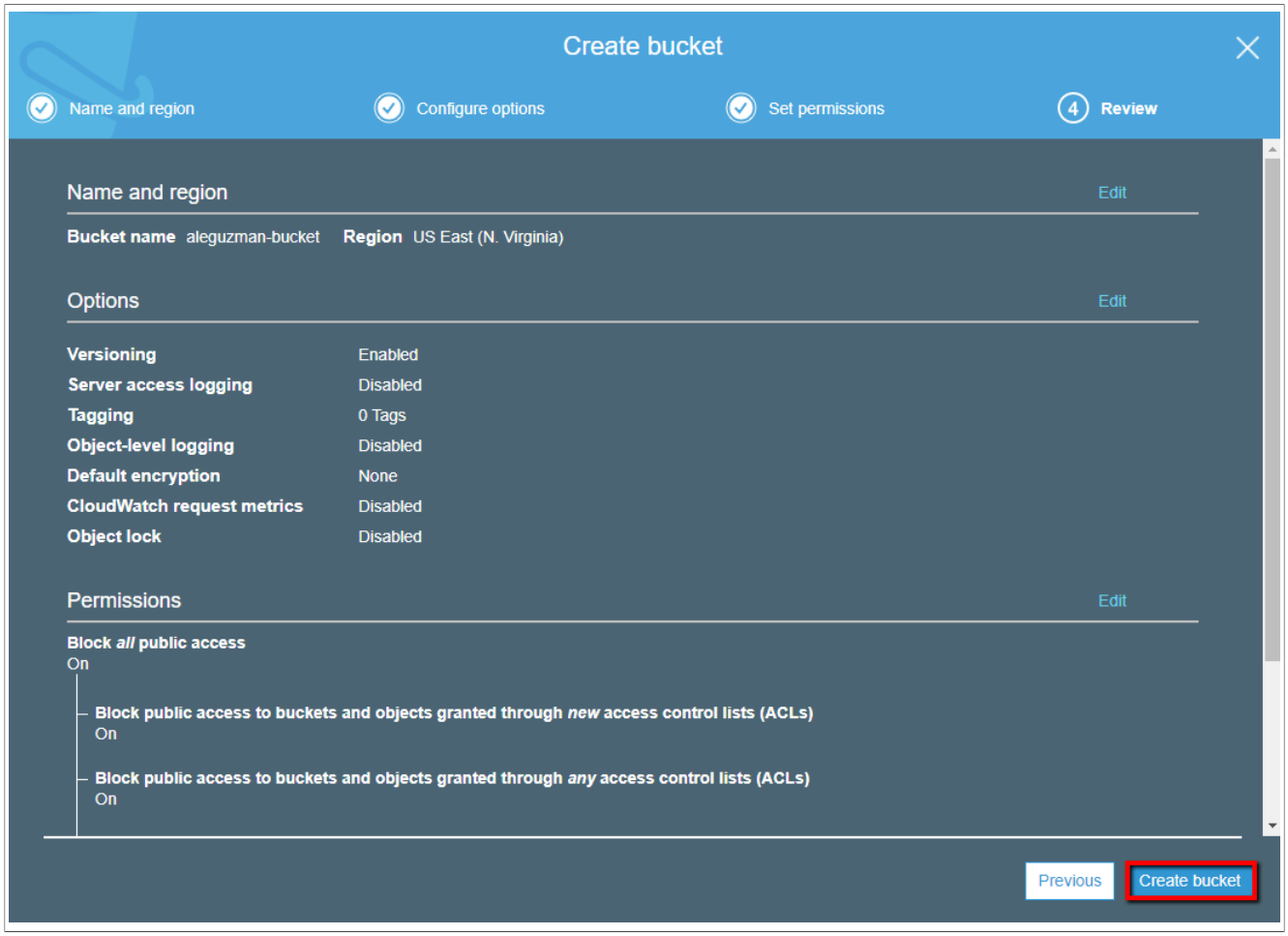
3. Type a bucket name, and then choose **Next**.
4. Select **Versioning** to keep all versions in the same bucket, and then choose **Next**.



5. Choose **Next** to accept the default permissions.



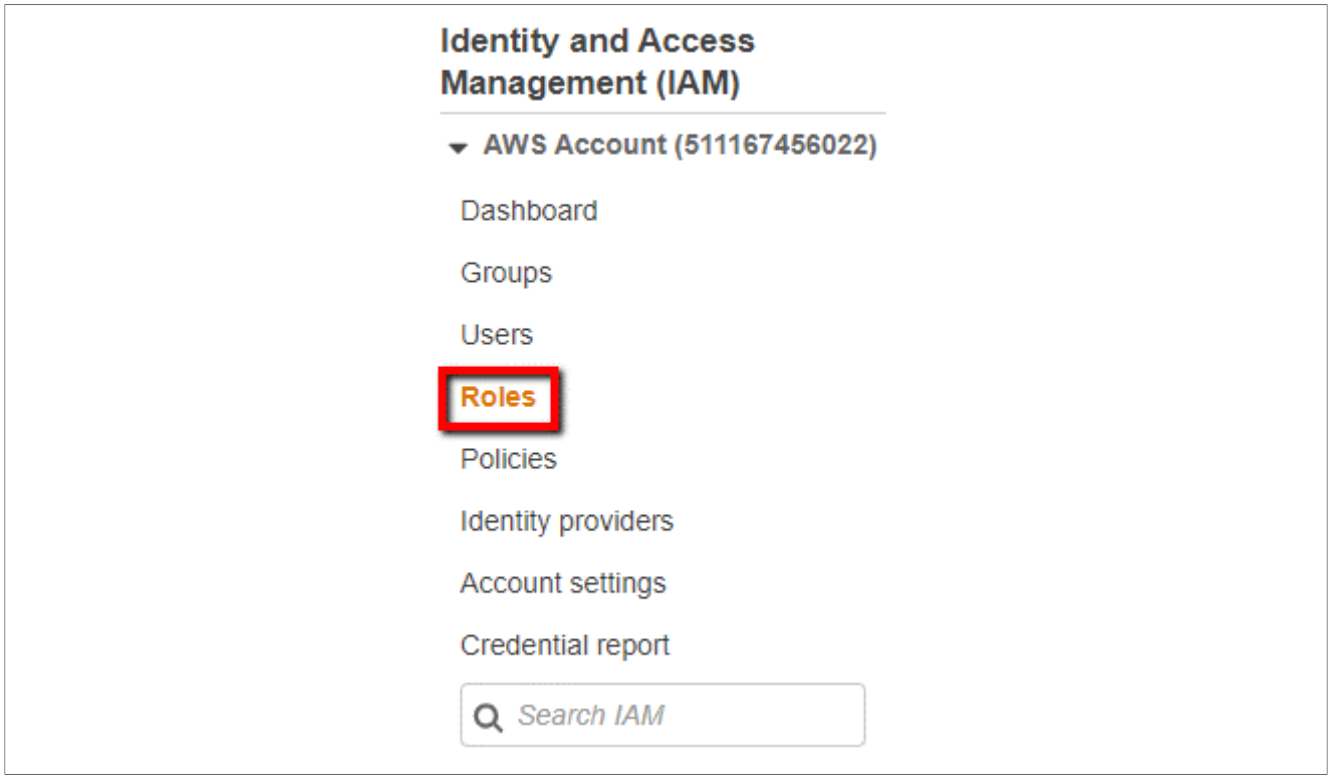
6. Choose **Create bucket**.



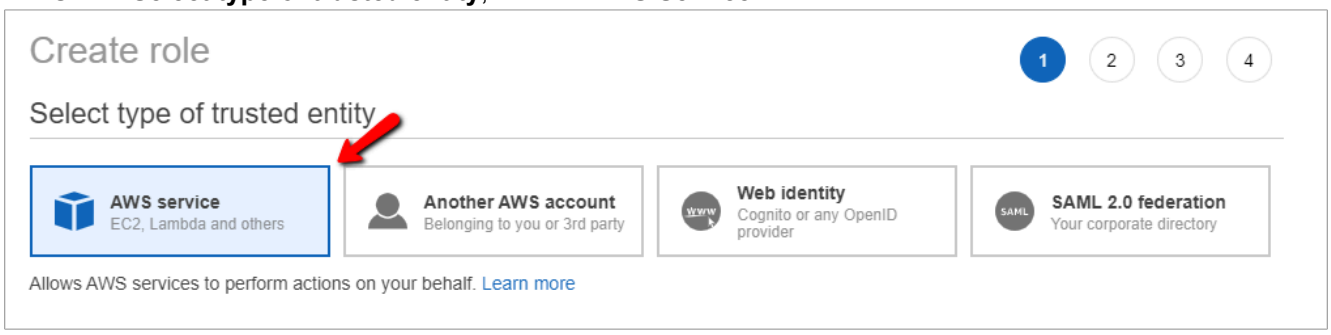
2.2 Create an OTA update service role

2.2.1 Create an OTA service role

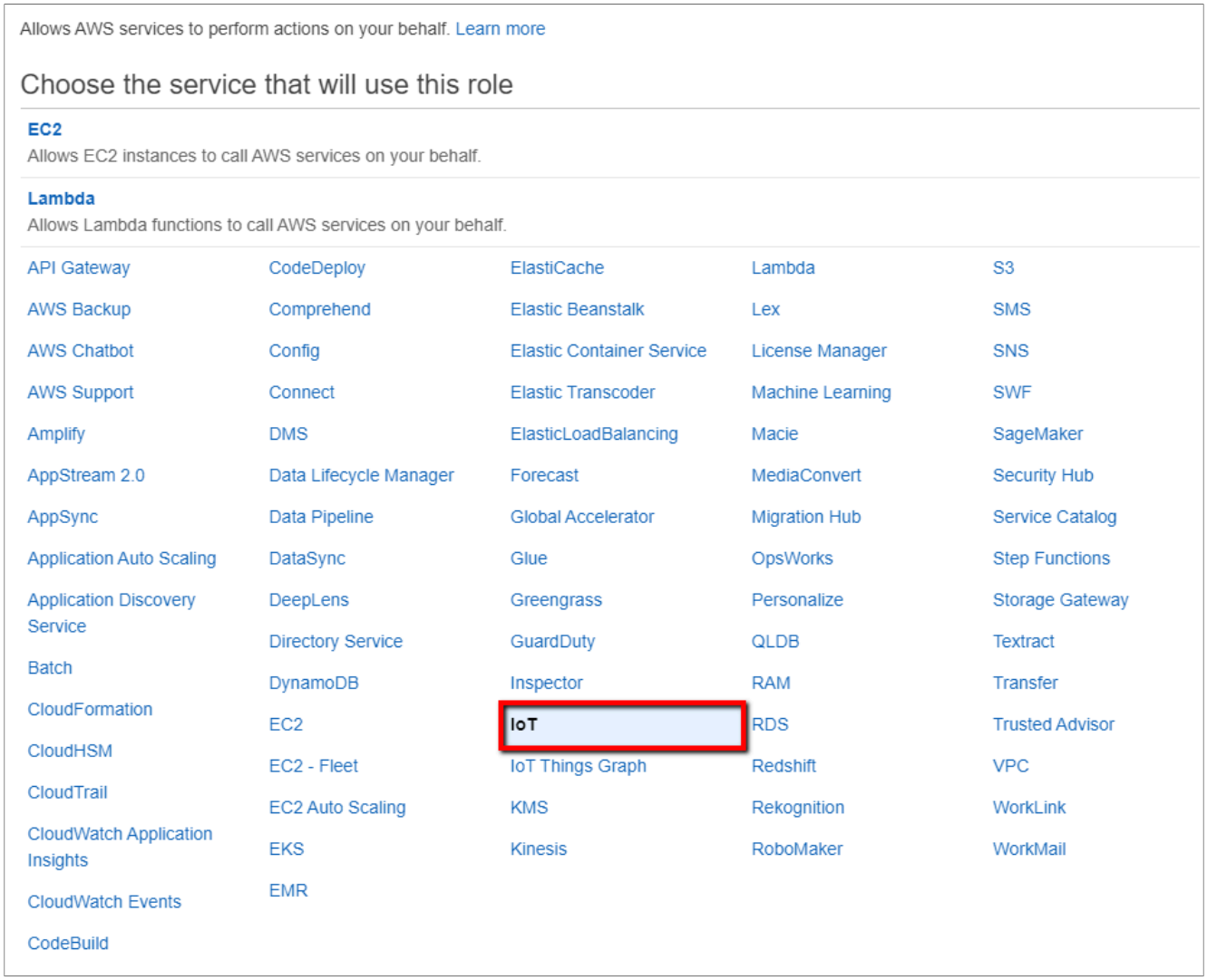
1. Sign in to the https://console.aws.amazon.com/iam/.
2. From the navigation pane, choose **Roles**.



3. Choose to **Create role**.
4. Under **Select type of trusted entity**, choose **AWS Service**.

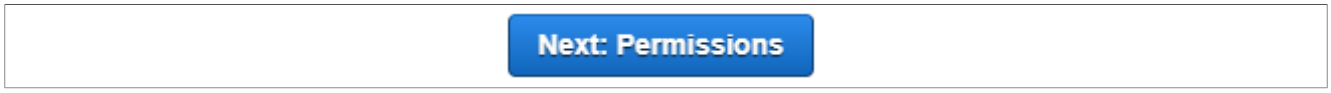


5. Choose **IoT** from the list of AWS services.

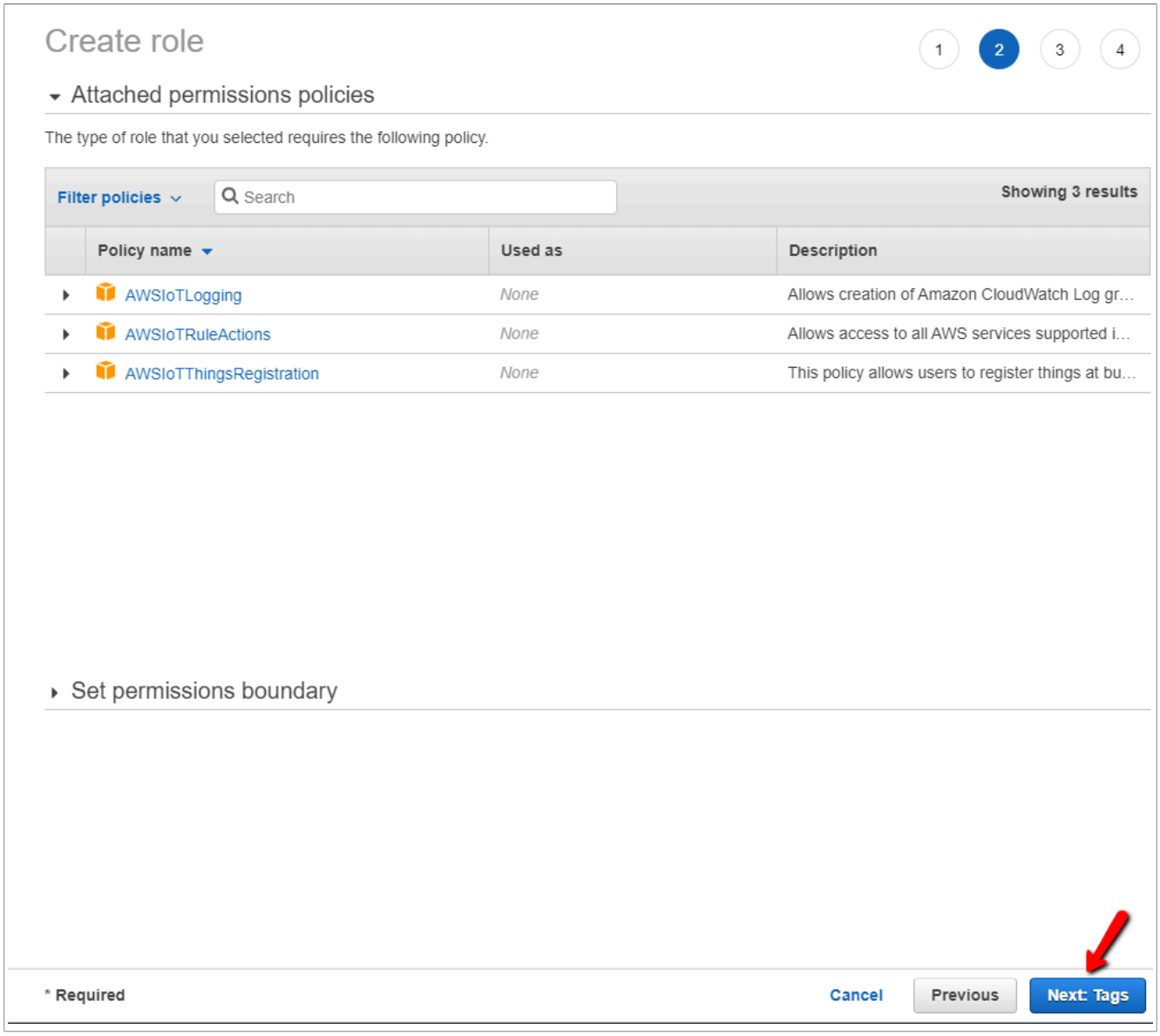


6. Under **Select your use case**, choose **IoT**.

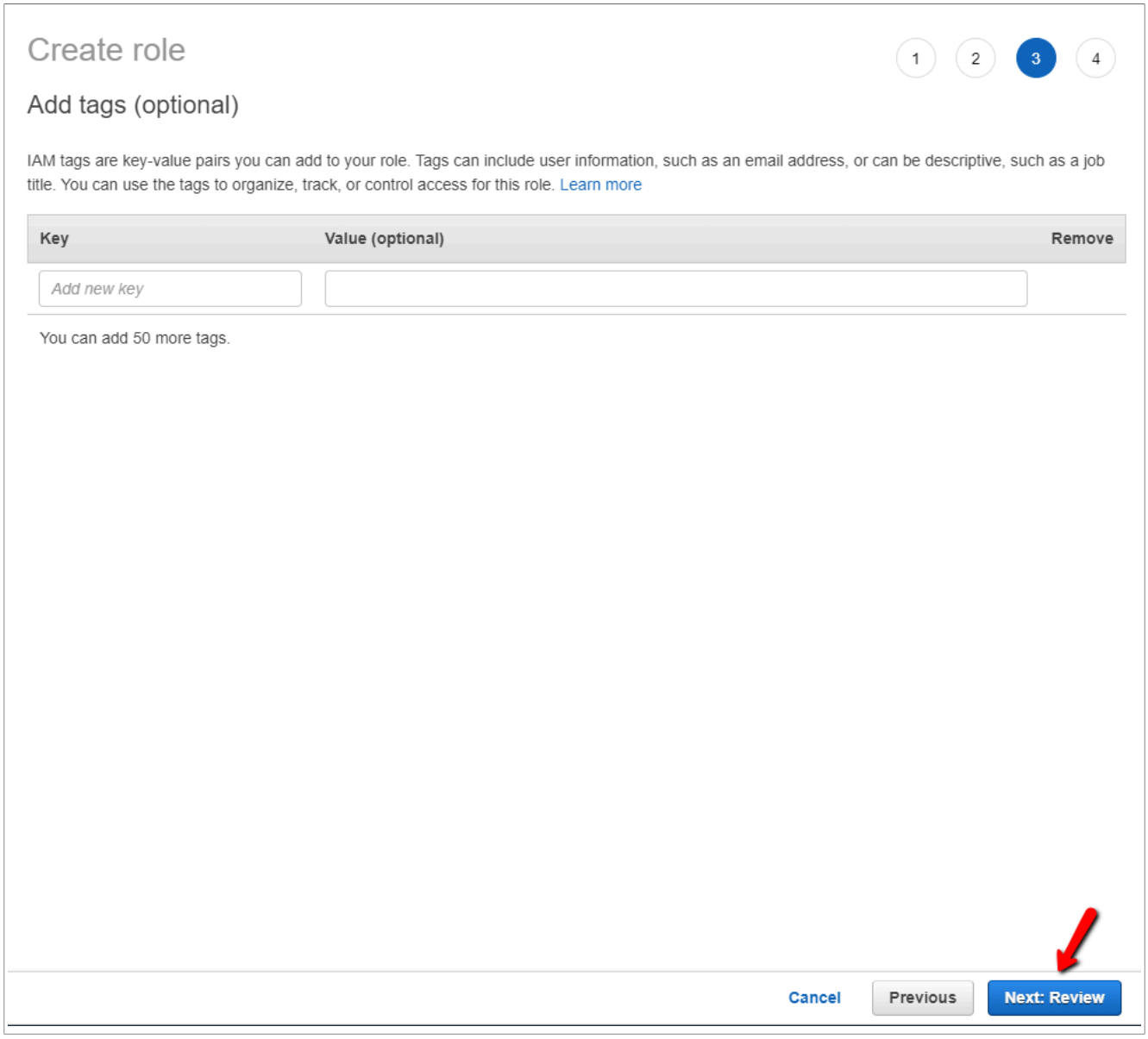
7. Choose **Next: Permissions**.



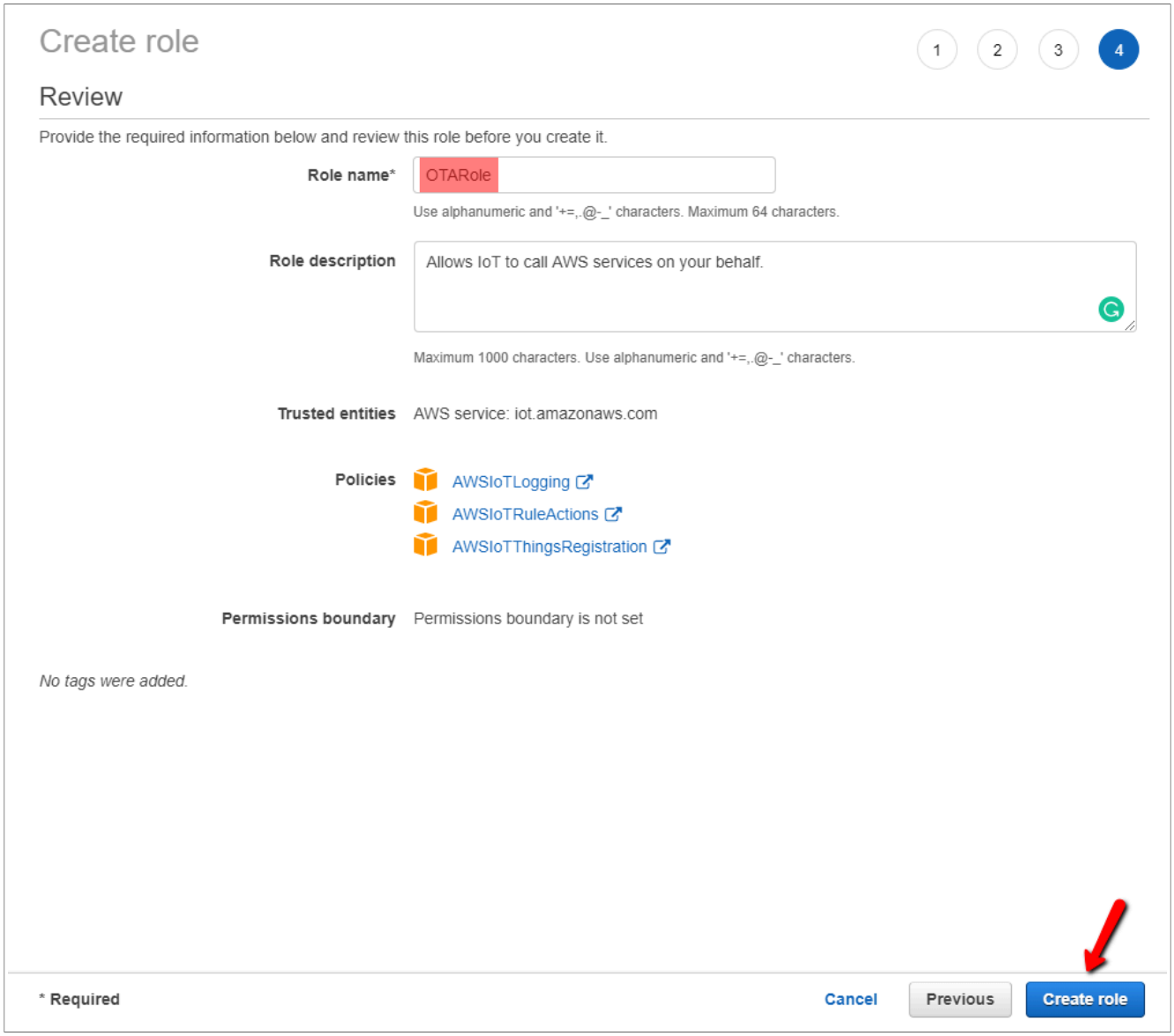
8. Choose **Next: Tags**.



9. Choose **Next: Review**.

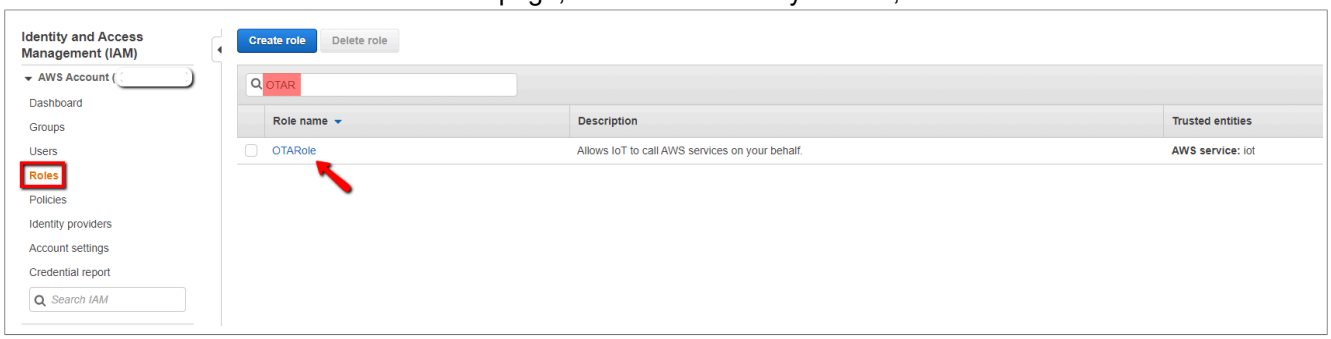


10. Enter a role name and description and then choose to **Create role**.

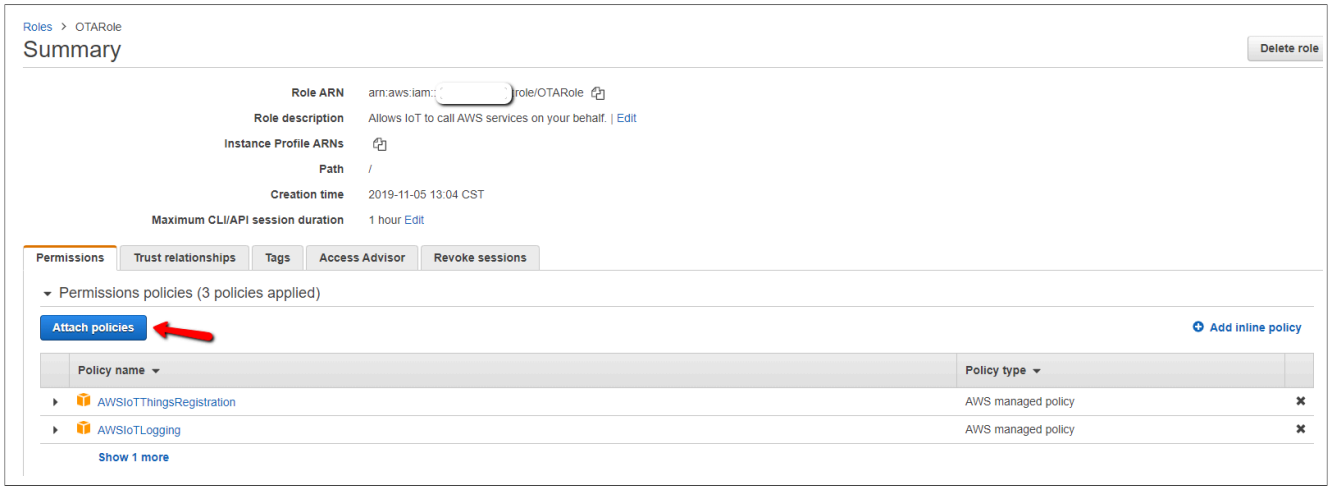


2.2.2 To add OTA update permissions to your OTA service role

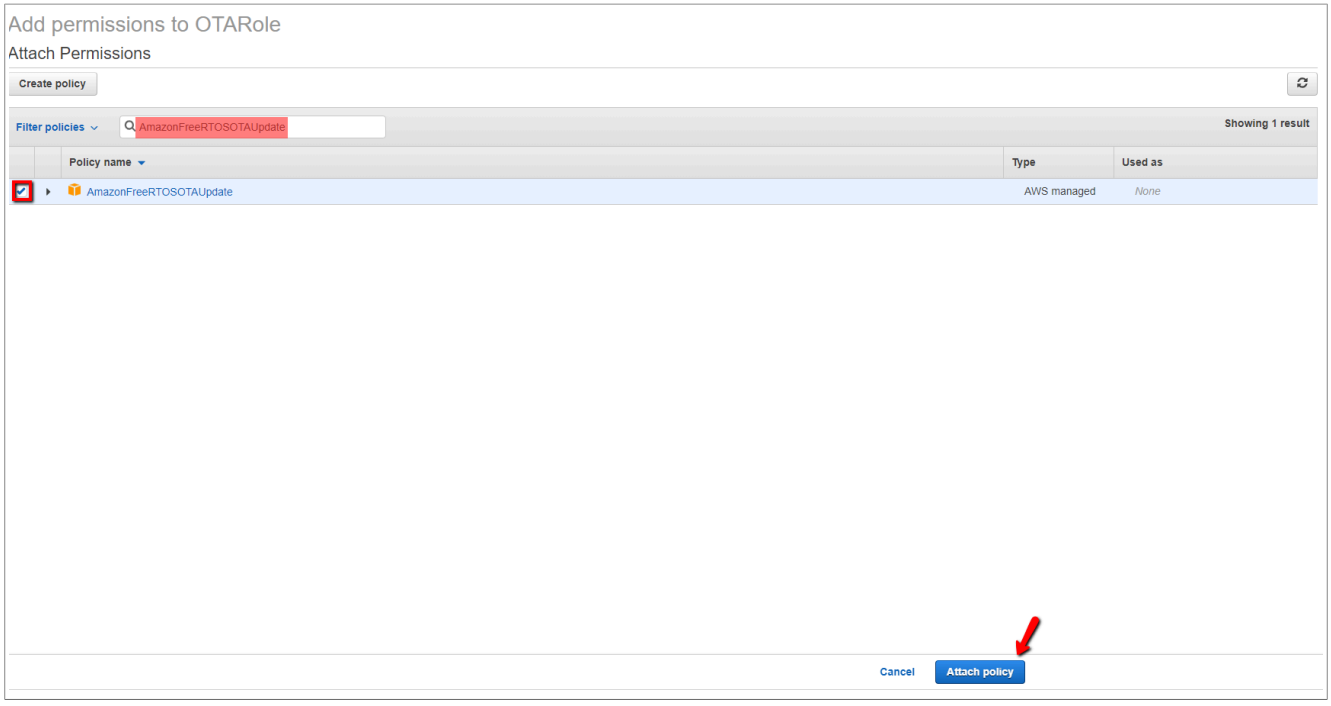
1. In the search box on the IAM console page, enter the name of your role, and then choose it from the list.



2. Choose **Attach policies**.

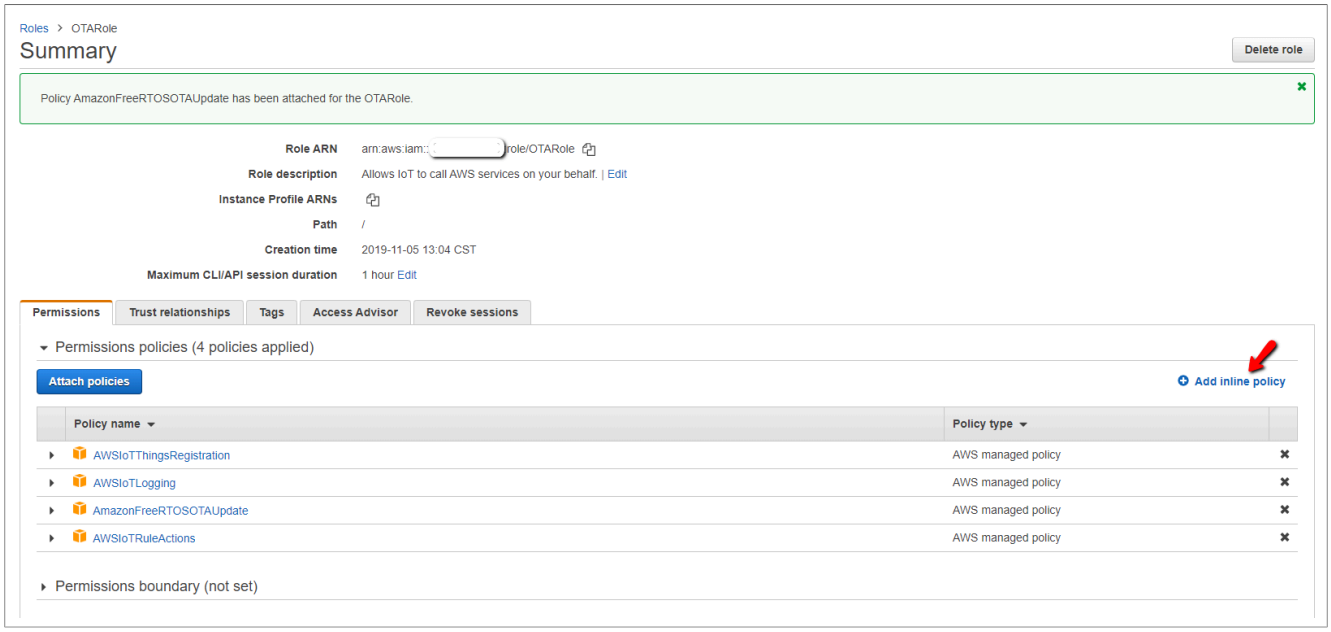


3. In the **Search** box, enter **AmazonFreeRTOSOTAUpdate**, select **AmazonFreeRTOSOTAUpdate**.
4. From the list of filtered policies, and then choose **Attach policy** to attach the policy to your service role.



2.2.3 To add the required IAM permissions to your OTA service role

1. Choose **Add inline policy**.

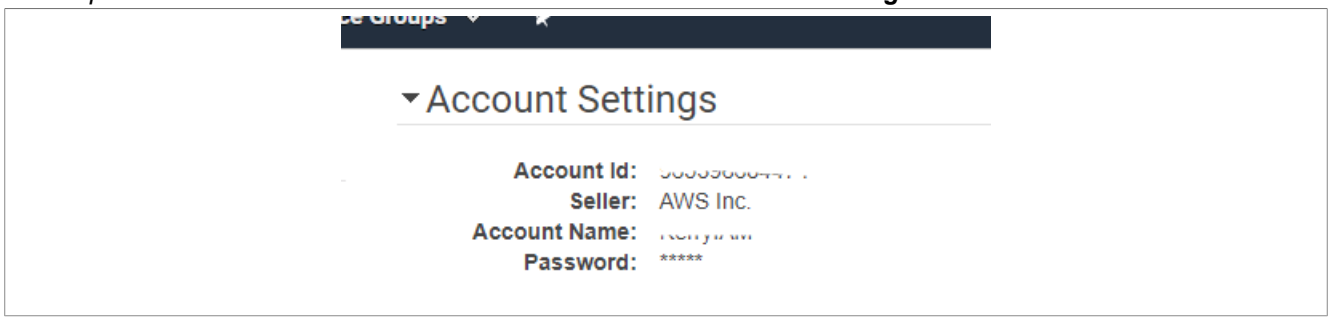


2. Choose the **JSON** tab.
3. Copy and paste the following policy document into the text box:

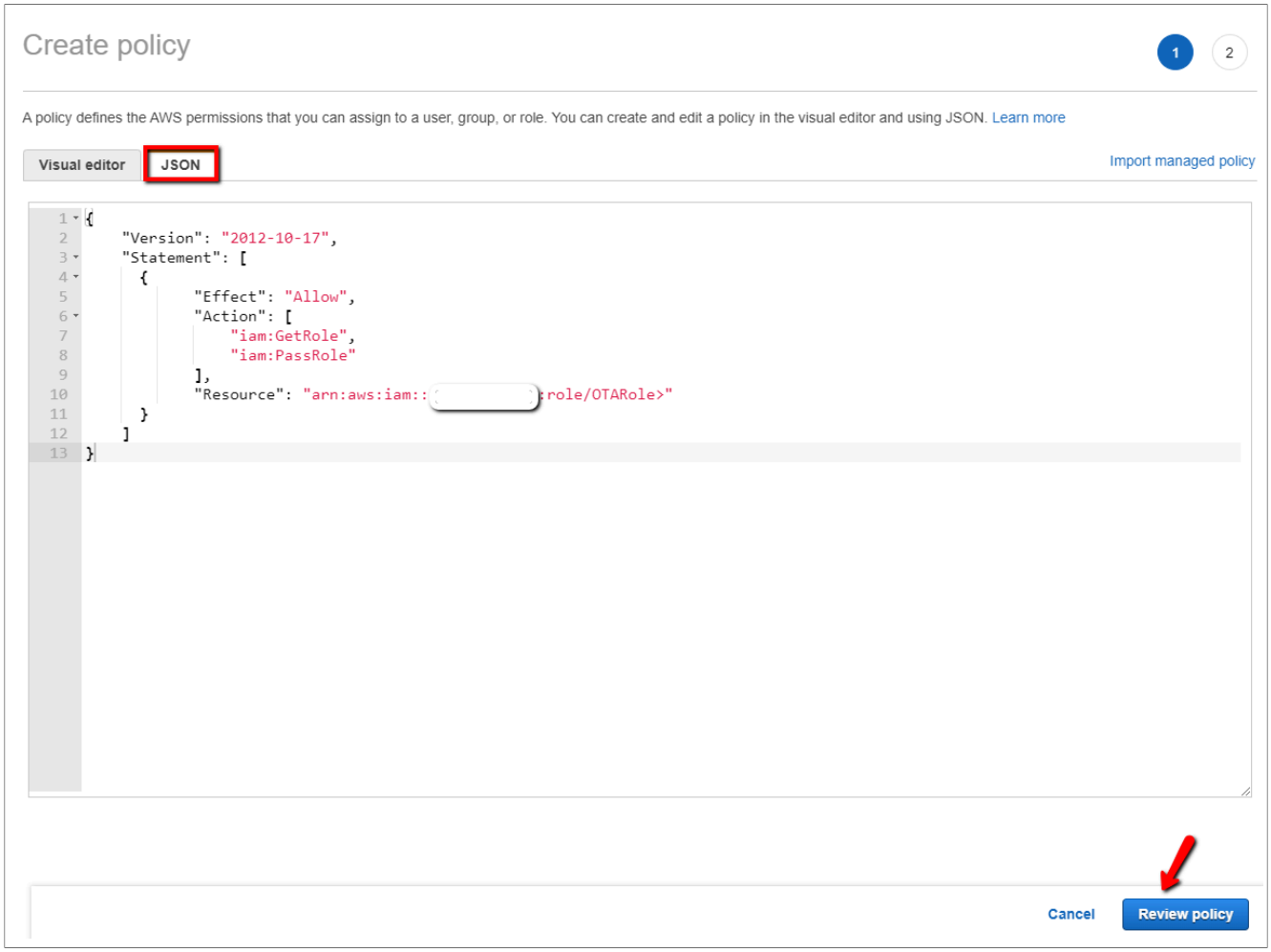
```
{
   "Version": "2012-10-17",
   "Statement": [
      {
         "Effect": "Allow",
         "Action": [
            "iam:GetRole",
            "iam:PassRole"
         ],
         "Resource": "arn:aws:iam::<your_account_id>:role/<your_role_name>"
      }
    ]
}
```

Make sure that you replace <*your_account_id*> with your AWS account ID, and <*your_role_name*> with the name of the OTA service role.

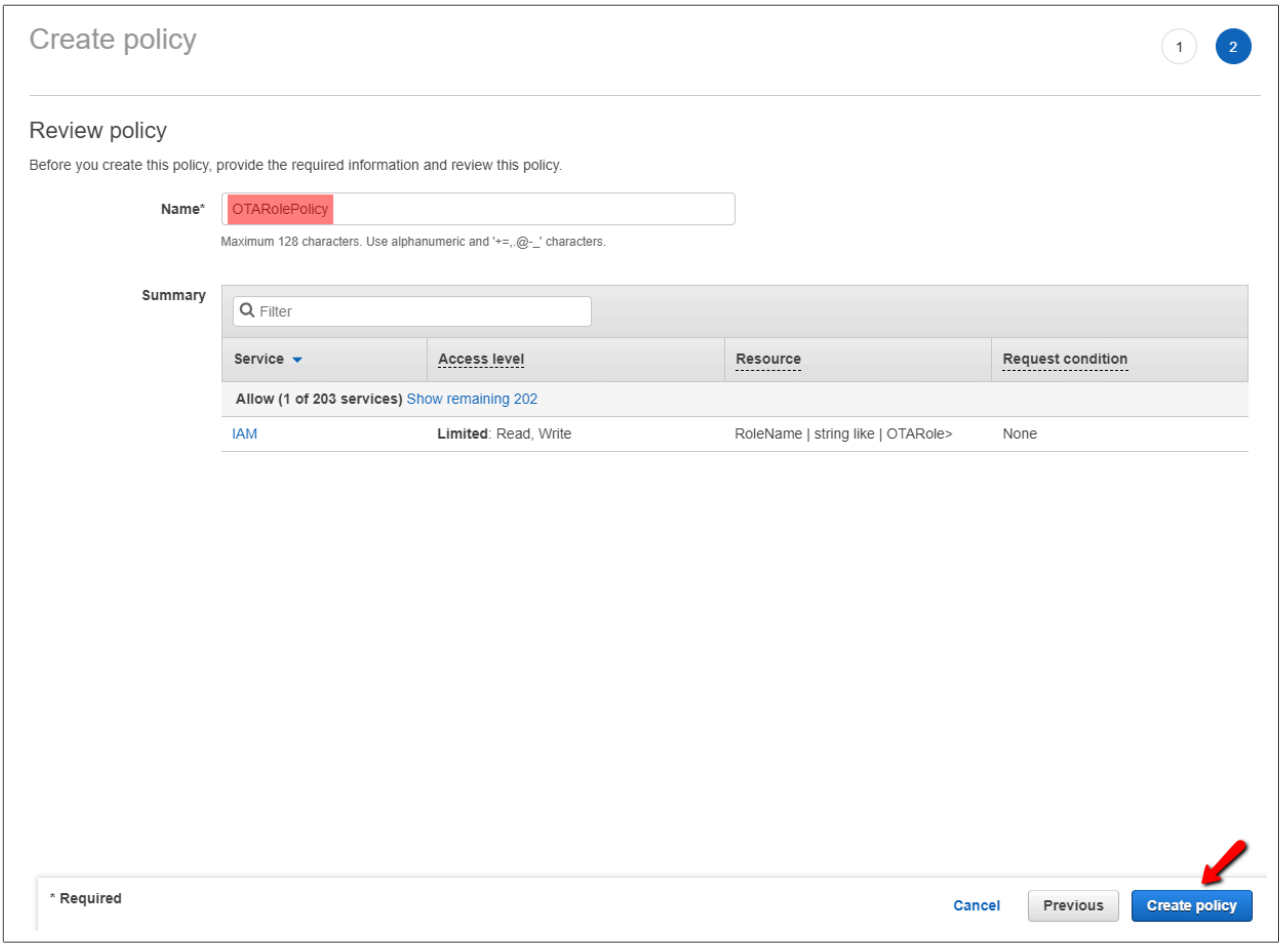
Note: *To obtain account ID, select account name in Web page menu bar and select* ***My account*** *from the drop-down menu. Make note of the* ***Account ID*** *under* ***Account Settings****.*



4. Choose **Review policy**.

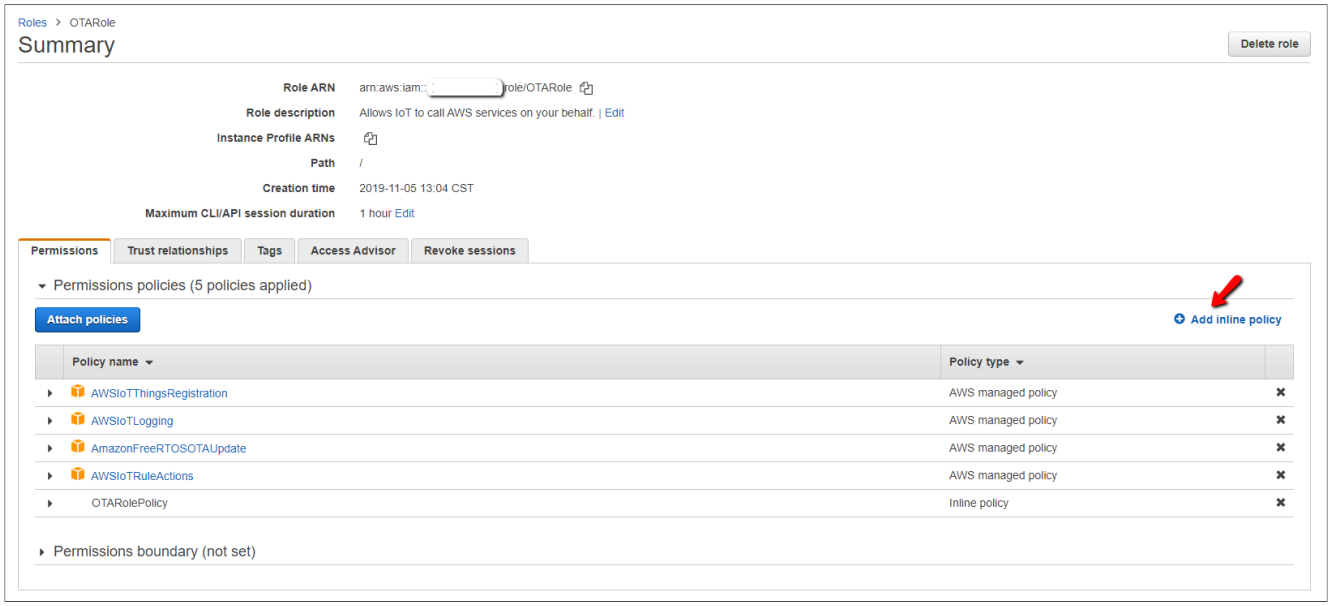


5. Enter a name for the policy, and then choose **Create policy**.



2.2.4 To add the required Amazon S3 permissions to your OTA service role

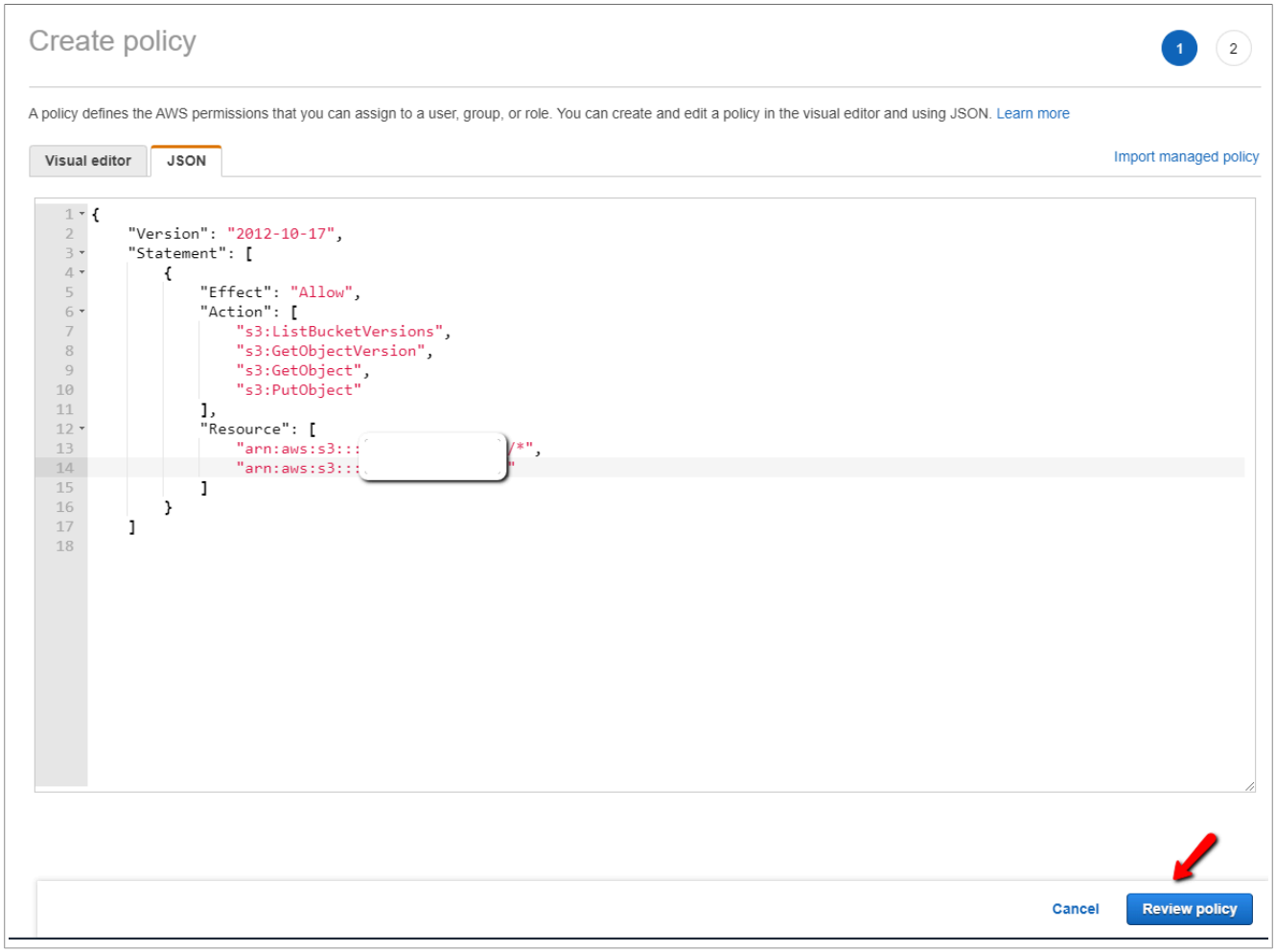
1. In the search box on the IAM console page, enter the name of your role, and then choose it from the list.
2. Choose **Add inline policy**.



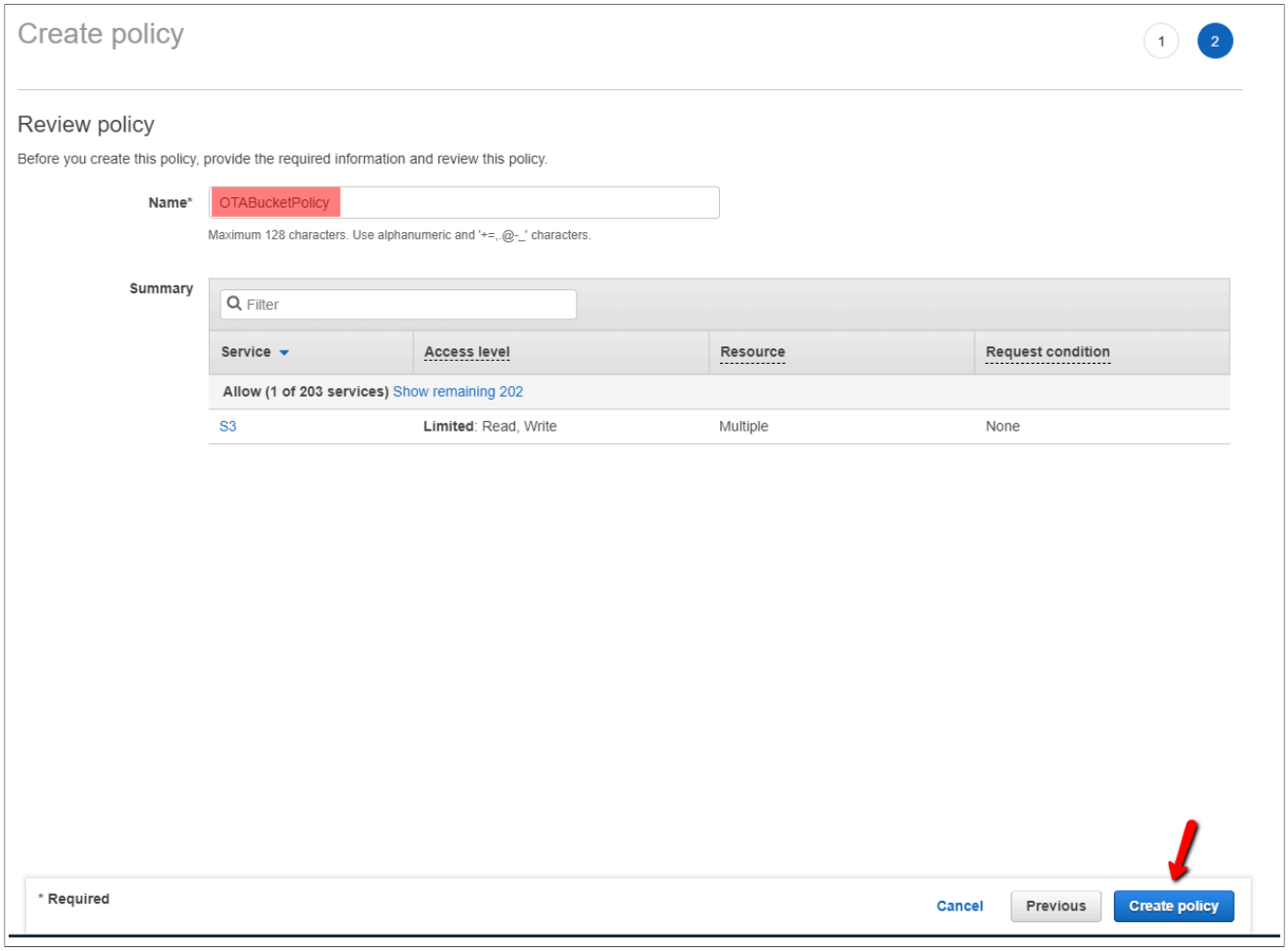
3. Choose **JSON** tab.
   Copy and paste the following policy document into the box:

```
{
   "Version": "2012-10-17",
   "Statement": [
      {
         "Effect": "Allow",
         "Action": [
            "s3:ListBucketVersions",
            "s3:GetObjectVersion",
        "s3:GetObject",
        "s3:PutObject"
          ],
          "Resource": [
         "arn:aws:s3:::<example-bucket>/*"
          "arn:aws:s3:::<example-bucket>"
    ]
      }
    ]
}
```

   This policy grants your OTA service role permission to read Amazon S3 objects. Make sure that you replace *<example-bucket>* with the name of your bucket.
4. Choose **Review policy**.

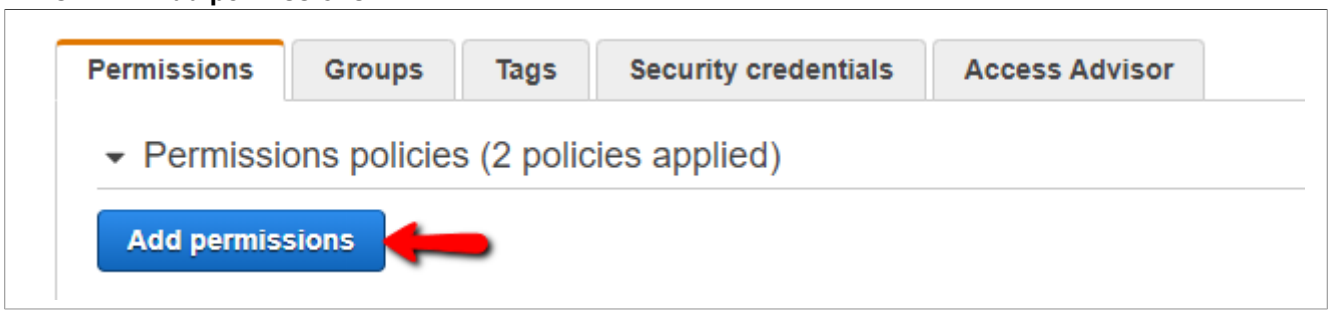


5. Enter a name for the policy, and then choose **Create policy**.

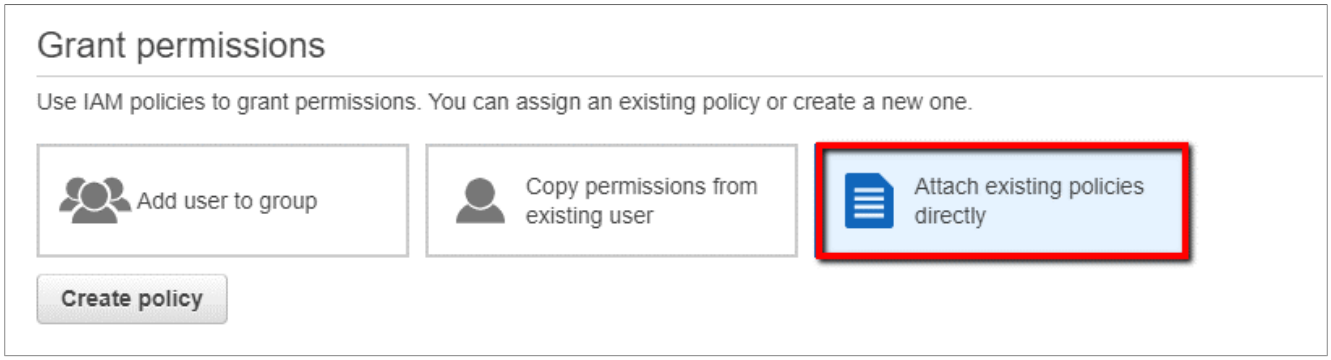


2.3 Create an OTA user policy

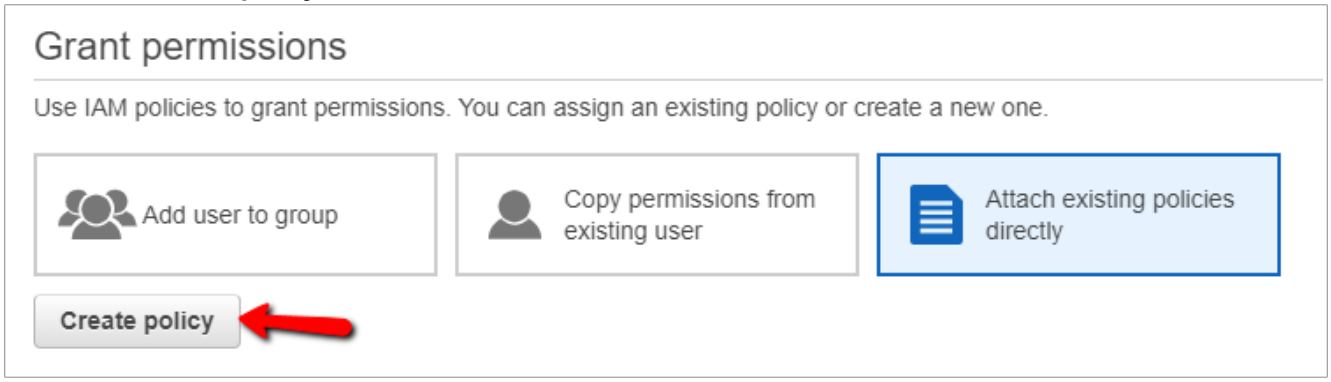
1. Open the https://console.aws.amazon.com/iam/ console.
2. In the navigation pane, choose **Users**.
3. Choose your IAM user from the list.
4. Choose **Add permissions**.



5. Choose **Attach existing policies directly**.



6. Choose **Create policy**.



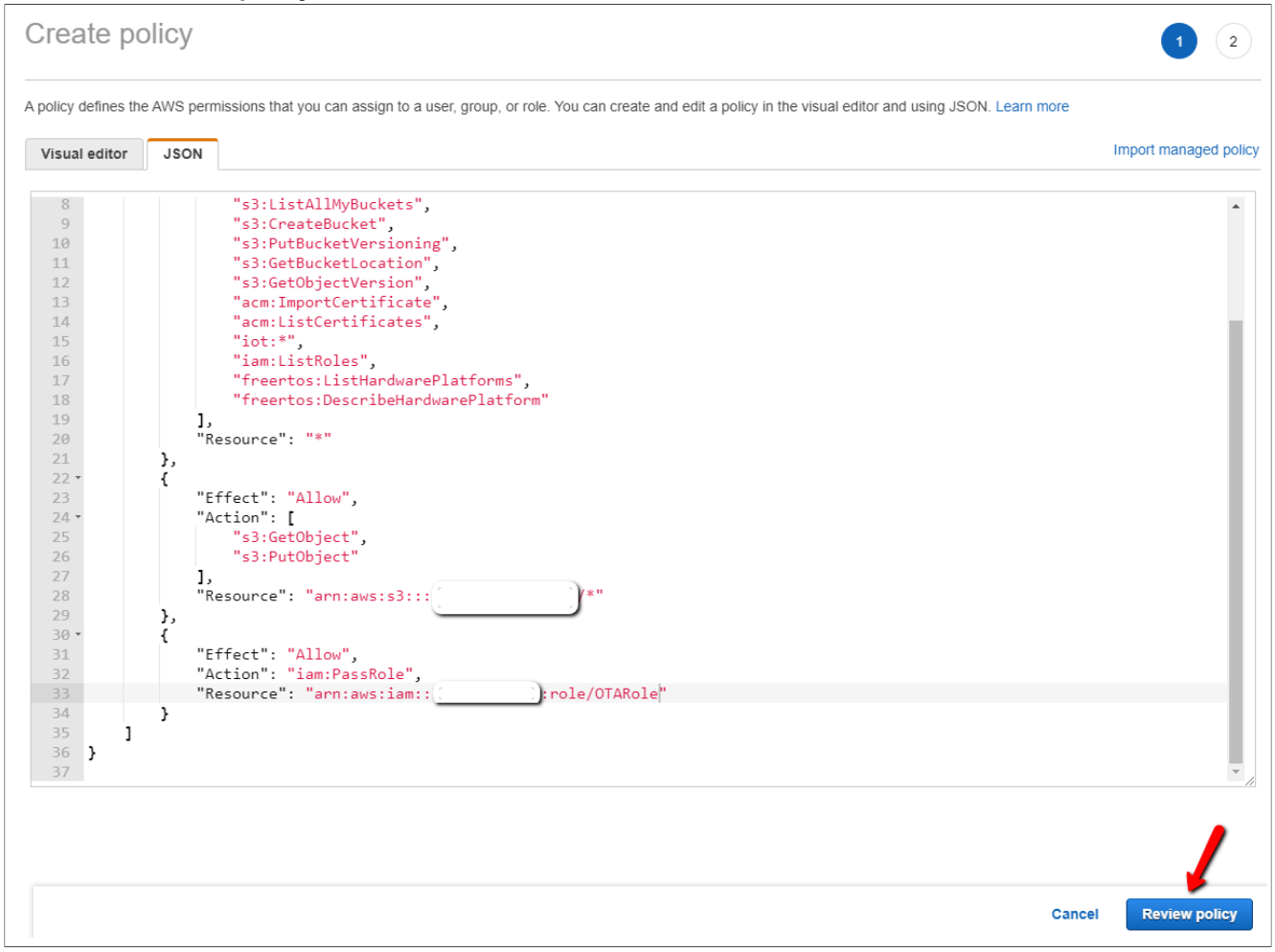
Choose the **JSON** tab, and copy and paste the following policy document into the policy editor:

```
{
   "Version": "2012-10-17",
   "Statement": [
      {
         "Effect": "Allow",
         "Action": [
            "s3:ListBucket",
      "s3:ListAllMyBuckets",
      "s3:CreateBucket",
      "s3:PutBucketVersioning",
      "s3:GetBucketLocation",
            "s3:GetObjectVersion",
      "acm:ImportCertificate",
      "acm:ListCertificates",
      "iot:*",
      "iam:ListRoles",
      "freertos:ListHardwarePlatforms",
      "freertos:DescribeHardwarePlatform"
         ],
         "Resource": "*"
      }
    {
         "Effect": "Allow",
         "Action": [
      "s3:GetObject",
      "s3:PutObject"
         ],
         "Resource": "arn:aws:s3:::<example-bucket>/*"
      }
    {
```

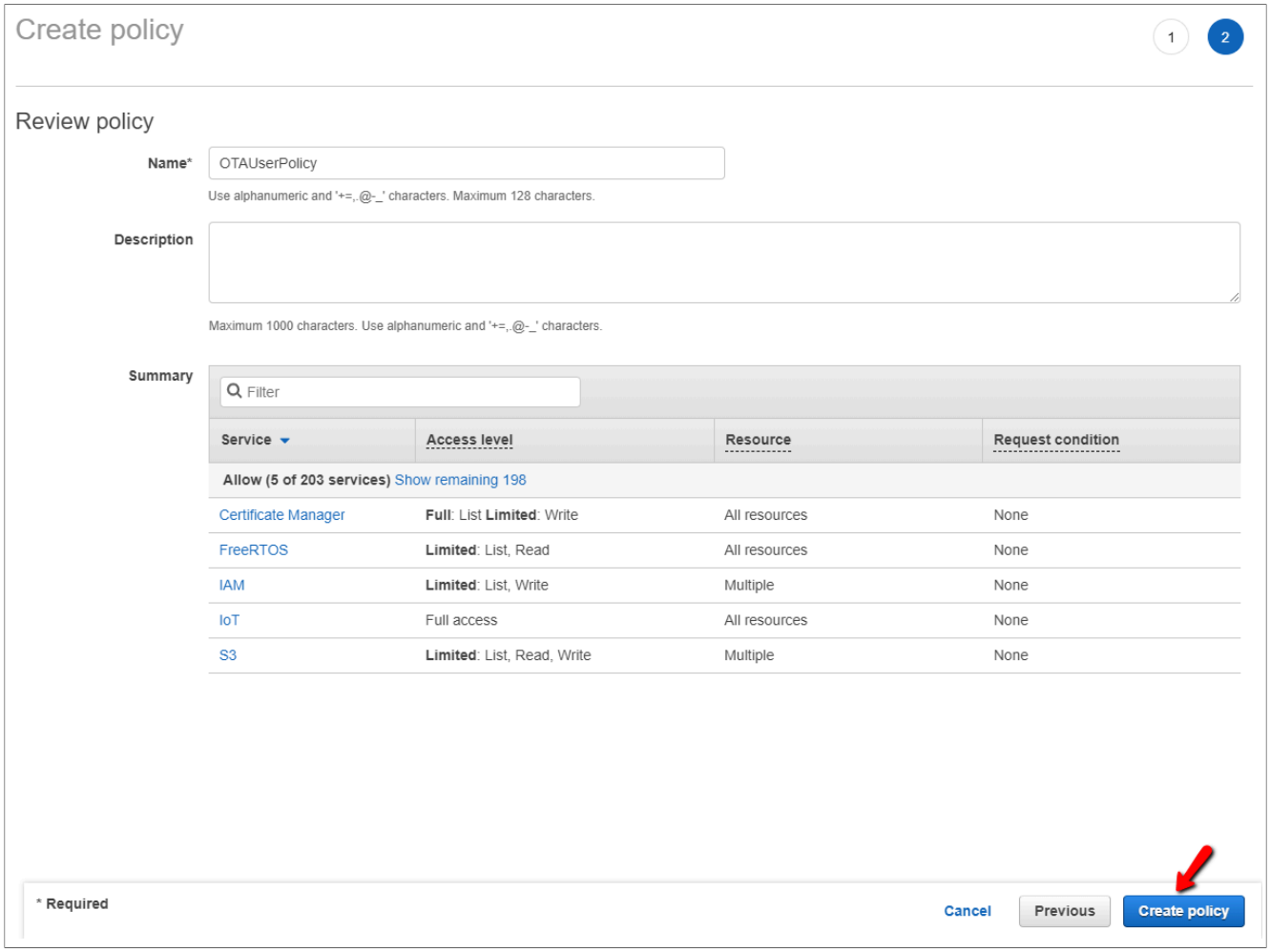
```
            "Effect": "Allow",
            "Action": "iam:PassRole",
            "Resource": "arn:aws:iam::<your-account-id>:role/<role-name>"
        }
    ]
}
```

Replace *<example-bucket>* with the name of the Amazon S3 bucket where your OTA update firmware image is stored. Replace *<your-account-id>* with your AWS account ID. You can find your AWS account ID in the upper right of the console. When you enter your account ID, remove any dashes (-). Replace *<role-name>* with the name of the IAM service role that you created.

1. Choose **Review policy**.



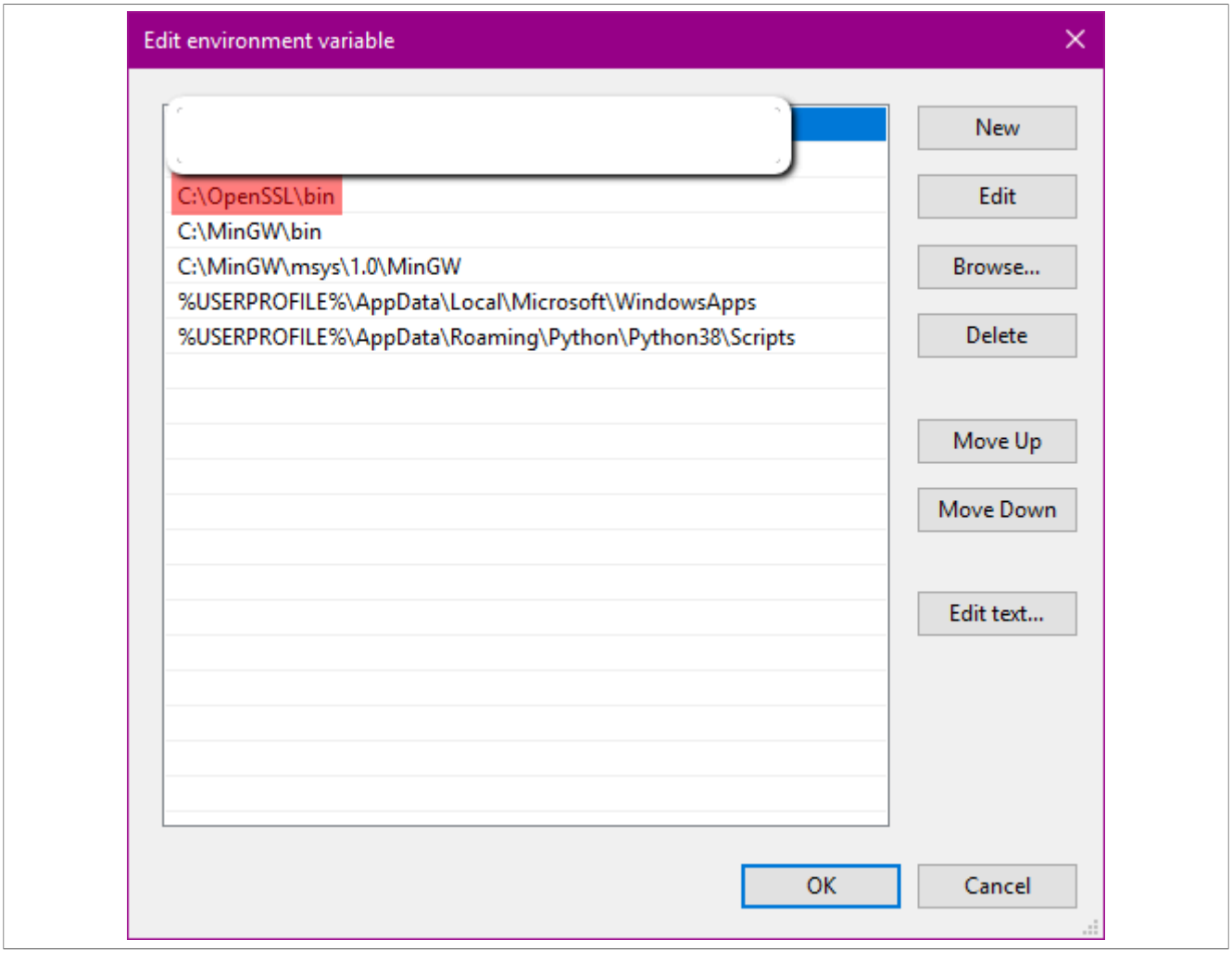
2. Enter a name for your new OTA user policy, and then choose **Create policy**.



2.4 Windows prerequisites

2.4.1 OpenSSL

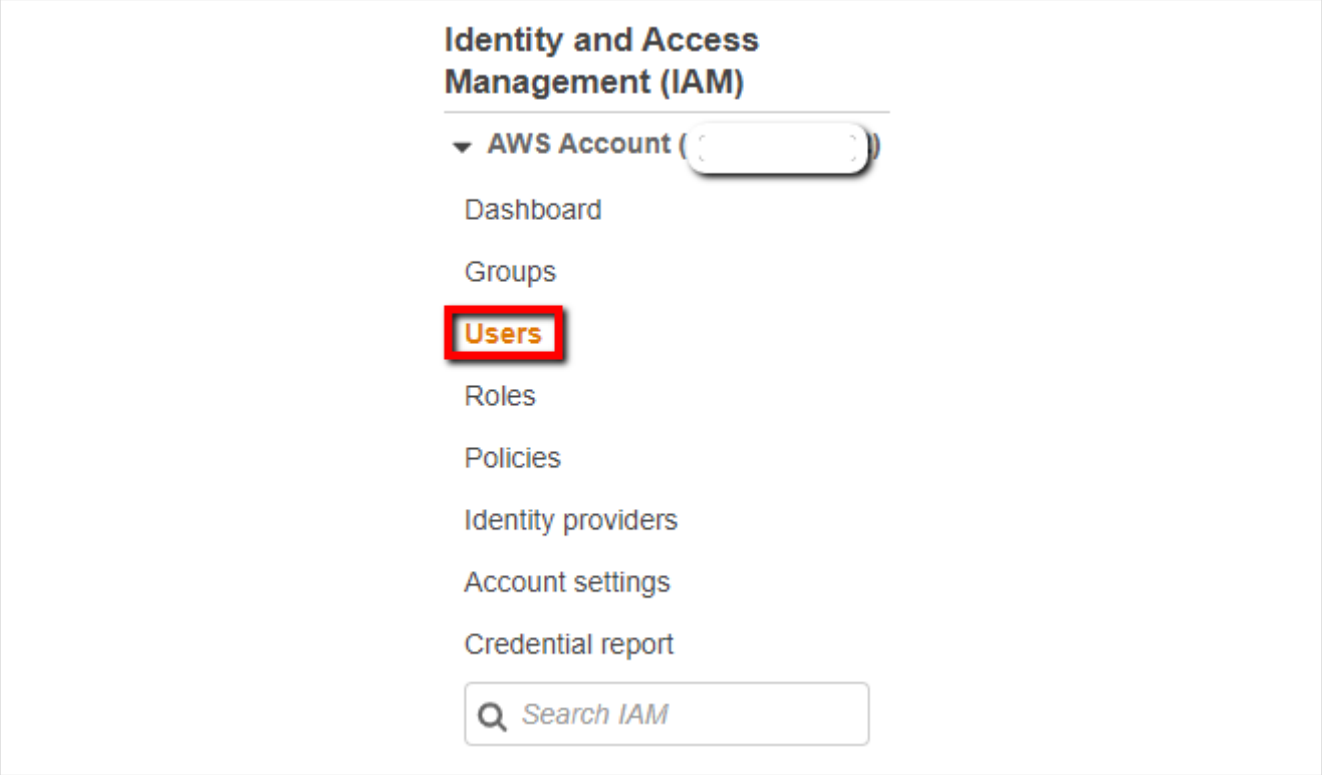
1. Install OpenSSL
2. Modify the system environment variable path and add your OpenSSL bin directory



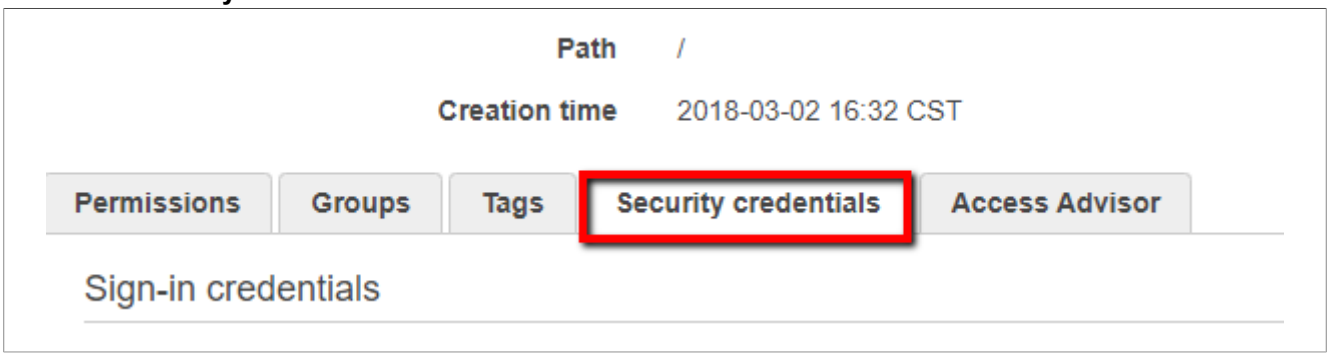
Make sure that openssl gets assigned to the OpenSSL executable in your command prompt or terminal environment.

2.4.2 Install the AWS CLI

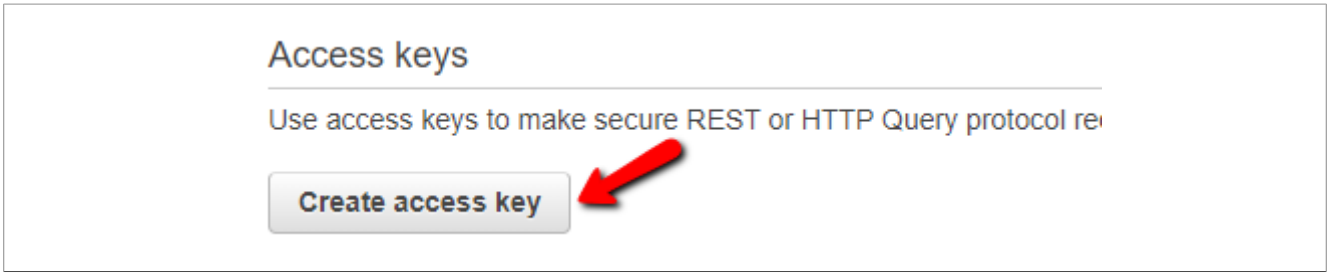
1. Follow the instructions for AWS CLI bundler installer https://docs.aws.amazon.com/cli/latest/userguide/install-windows.html#install-msi-on-windows
2. Go to the IAM console https://console.aws.amazon.com/iam/
3. In the navigation pane, choose **Users**.



4. Choose your IAM user account.
5. Select **Security credentials**

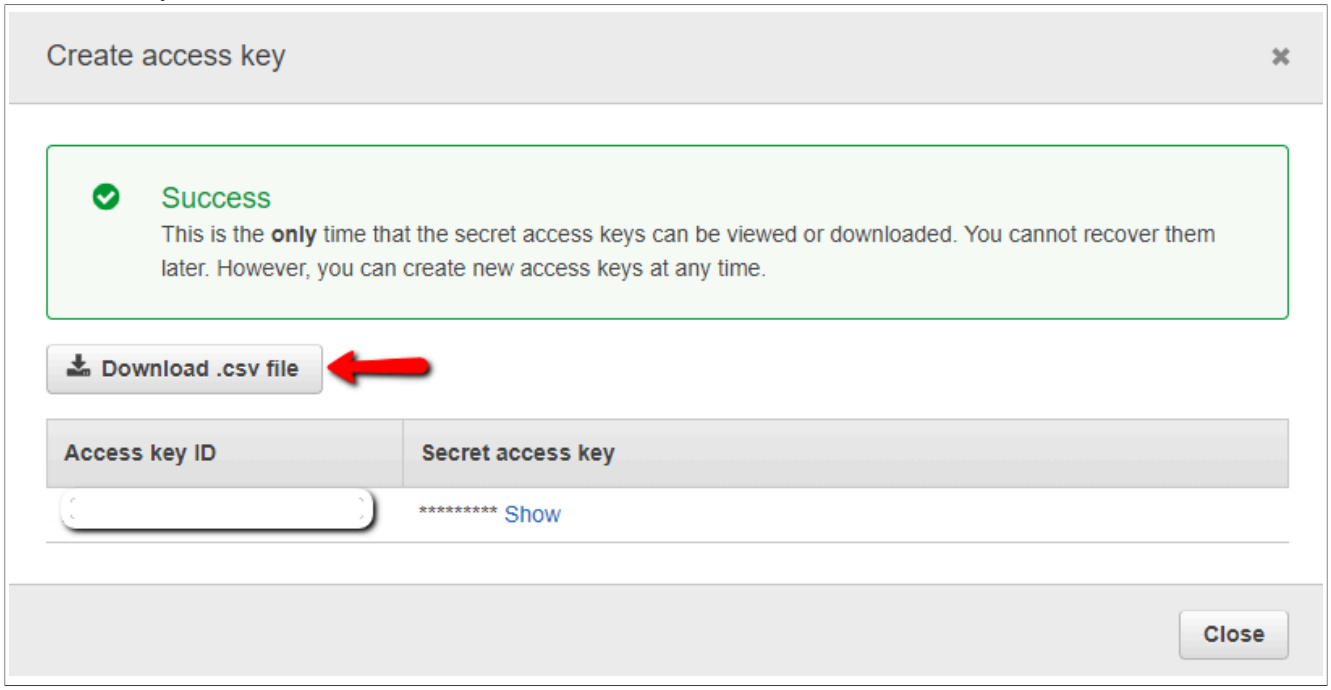


6. In the **Access keys** section, choose **Create access key**.



7. To view the new access key pair, choose **Show**. You will not have access to the secret access key again after this dialog box closes. Your credentials look something like this: *Access key ID: AKIAIOSFODNN7EXAMPLE Secret access key: wJalrXUtnFEMI/K7MDENG/bPxRfiCYEXAMPLEKEY*
8. To download the key pair, choose **Download .csv** file. Store the keys in a secure location. You will not have access to the secret access key again after this dialog box closes. Keep the keys confidential to protect your AWS account and never email them. Do not share them outside your organization, even if an inquiry

appears to come from AWS or Amazon.com. No one who legitimately represents Amazon asks you for your secret key.



9. After you download the .csv file, choose **Close**. When you create an access key, the key pair is active by default, and you can use the pair right away.
10. For general use, the aws configure command is the fastest way to set up your AWS CLI installation

2.5 Creating a code-signing certificate

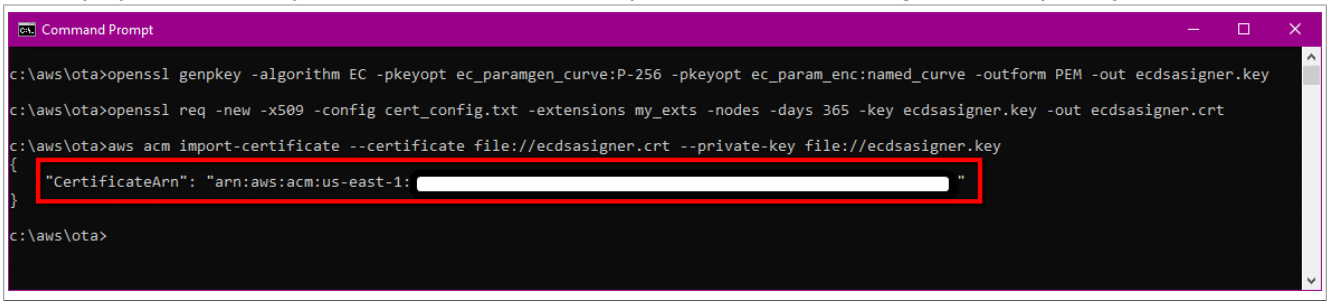
1. In your working directory, use the following text to create a file named cert_config.txt.
   Replace `test_signer@amazon.com` with your email address.

```
[ req ]
prompt             = no
distinguished_name = my_dn

[ my_dn ]
commonName = test_signer@amazon.com

[ my_exts ]
keyUsage         = digitalSignature
extendedKeyUsage = codeSigning
```

2. Using openSSL command line create an ECDSA code-signing private key

```
openssl genpkey-algorithm EC -pkeyopt ec_paramgen_curve:P-256 -pkeyopt
 ec_param_enc:named_curve -outform PEM -out ecdsasigner.key
```

3. Create an ECDSA code-signing certificate: openssl req -new -x509 -config cert_config.txt -extensions my_exts -nodes -days 365 -key ecdsasigner.key -out ecdsasigner.crt
4. Import the code-signing certificate, private key, and certificate chain into AWS Certificate Manager: aws acm import-certificate *--certificate file://ecdsasigner.crt --private-key file://ecdsasigner.key* ***Note:*** *this command displays an ARN for your certificate. Save it locally to use it while creating the OTA update job*.

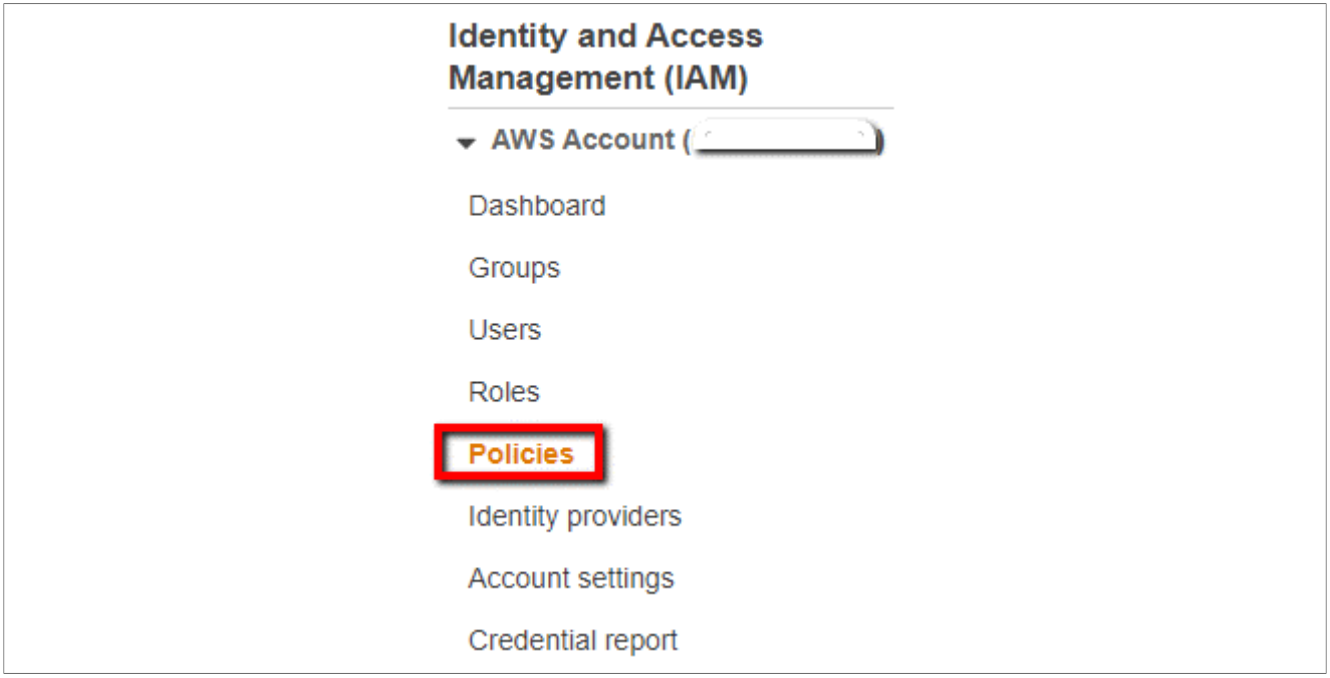


5. Get the ECDSA public key from the code signing credentials

```
openssl ec -in ecdsasigner.key -pubout -outform PEM -out ecdsasigner-pub-
key.pem
```

3 Grant access to code signing for AWS IoT

1. Sign in to the https://console.aws.amazon.com/iam/.
2. In the navigation pane, choose **Policies**.

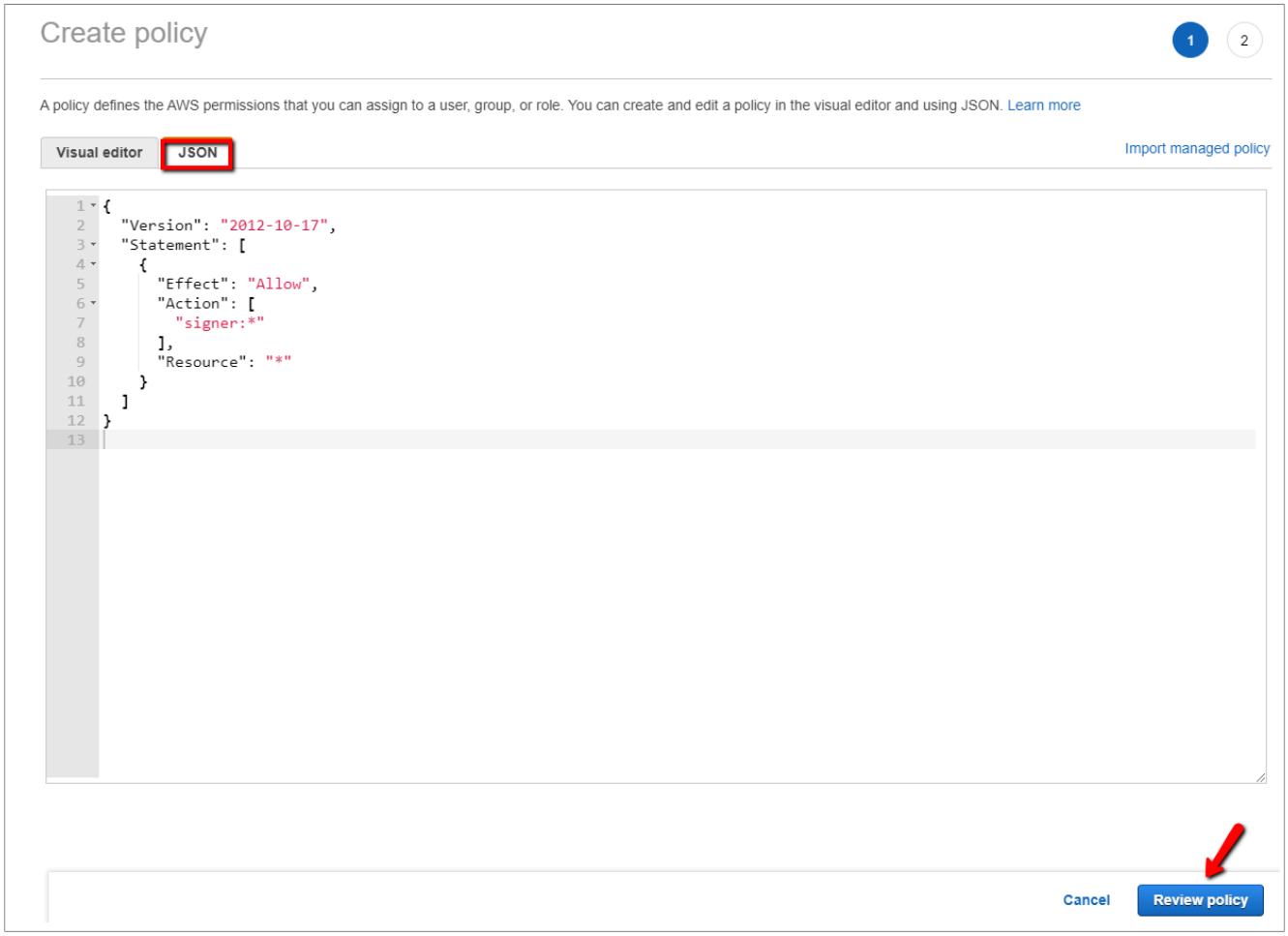


3. Choose **Create Policy**.

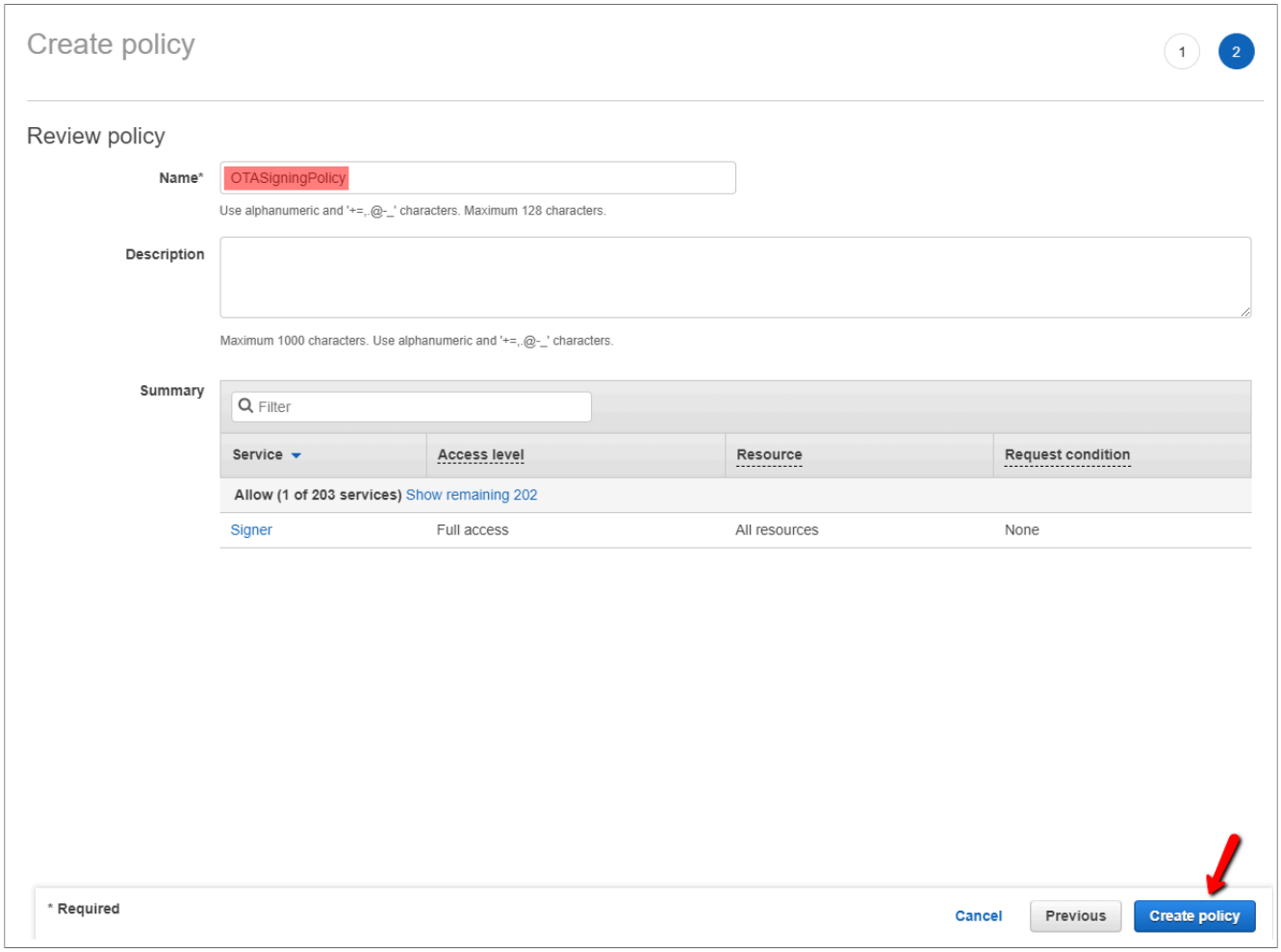
4. On the **JSON** tab, copy and paste the following JSON document into the policy editor. This policy allows the IAM user access to all code-signing operations.

```
{
   "Version": "2012-10-17",
   "Statement": [
    {
          "Effect": "Allow",
          "Action": [
             "signer:*"
          ],
          "Resource": "*"
      }
    ]
}
```

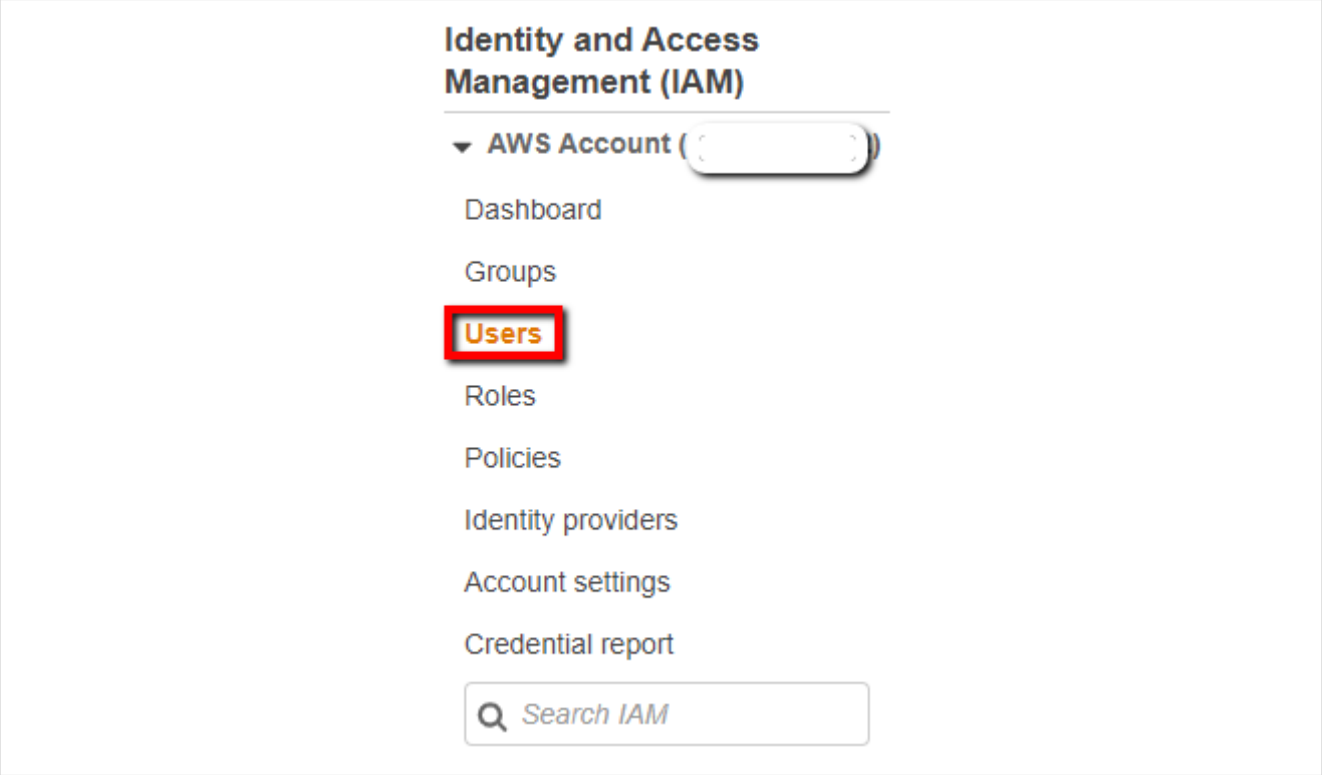
5. Choose **Review policy**.



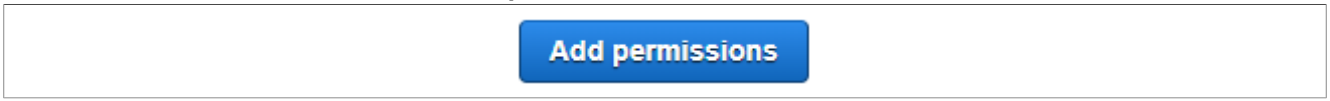
6. Enter a policy name and description, and then choose **Create policy**.



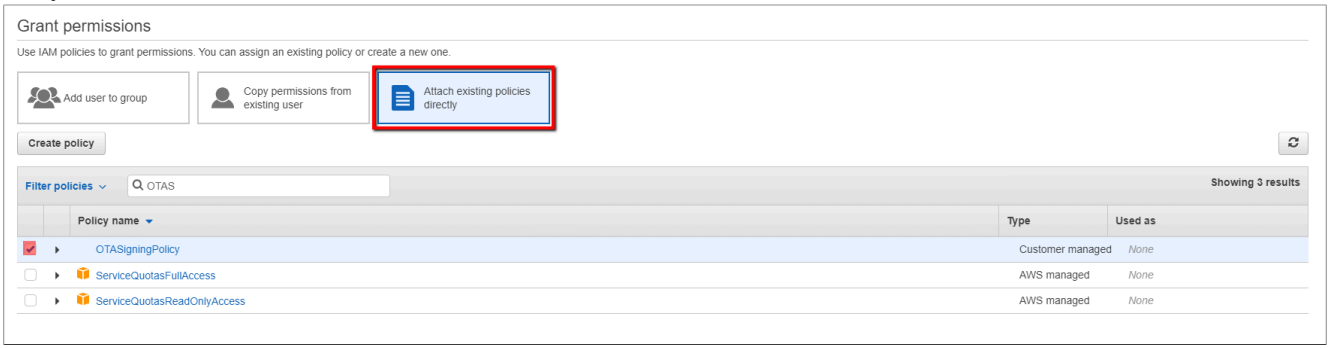
7. In the navigation pane, choose **Users**.



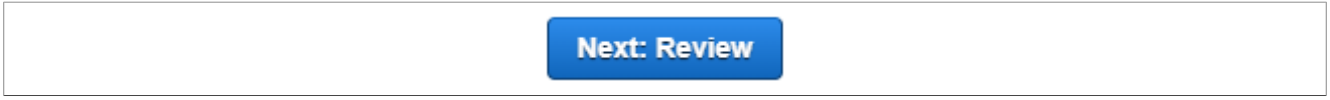
8. Choose your IAM user account.
9. On the **Permissions** tab, choose **Add permissions**.



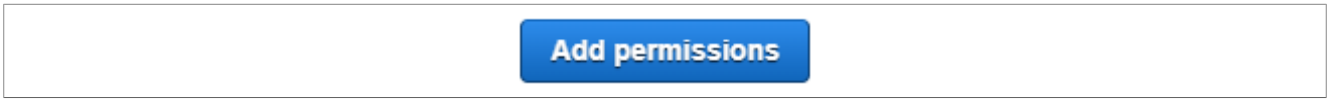
10. Choose **Attach existing policies directly**, and then select the checkbox next to the code-signing policy you created.



11. Choose **Next: Review**.



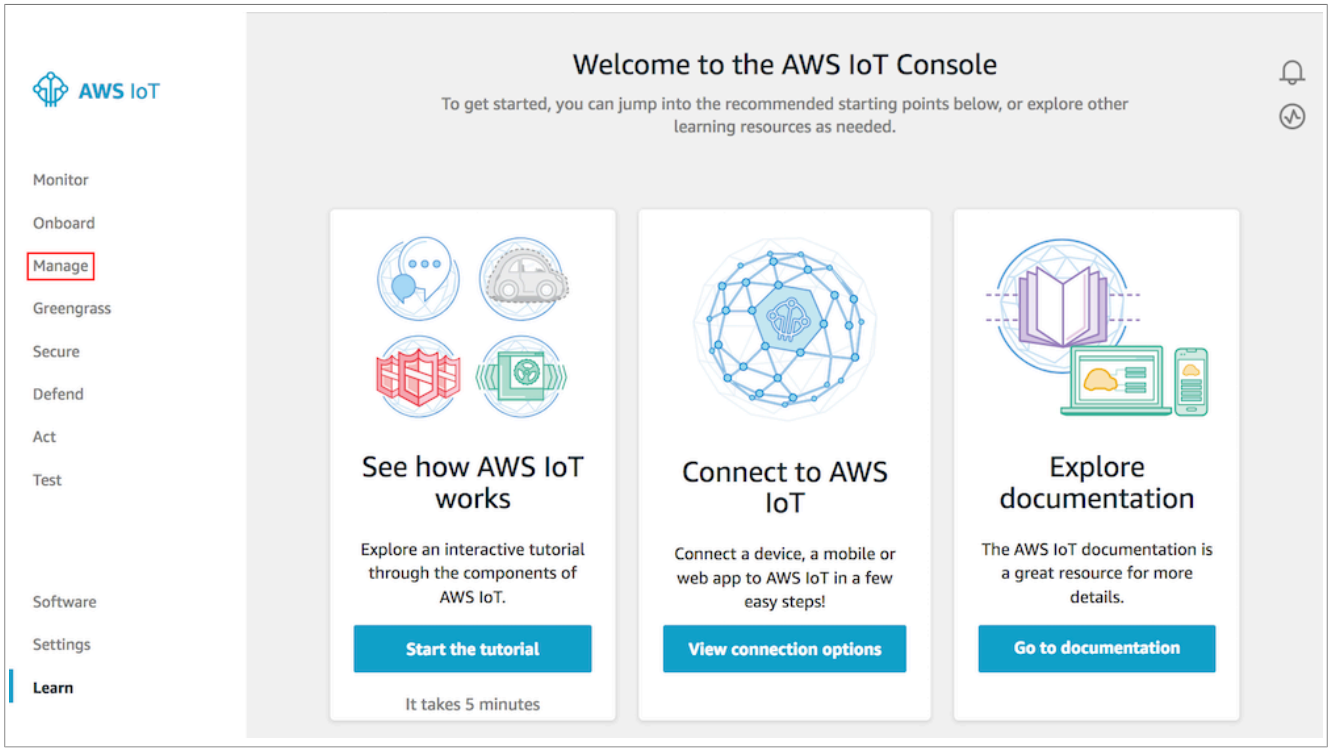
12. Choose **Add permissions**.



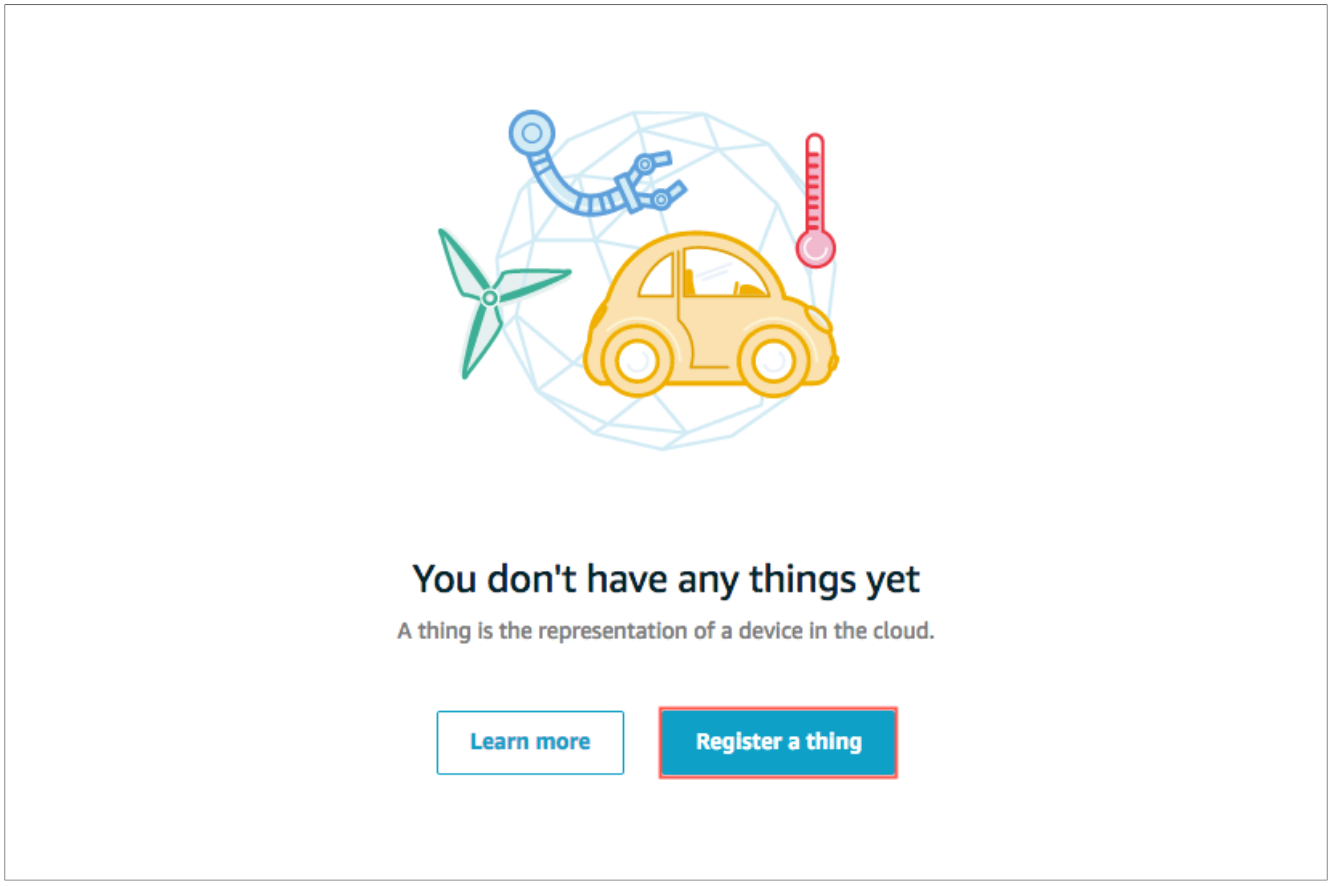
4 AWS IoT

4.1 Create an AWS IoT thing

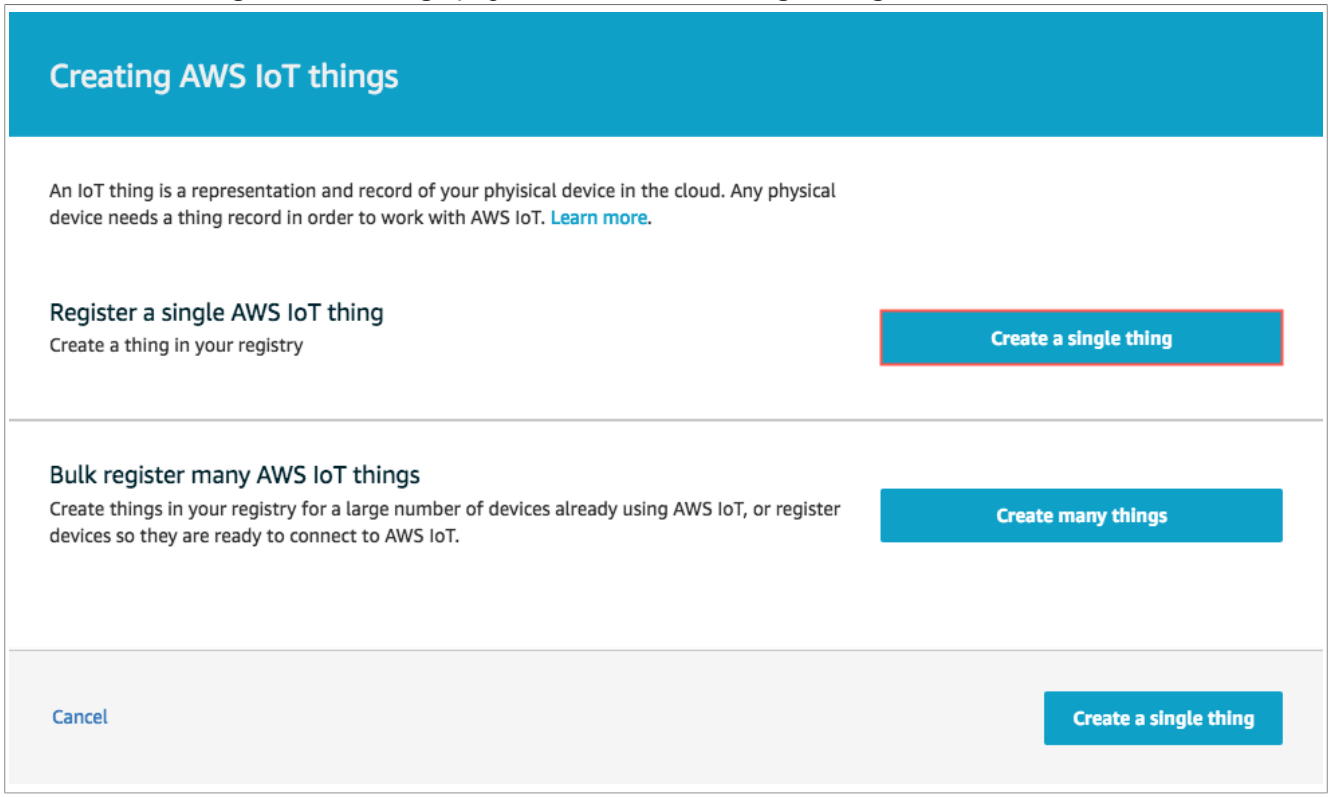
1. Open the AWS IoT console website https://console.aws.amazon.com/iot/.
2. On the **Welcome to the AWS IoT Console** page, in the navigation pane, choose **Manage**.



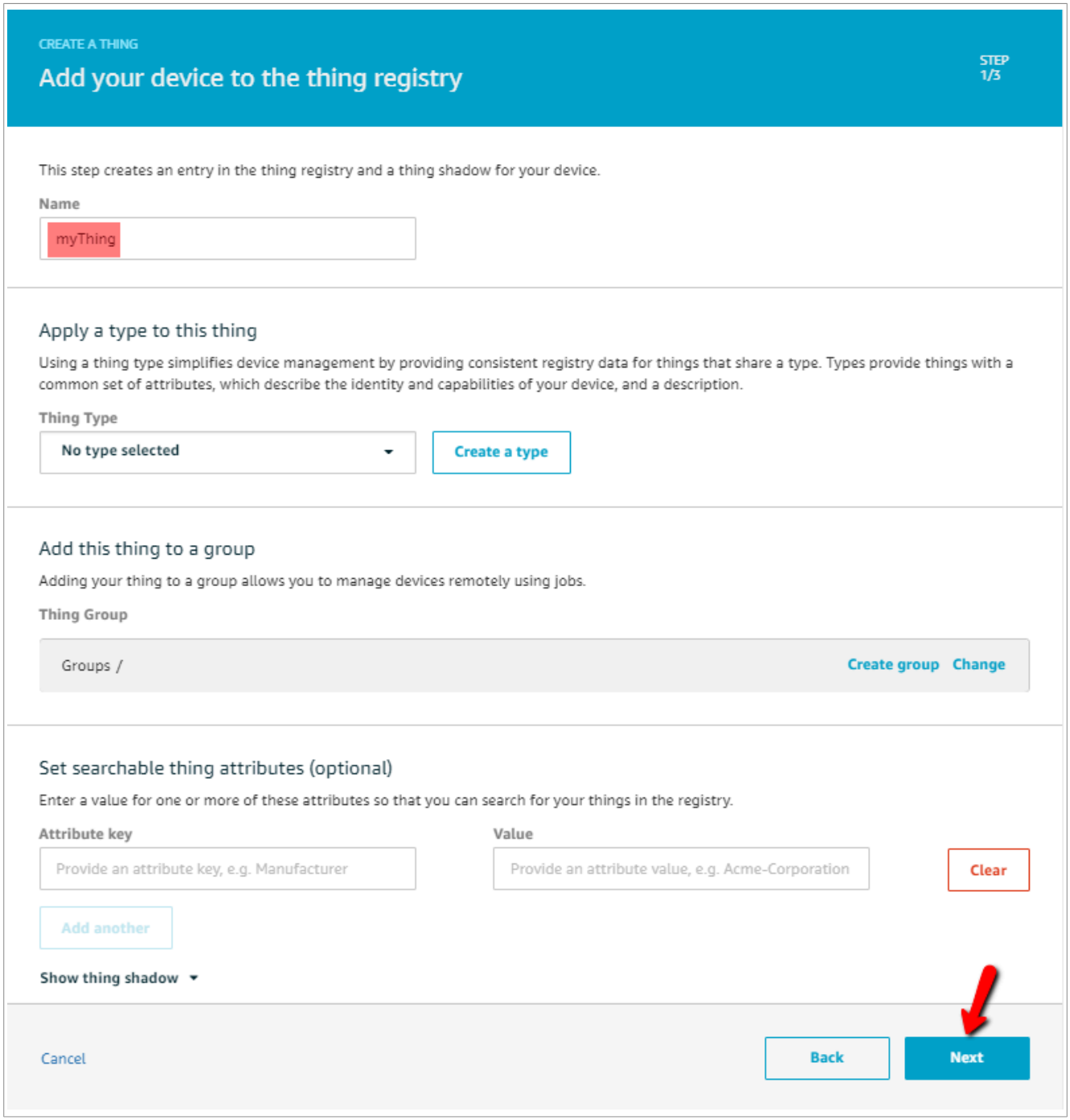
3. On the **You don't have any things yet** page, choose **Register a thing**.



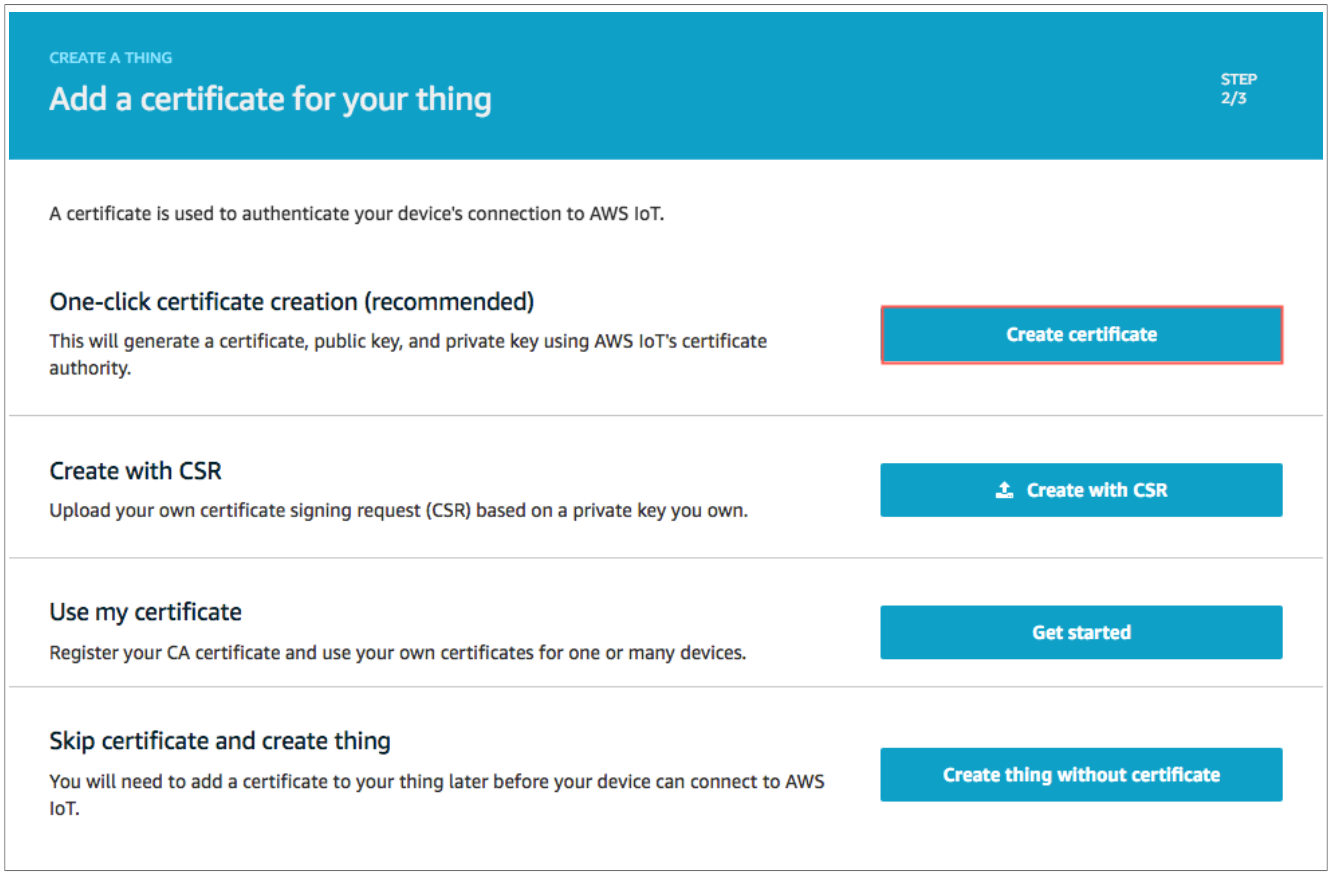
4. On the **Creating AWS IoT things** page, choose **Create a single thing**.



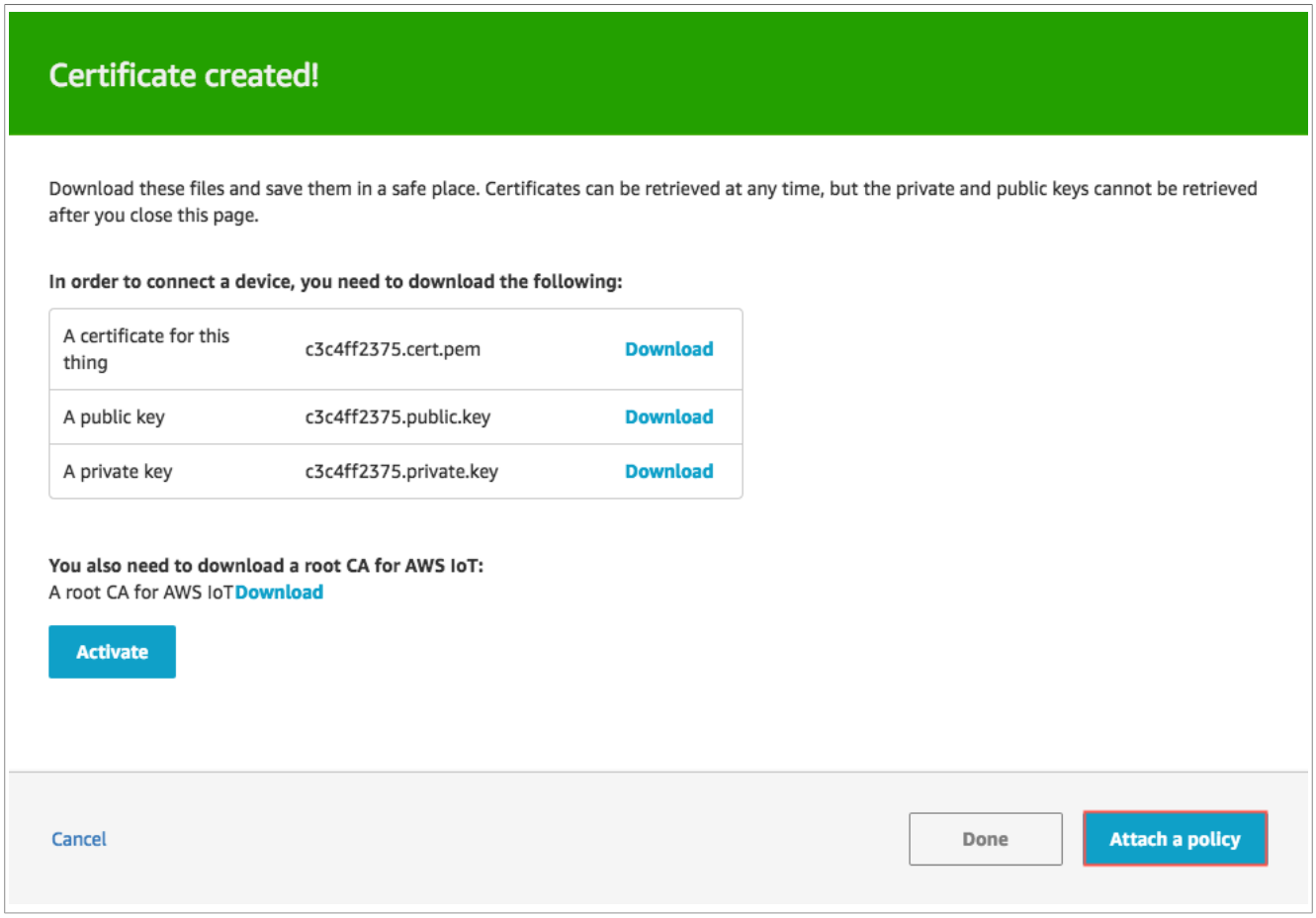
5. On the **Create a thing** page, in the **Name** field, enter a name for your thing, such as MyThing. Choose **Next**.



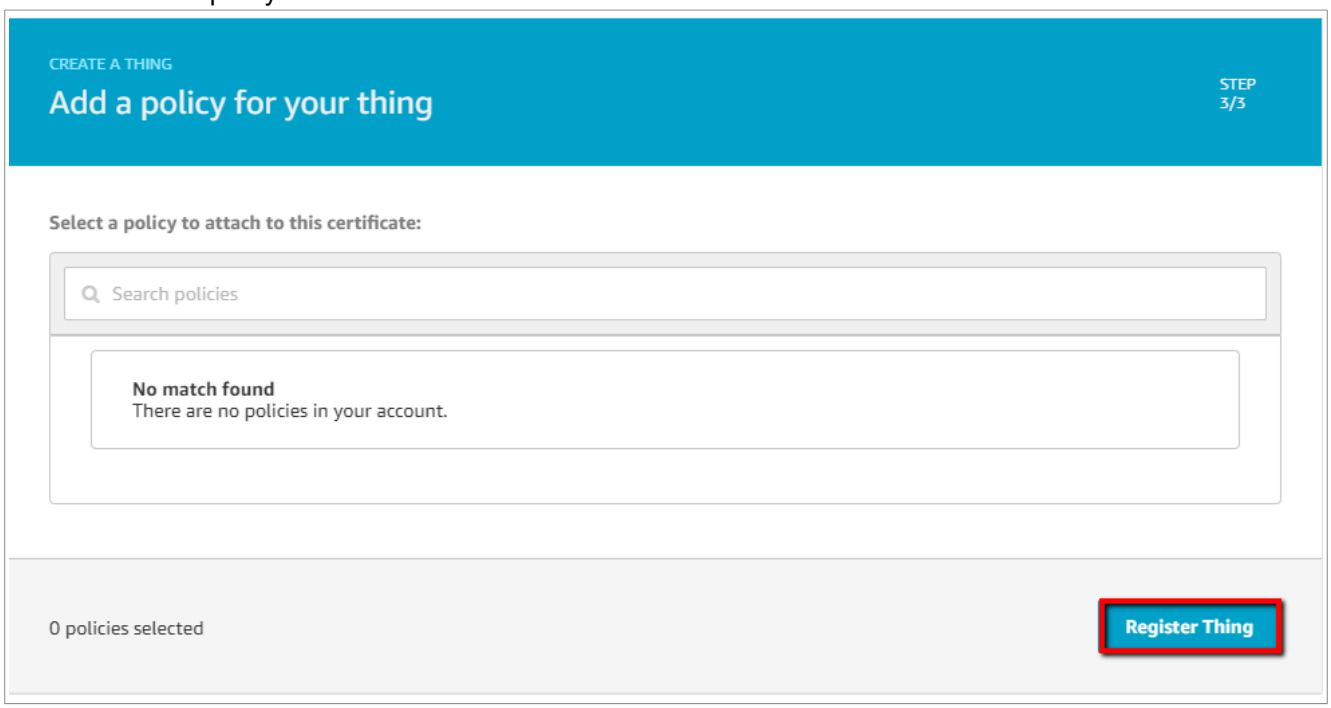
6. On the **Add a certificate for your thing** page, choose **Create certificate**. This generates an X.509 certificate and a key pair.



7. On the **Certificate created!** page, download your public and private keys, certificate, and root certificate authority (CA).
8. Choose **Download** for your certificate.
9. Choose **Download** for your private key.
10. Choose **Download** for the Amazon root CA.
    A new webpage is displayed.
11. Choose **RSA 2048-bit key: Amazon Root CA 1**.
    This opens another webpage with the text of the root CA certificate.
12. Copy this text and paste it into a file named `Amazon_Root_CA_1.pem`.
    Most web browsers save downloaded files into a Downloads directory. You copy these files to a different directory when you run the sample applications. Choose **Activate** to activate the X.509 certificate, and then choose **Attach a policy**.

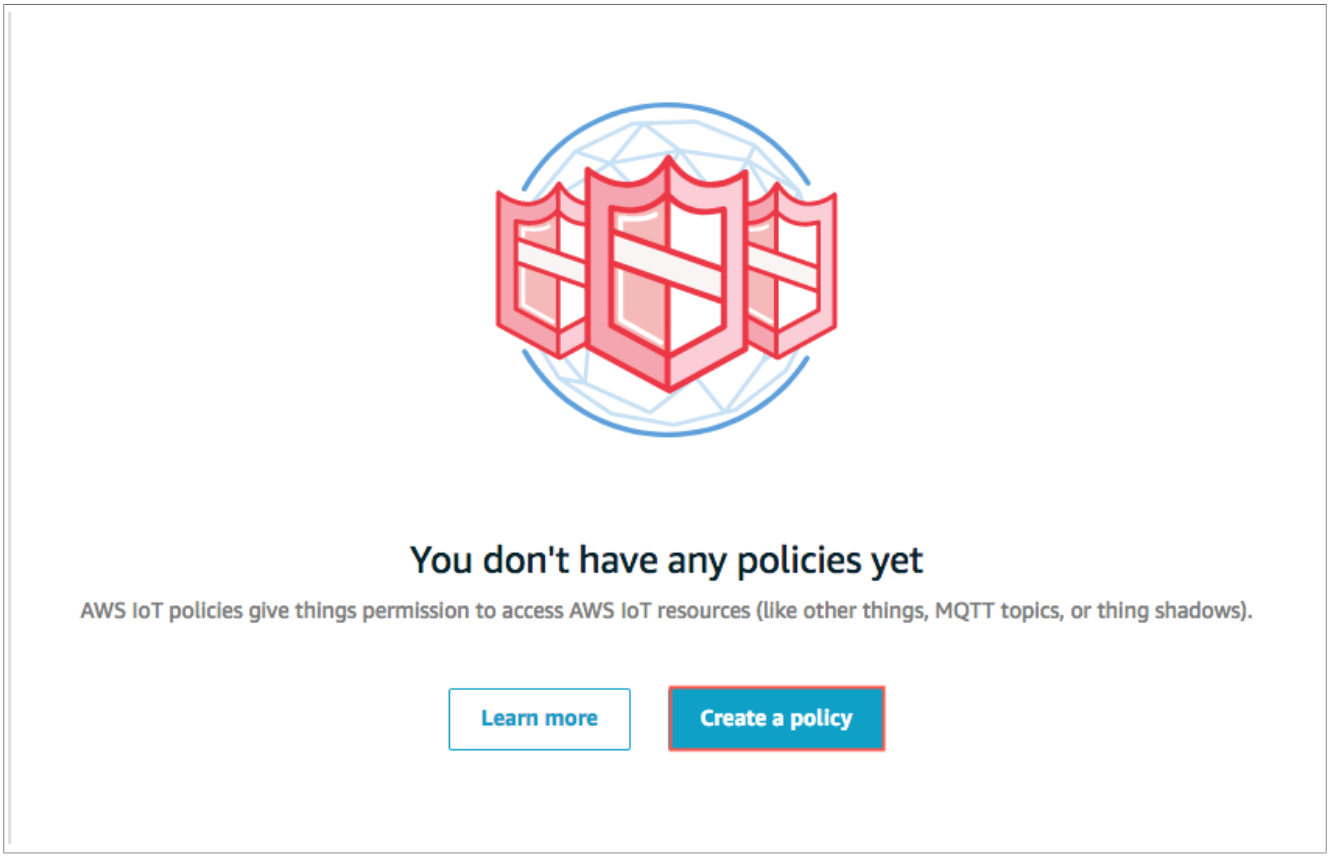


13. On the **Add a policy for your thing** page, choose **Register Thing**. After you register your thing, create and attach a new policy to the certificate.

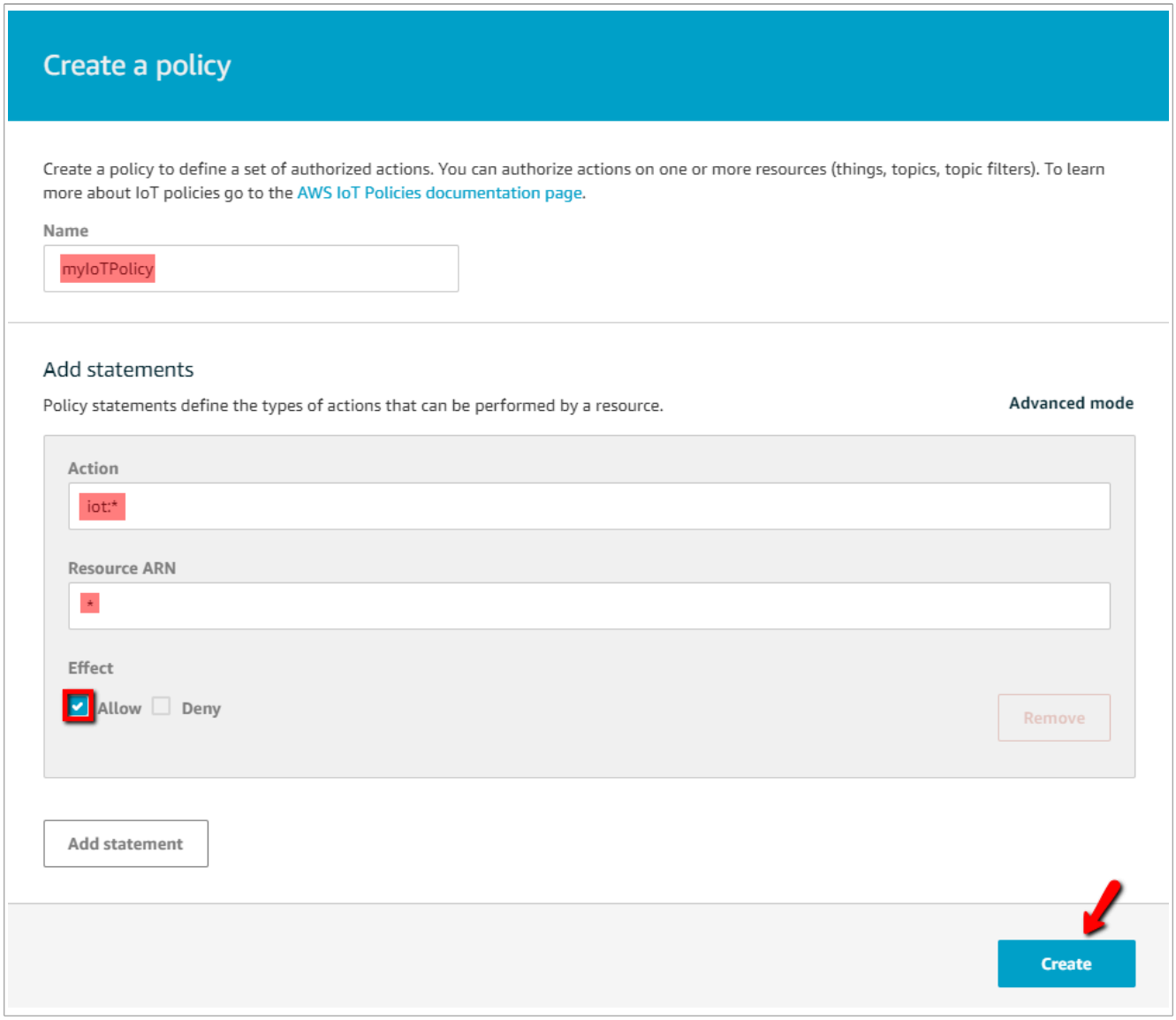


4.2 Create an AWS IoT policy

1. In the left navigation pane, choose **Secure**, and then choose **Policies**. On the **You don't have a policy yet** page, choose **Create a policy**.

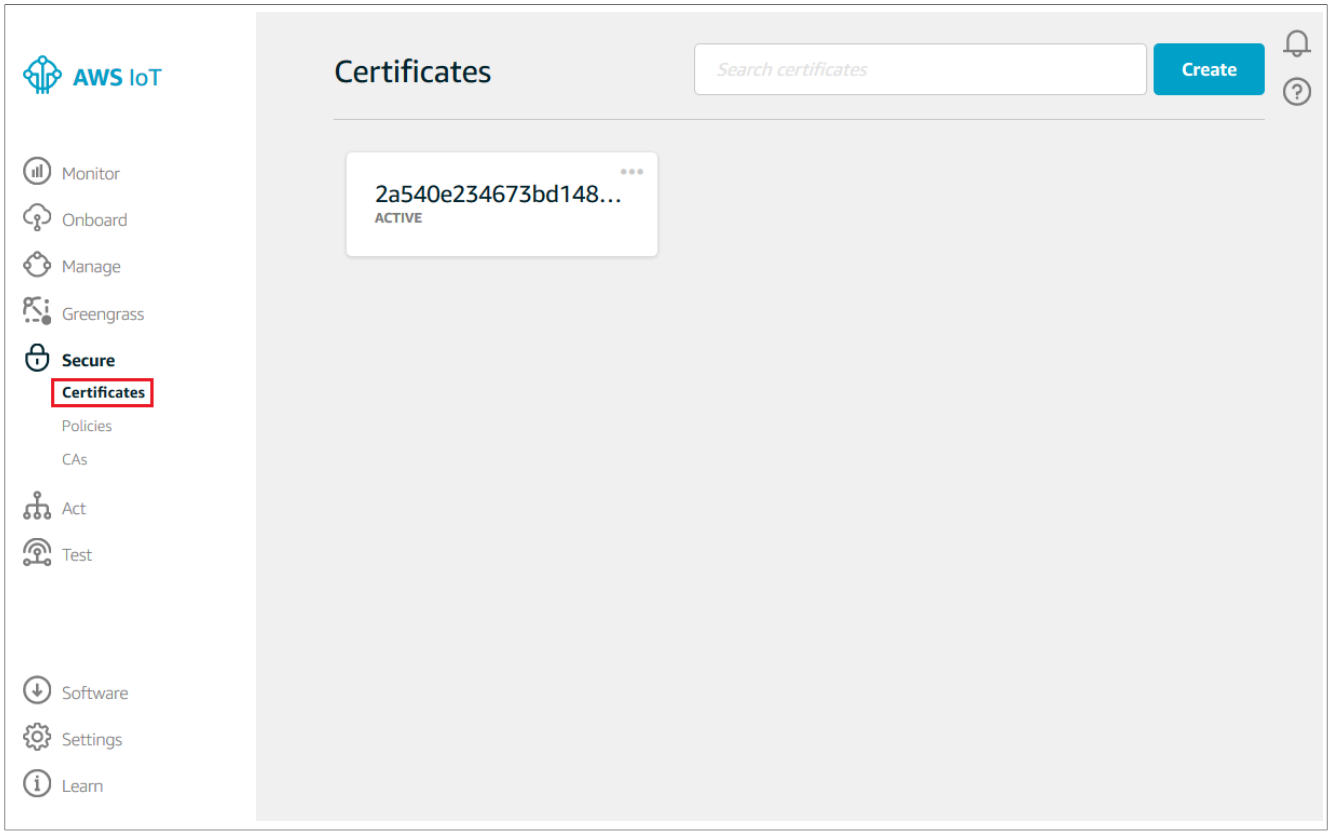


2. On the **Create a policy** page, in the **Name** field, enter a name for the policy (for example, MyIotPolicy).
3. In the **Action** field, enter **iot:Connect**.
4. In the **Resource ARN** field, enter ***.
5. Select the **Allow** checkbox.
   This allows all clients to connect to AWS IoT.
6. After you have entered the information for your policy, choose **Create**.

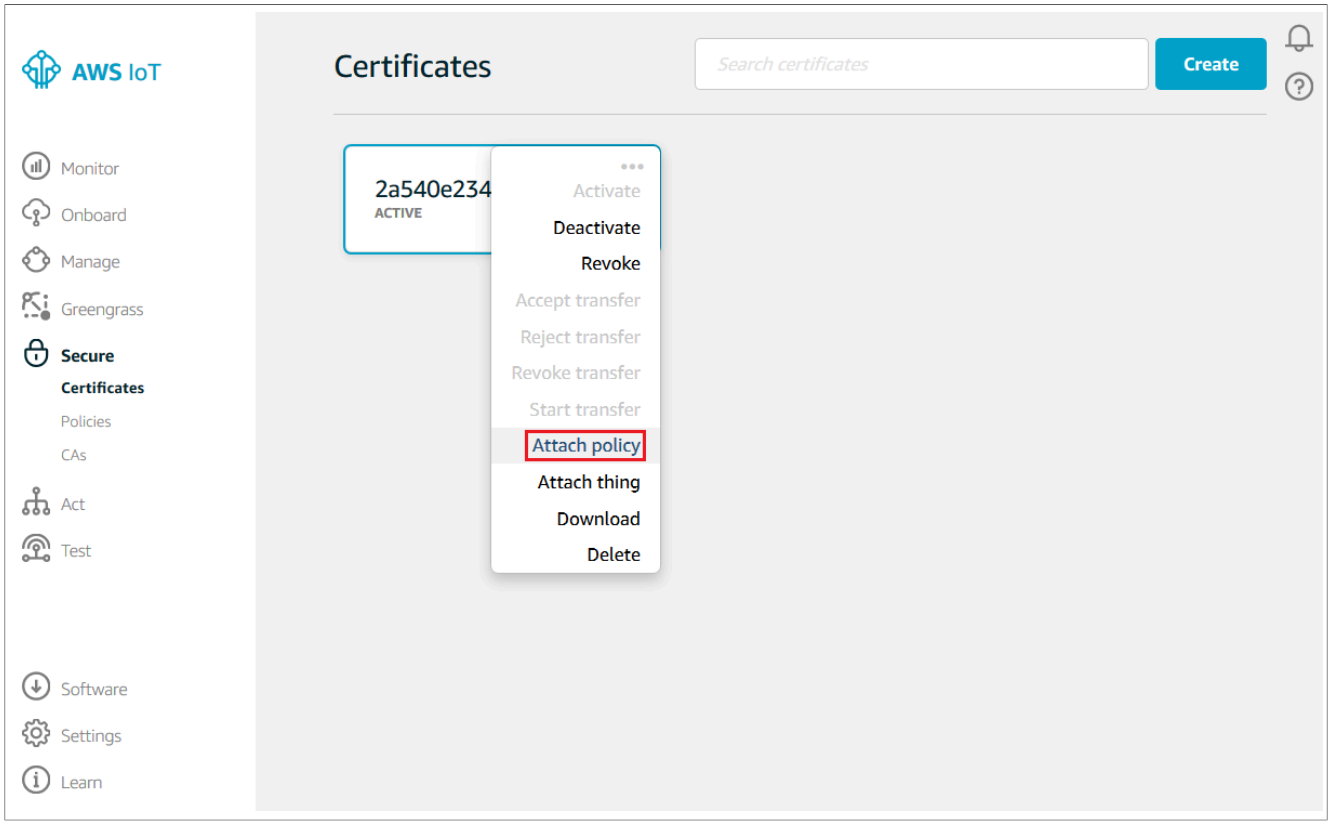


4.3 Attach an AWS IoT policy to a device certificate

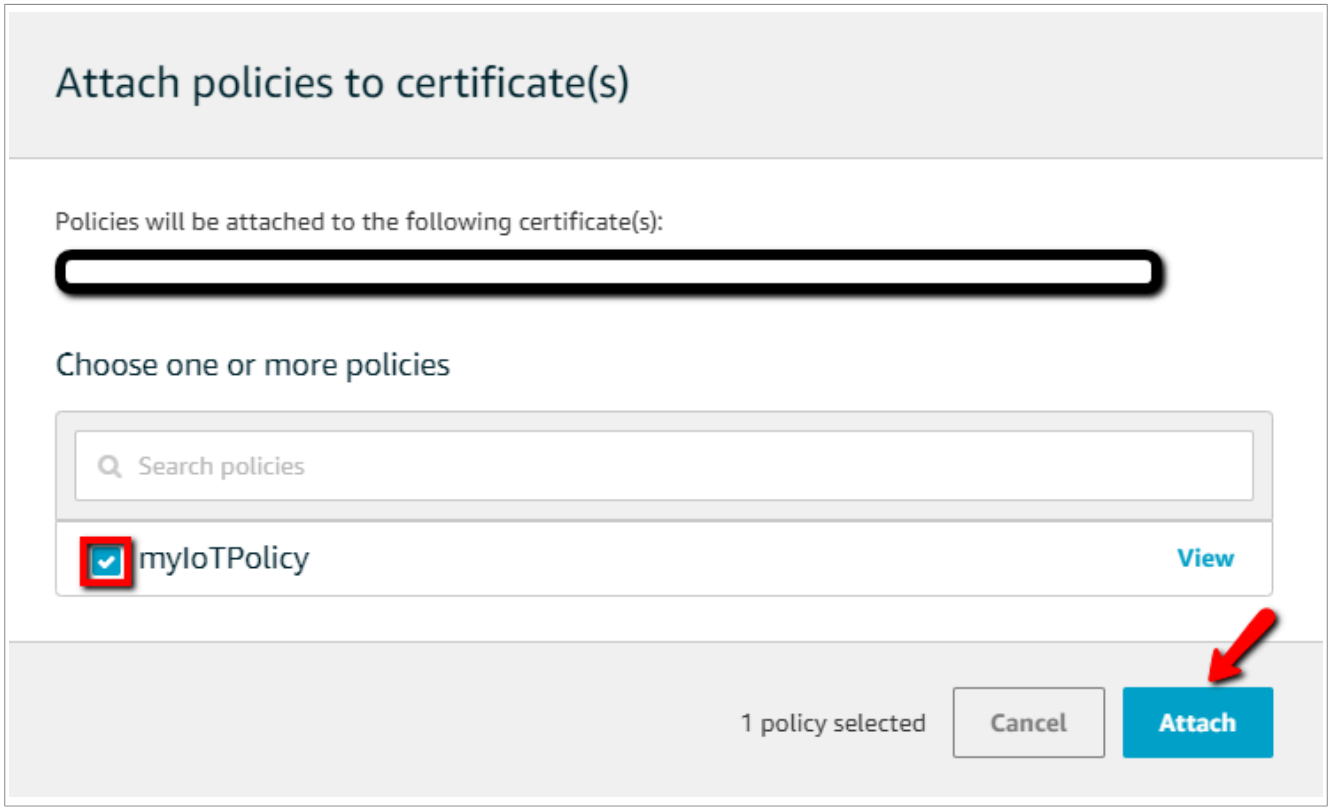
1. In the left navigation pane, choose **Secure**, and then choose **Certificates**.



2. In the box for the certificate you created, choose **...** to open a drop-down menu, and then choose **Attach policy**.

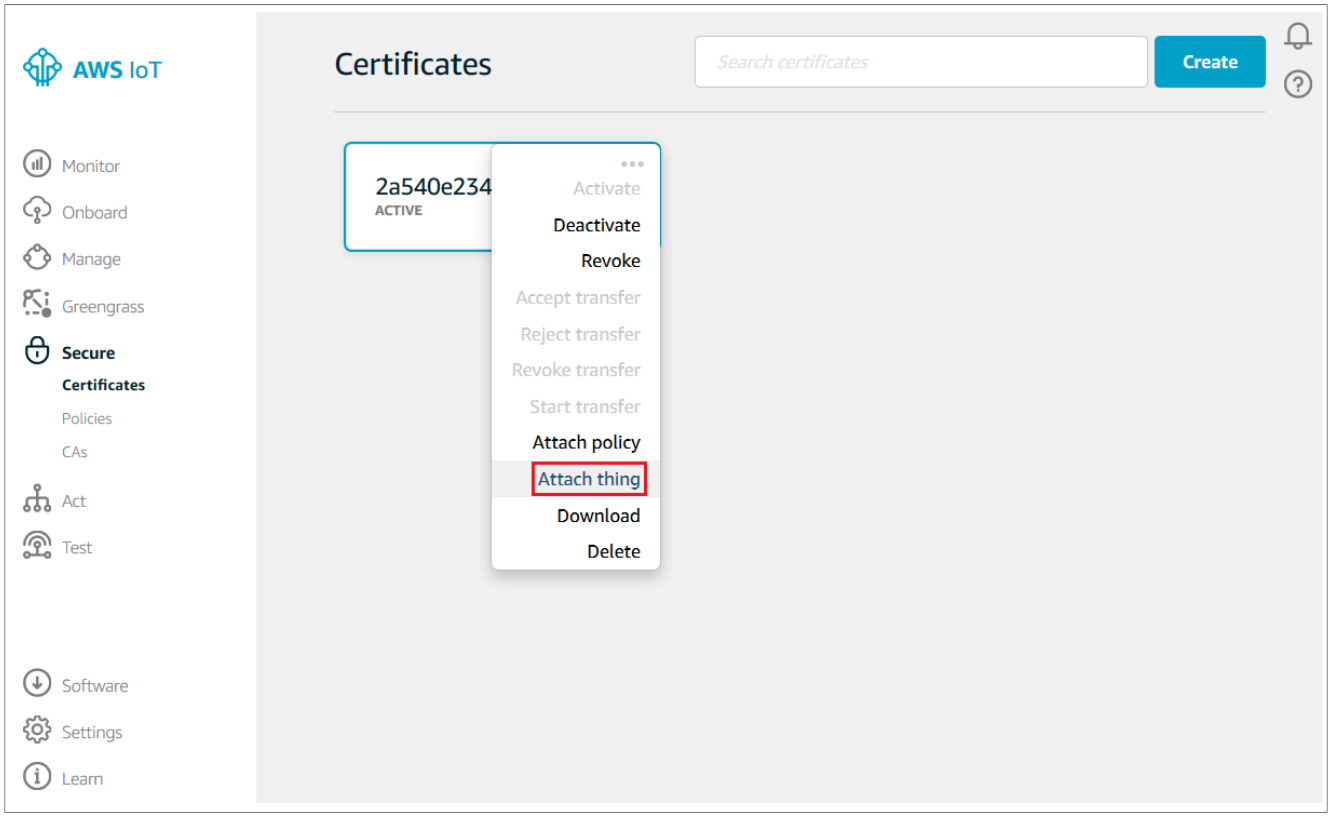


3. In **Attach policies to certificate(s)**, select the checkbox next to the policy you created in the previous step, and then choose **Attach**.

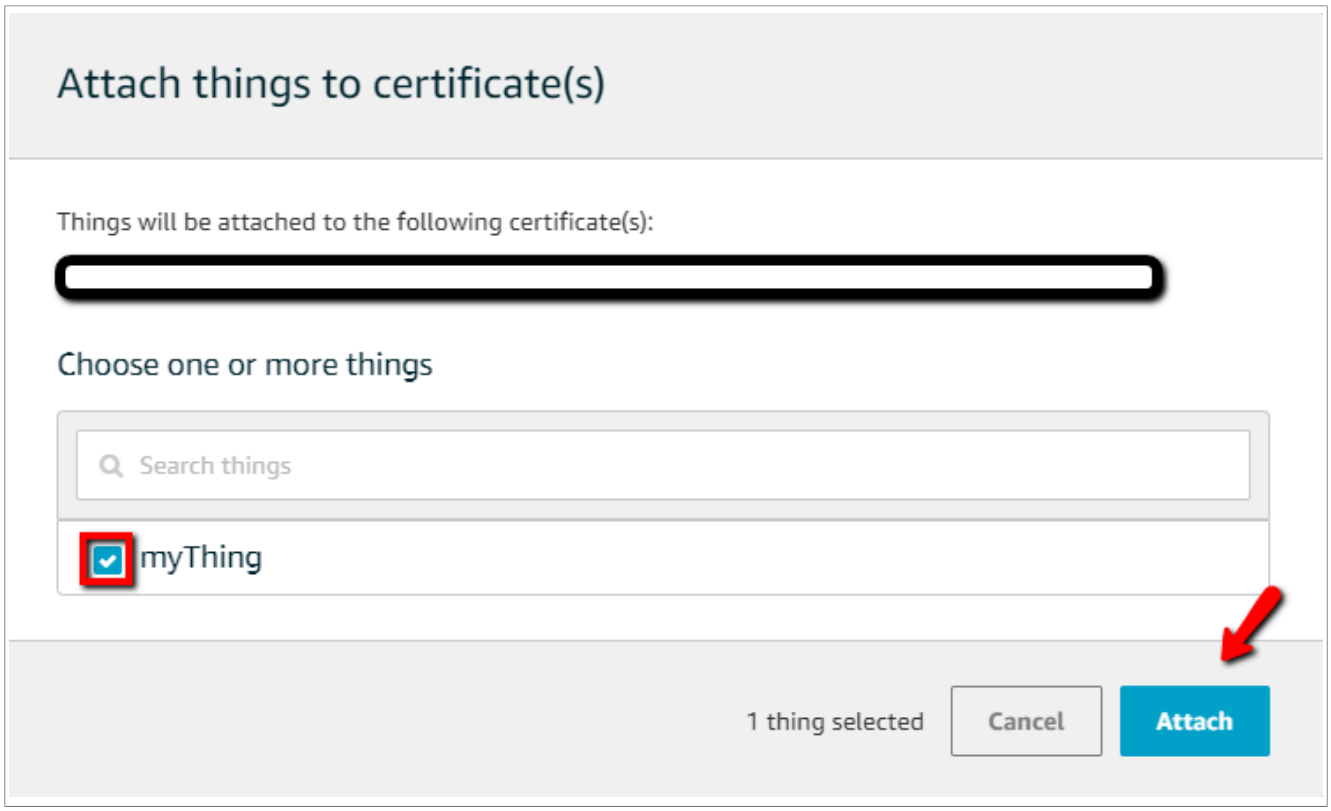


4.4 Attach a certificate to a thing

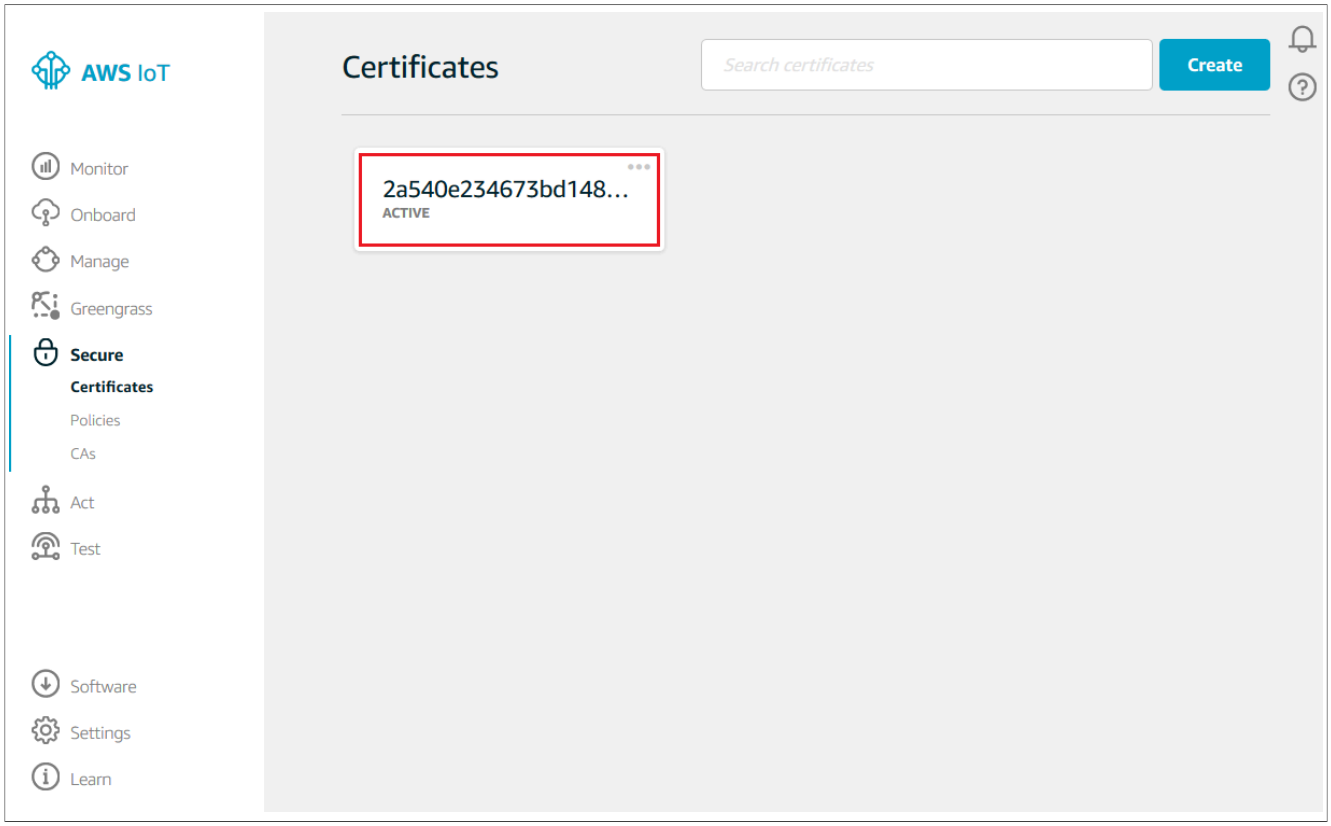
1. In the box for the certificate you created, choose **...** to open a drop-down menu, and then choose **Attach thing**.



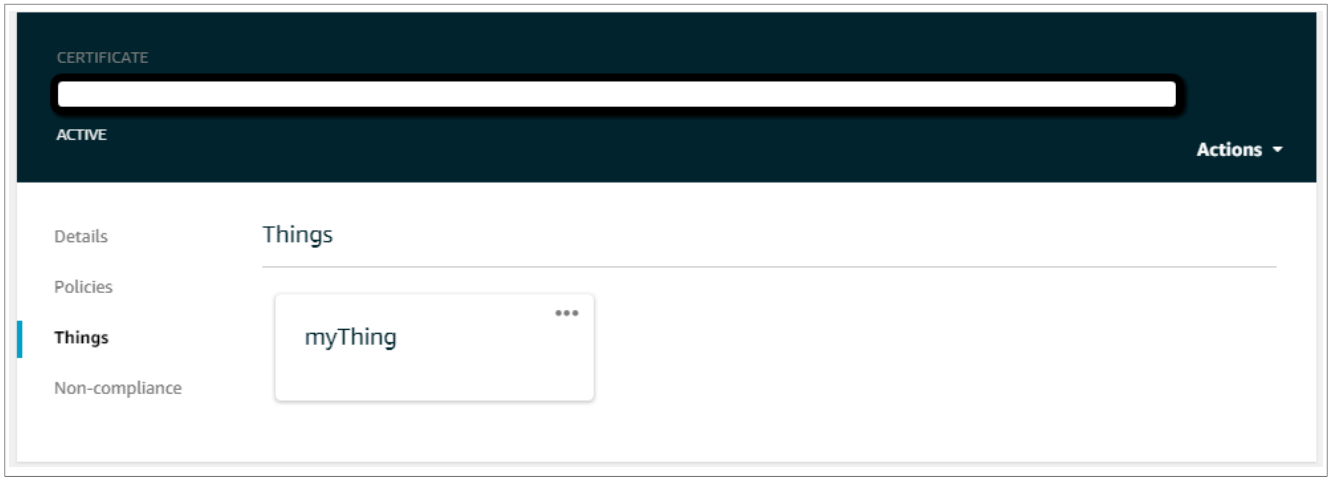
2. In **Attach things to certificate(s)**, select the checkbox next to the thing you registered, and then choose **Attach**.



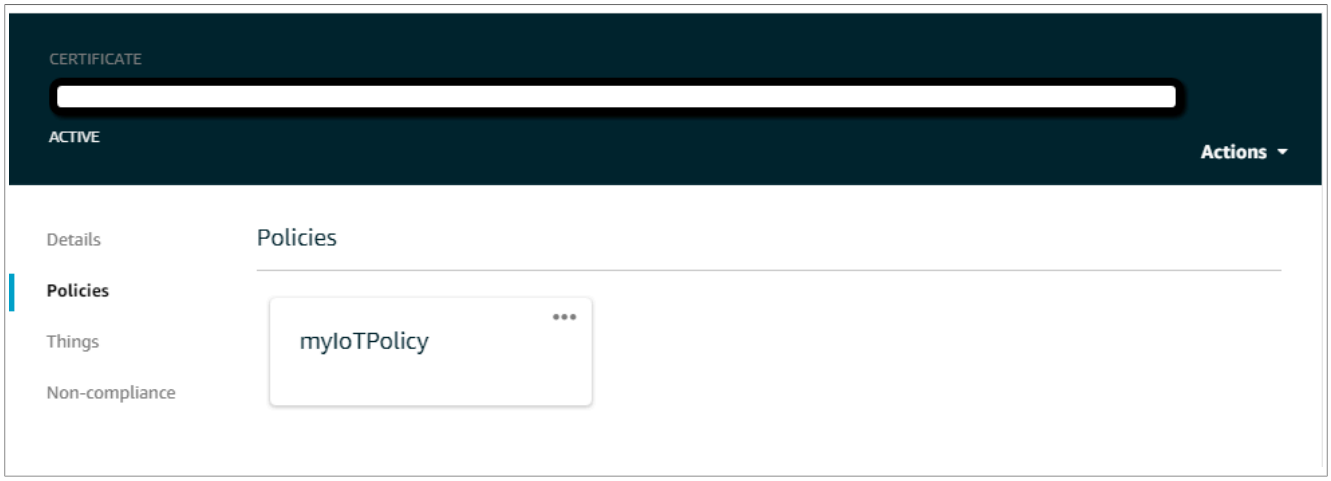
3. To verify that the thing is attached, select the box for the certificate.



4. On the **Details** page for the certificate, in the left navigation pane, choose **Things**.



5. To verify that the policy is attached, on the **Details** page for the certificate, in the left navigation pane, choose **Policies**.

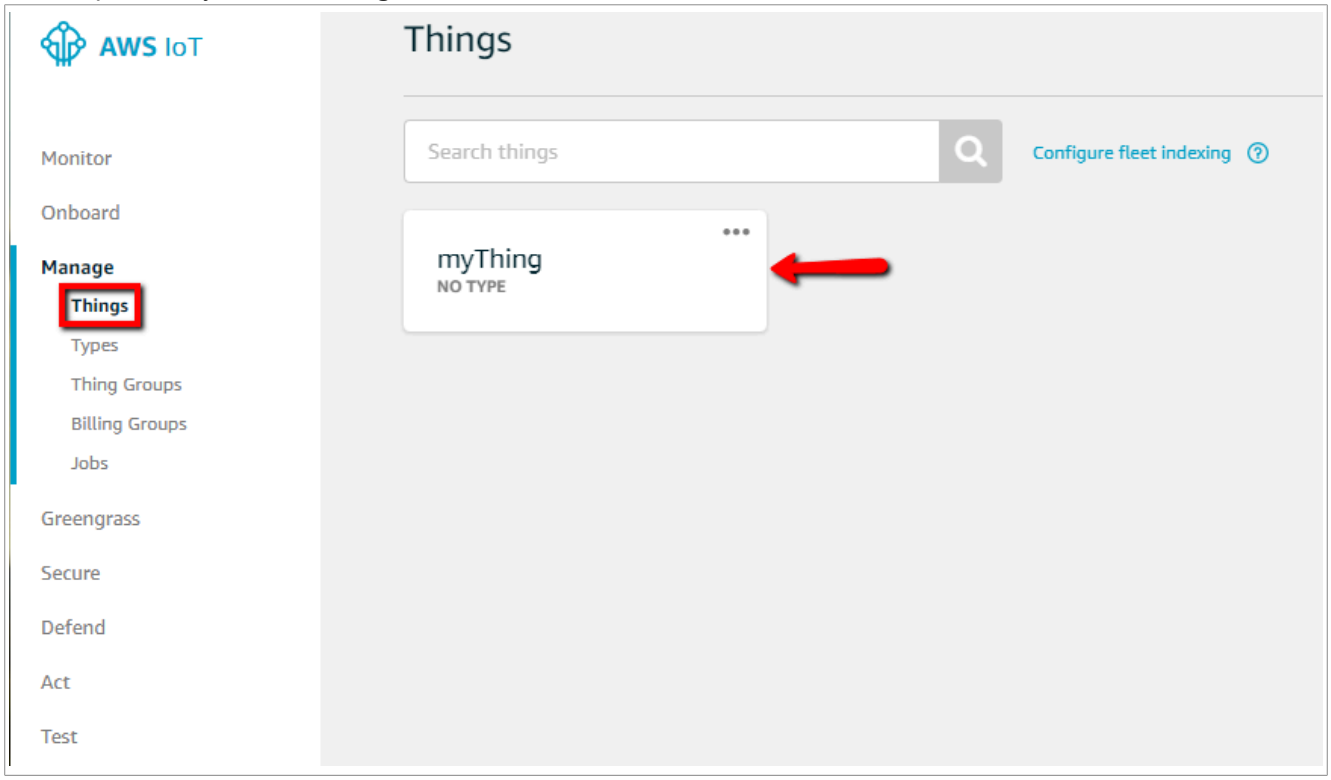


5 Configure the device

The related SDK code folder is available here: `SDK_2.x.x_EVK-MIMXRT1060\boards\evkmimxrt1060\aws_examples\ota_demo_enet`.

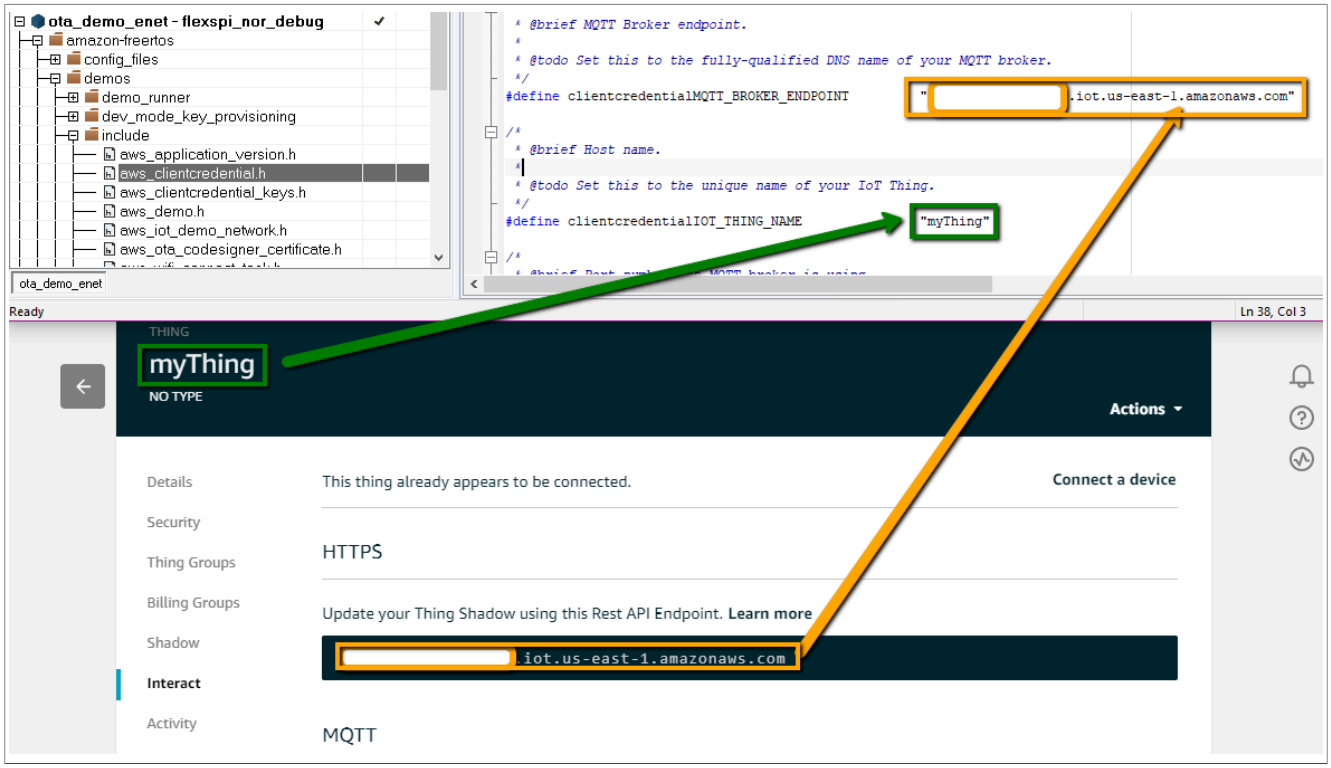
5.1 aws_clientcredential.h

1. Open the AWS IoT console website https://console.aws.amazon.com/iot/
2. On the **Welcome to the AWS IoT Console** page, in the navigation pane, choose **Manage – Things** select the previously created **Thing**.



3. In the navigation pane, choose **Interact,** copy the **REST API** endpoint and **IoT Thing** name.

4. Inside the OTA project, open amazon-freertos – demos – include – aws_clientcredential.h and set the **REST_API** and **IoT Thing** name obtained in the previous step.



5.2 aws_clientcredential_keys.h

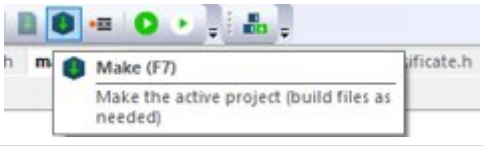
1. Open file with certificate as mentioned in Section 4.1 "Create an AWS IoT thing", step 8, using a text editor.
2. Copy all the content, paste the information in the: `keyCLIENT_CERTIFICATE_PEM`.
   Note: *Ensure to add " at the beginning of a line and* ***\n"*** *on every line break.*
3. In same way update `keyCLIENT_PRIVATE_KEY_PEM` with content of private key file. See, Section 4.1 "Create an AWS IoT thing", step 9.
4. In same way update `keyCODE_VERIFY_PUB_KEY_PEM` with content of code signing public key file See, Section 2.5 "Creating a code-signing certificate", step 5.

```
#define keyCODE_VERIFY_PUB_KEY_PEM "-----BEGIN PUBLIC KEY-----\n"\
"MFkwEwYHKoZIzj0CAQYIKoZIzj0DAQcDQgAEP+TuNMCexaXyXDB4Xww2Mi0xHXF+\n"\
"3KgmLHPI16/OrD33IT5qDecJE+fSi91PyqGpjLiBsCZls2RihOTsF/ZhyQ==\n"\
"-----END PUBLIC KEY-----\n"
```

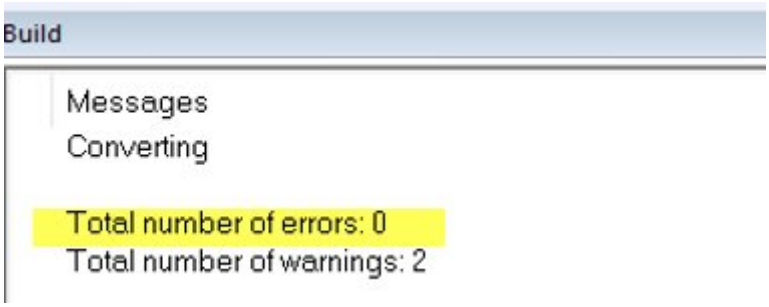
Figure 1. Example key

5.3 Build

1. Click the make button to start building the application.



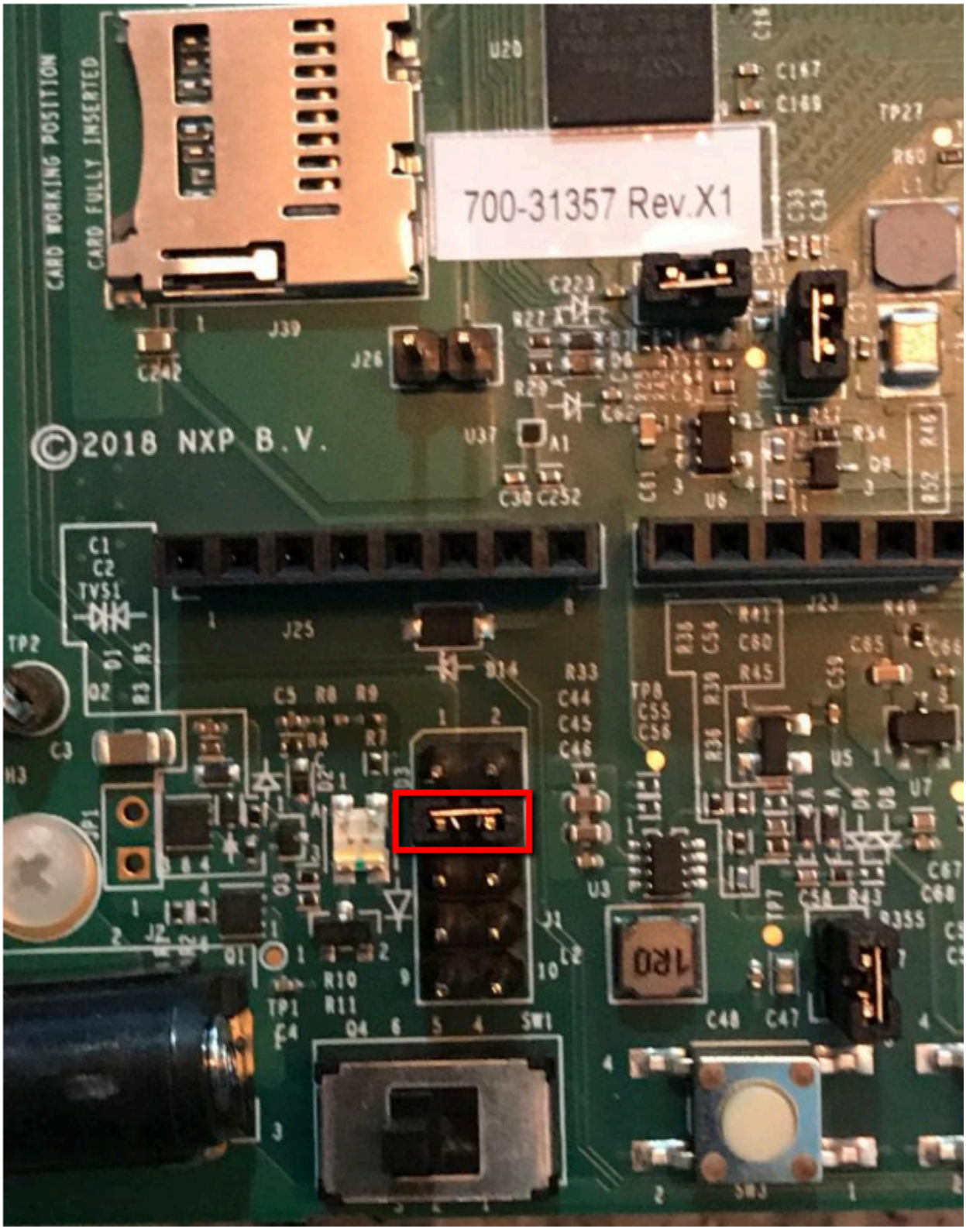
2. If the build is successful, Zero errors message is printed in build console.



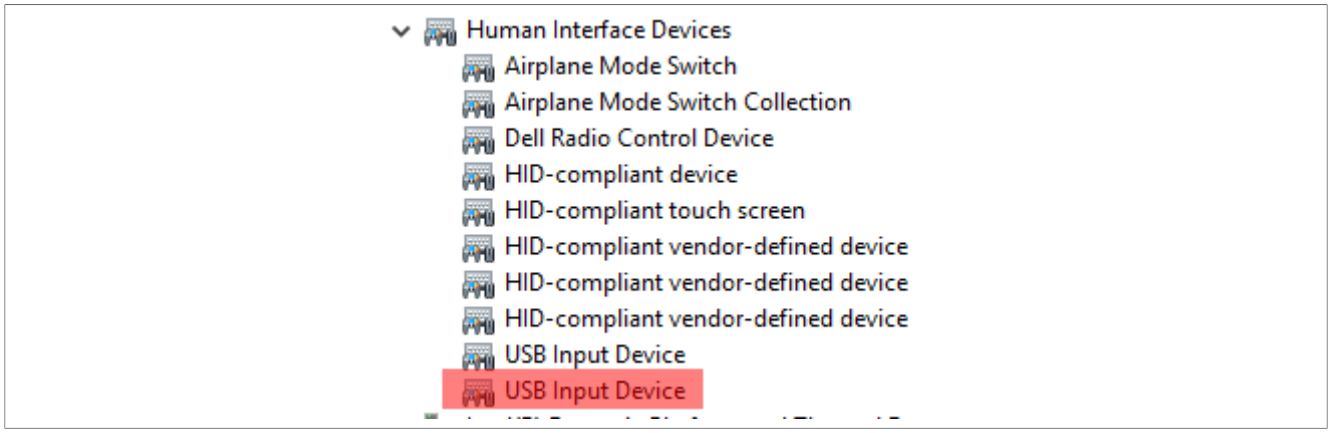
5.4 Programming mcu-boot into flash

1. Set all SW7 positions to off.

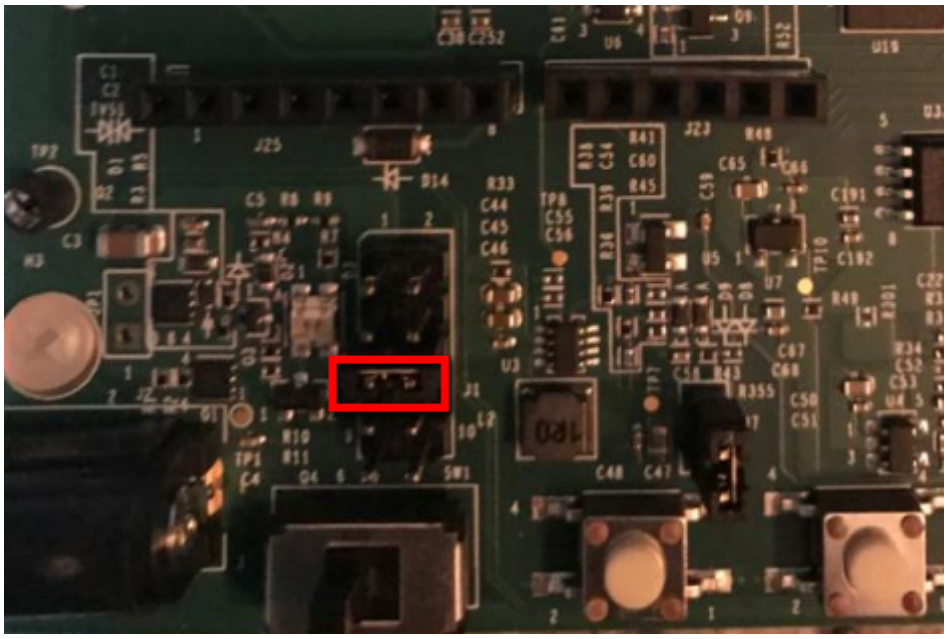
2. Locate J1, then move the jumper to **3-4**.



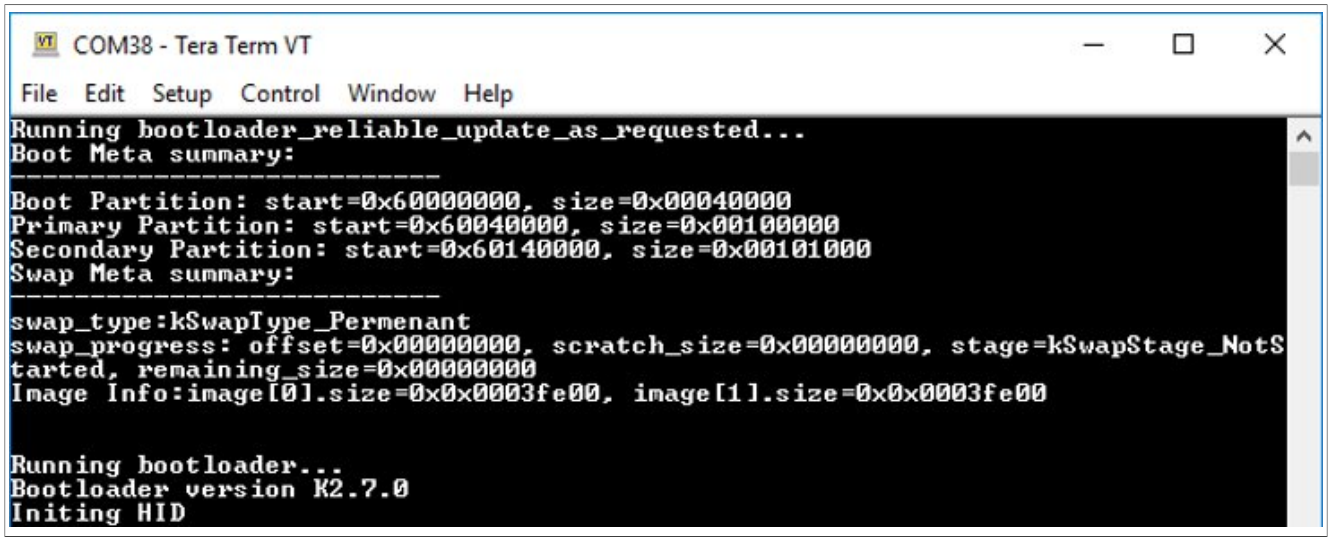
3. Connect the board to PC via J9 USB connector.
4. Reset the board using **SW3**, then make sure that your RT1060-EVK gets enumerated like Human Interface Devices – USB Input device.



5. Open the Command Prompt window.
6. Execute the following commands. It is recommended, but not required, to have bash interpreter at hand. Git bash does the job https://gitforwindows.org/ > cd ..\OTA_Bootloader_Scripts-4e081f\OTA_Bootloader_Scripts_0.5 > generate_ota_bootloader_and_program_it_to_flash.sh.
7. Disconnect the USB cable from the J9 USB connector.
8. Set SWD7[1:4]:0010.
9. Return J1 jumper to the default setting **5-6**.

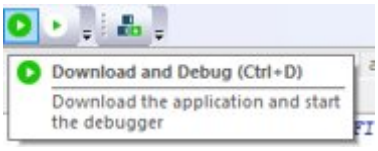


10. Connect the RT1060-EVK to the PC using the OpenSDA USB connector J41, mimxrt1060-evk, and SCH rev A2. Use some terminal application to connect the virtual com port to see the console.
11. Reset the EVK using SW3 at this moment that you should be able to see bootloader messages being printed on a terminal.



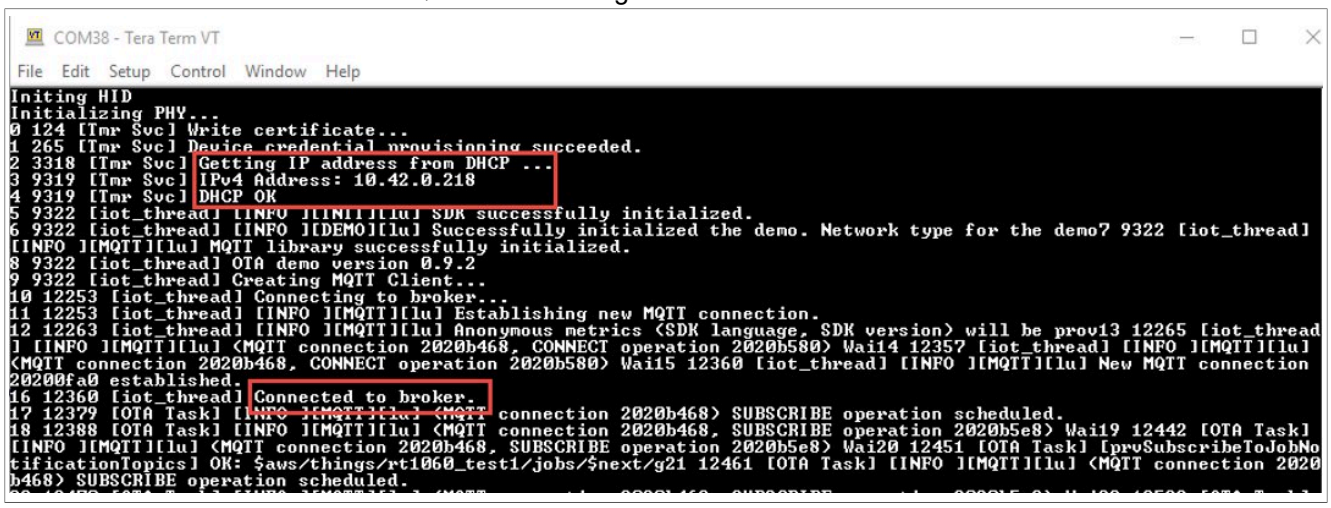
5.5 Flashing the OTA Agent application

1. Attach the Ethernet cable with Internet connection and local DHCP server.
2. Click the download and debug button to start flashing the device.



3. When the device is flashed, the debug pointer turns green the main entry point.
4. Click the Go button to start running the program.

Double check that there are MQTT AWS messages on the terminal.



5. Stop the debug session.

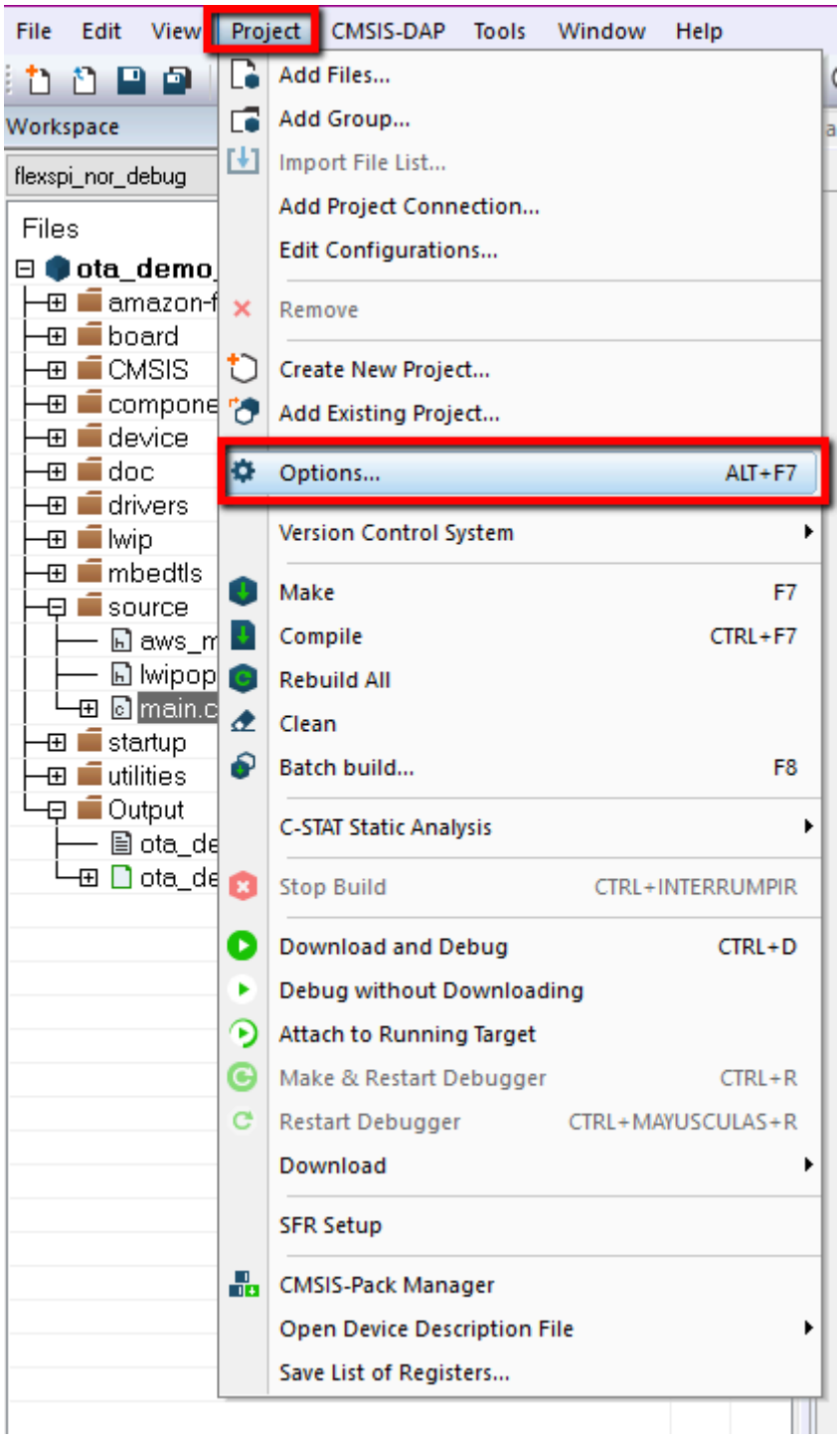
6 OTA

6.1 Create new image

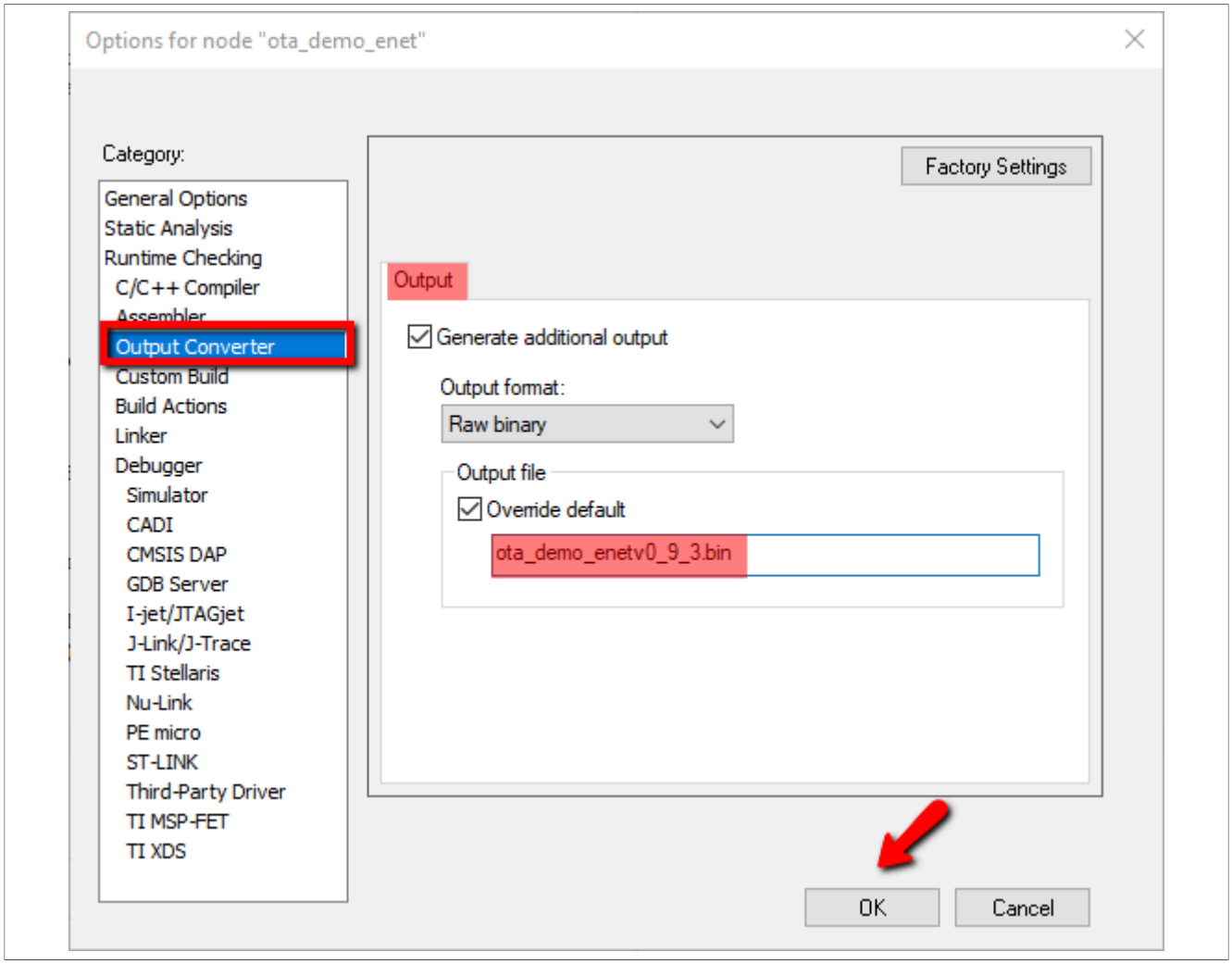
1. Open `ota_config.h`.
2. Change any of the APP_VERSION macros to a higher number.

```
#define APP_VERSION_MAJOR 0
#define APP_VERSION_MINOR 9
#define APP_VERSION_BUILD 3//2
```

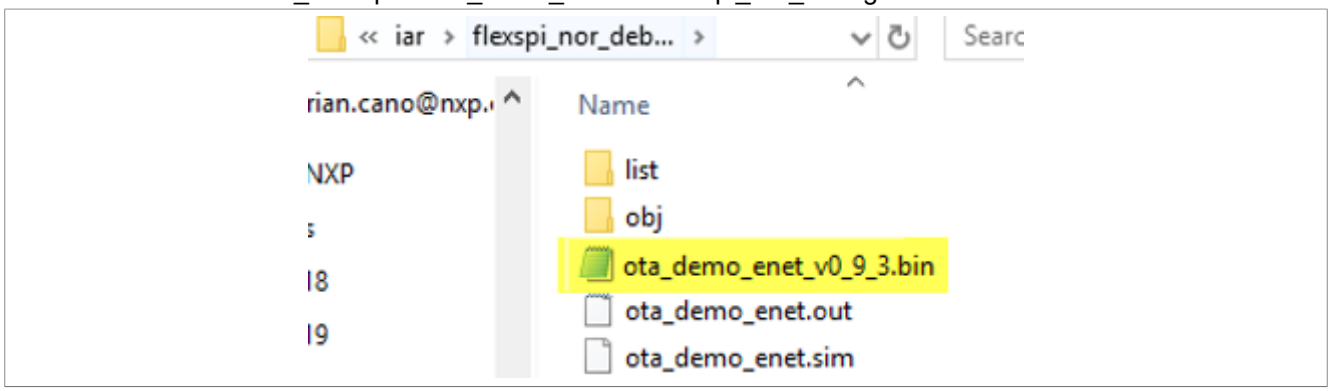
3. Open **Project > Options**.



4. In the Category section, choose **Output Converter**.
5. Change the name of the binary so it matches the version change then click **OK**.



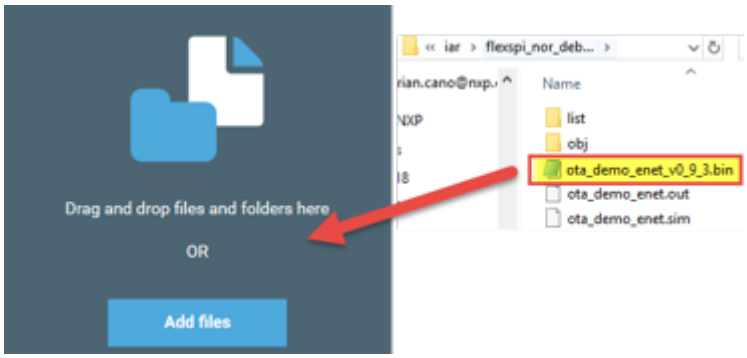
6. Use the make button to build and generate the binary. Look for the binary inside the …boards \evkmimxrt1060\aws_examples\ota_demo_enet\iar\flexspi_nor_debug folder.



6.2 Uploading the binary to the S3 bucket

1. Use AWS console to open the S3 service https://console.aws.amazon.com/s3.
2. Select the previously created bucket.
3. Click **Upload**.

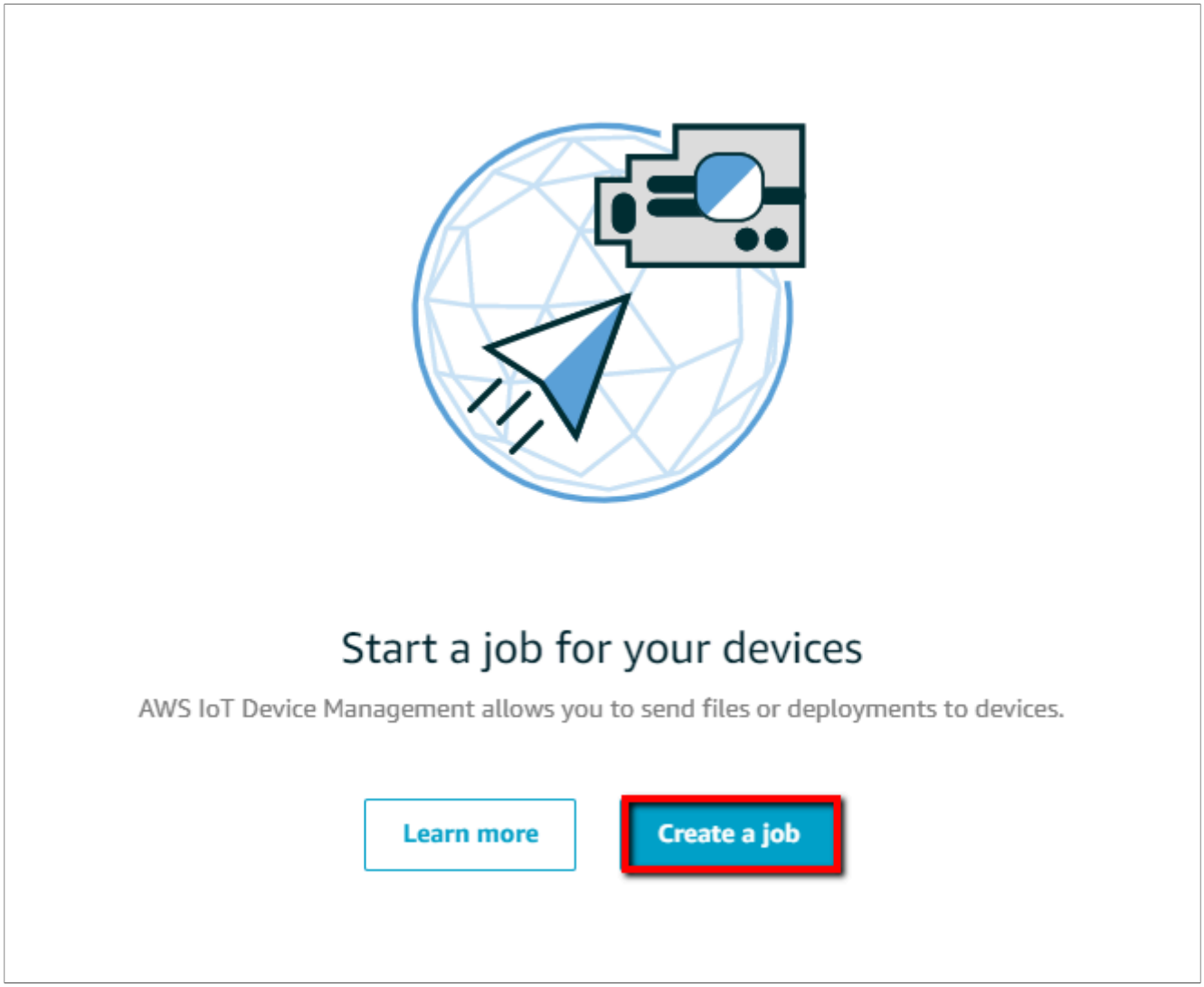
4. Drag and drop the ota_demo_enet_v0_9_3.bin binary.



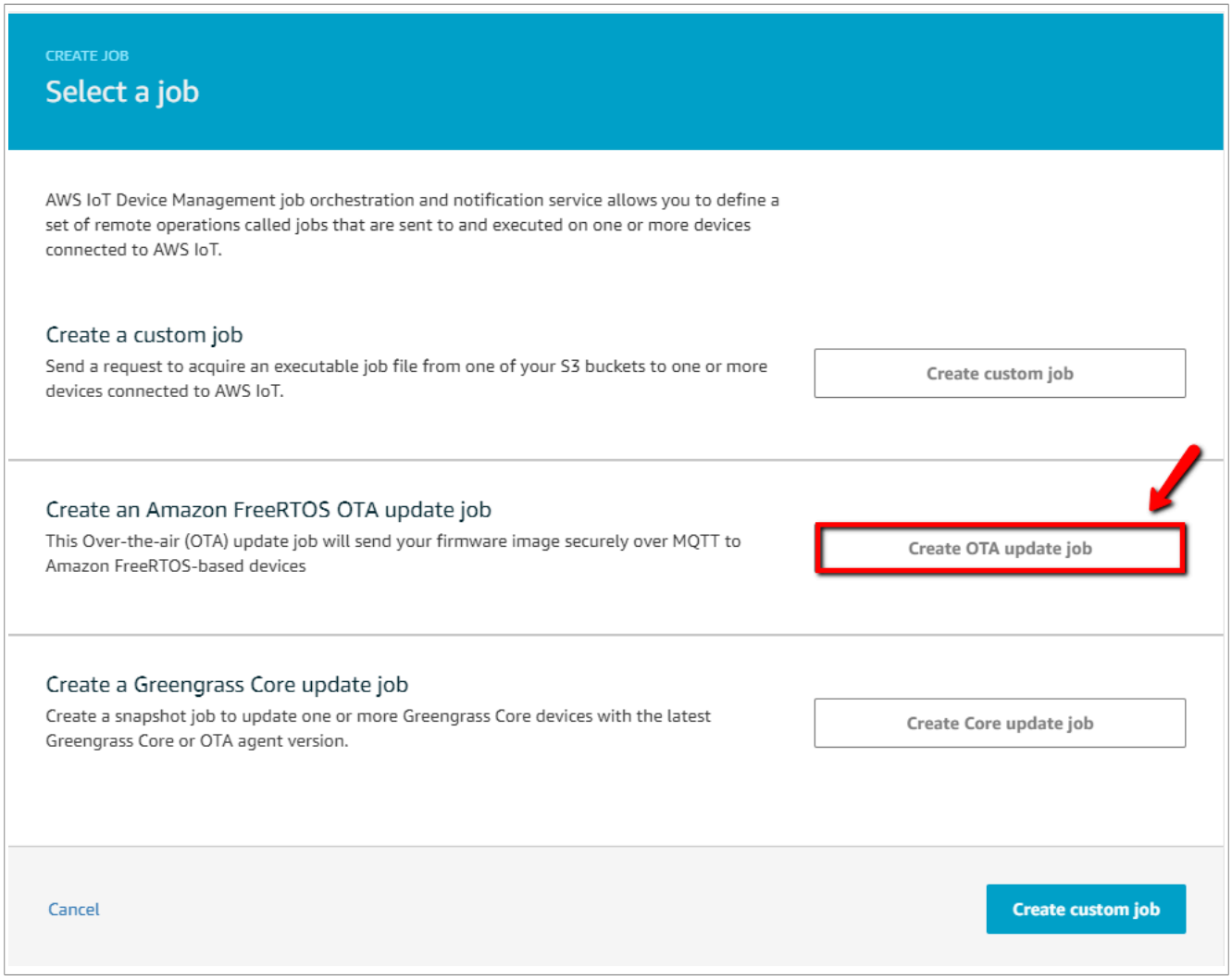
5. Click **Upload**.

6.3 Create OTA Job

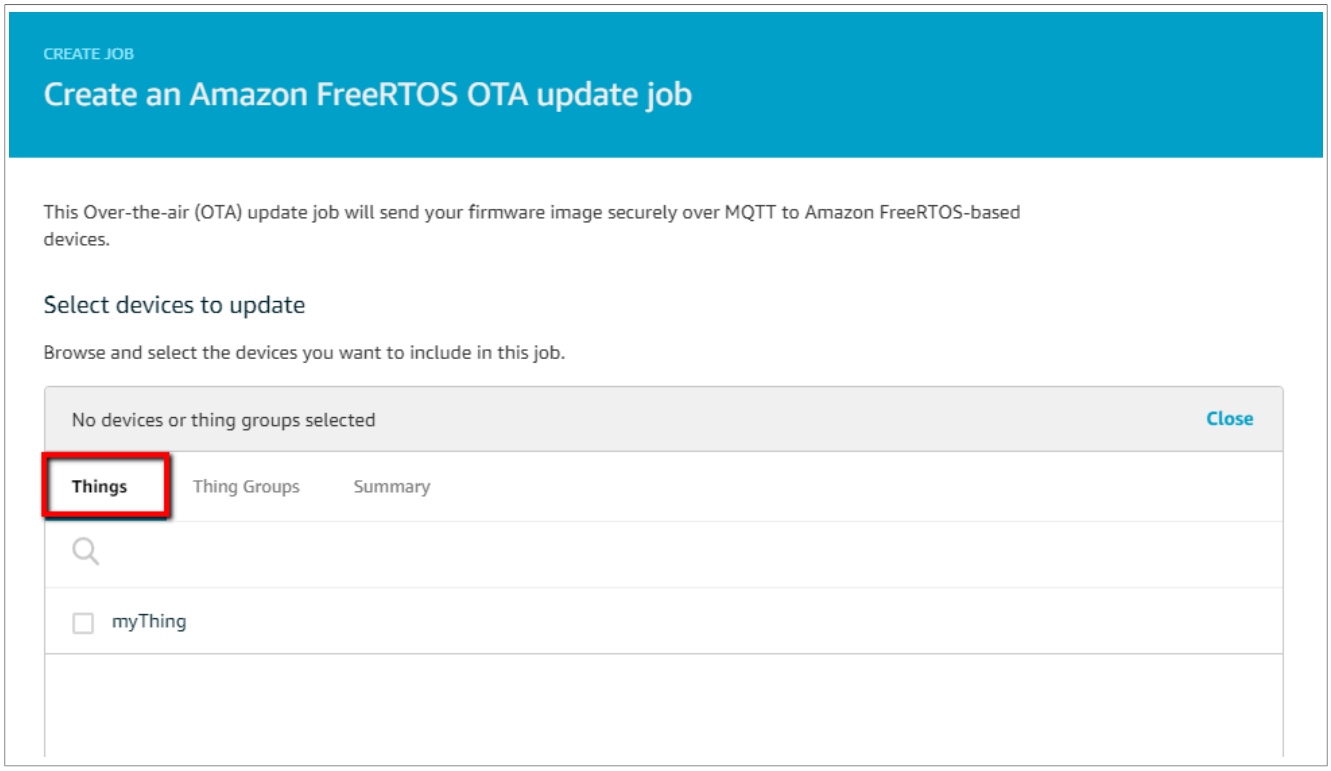
1. Open the AWS IoT console website https://console.aws.amazon.com/iot/.
2. On the **Welcome to the AWS IoT Console** page, in the navigation pane, choose **Manage – Jobs**.
3. Select **Create**.



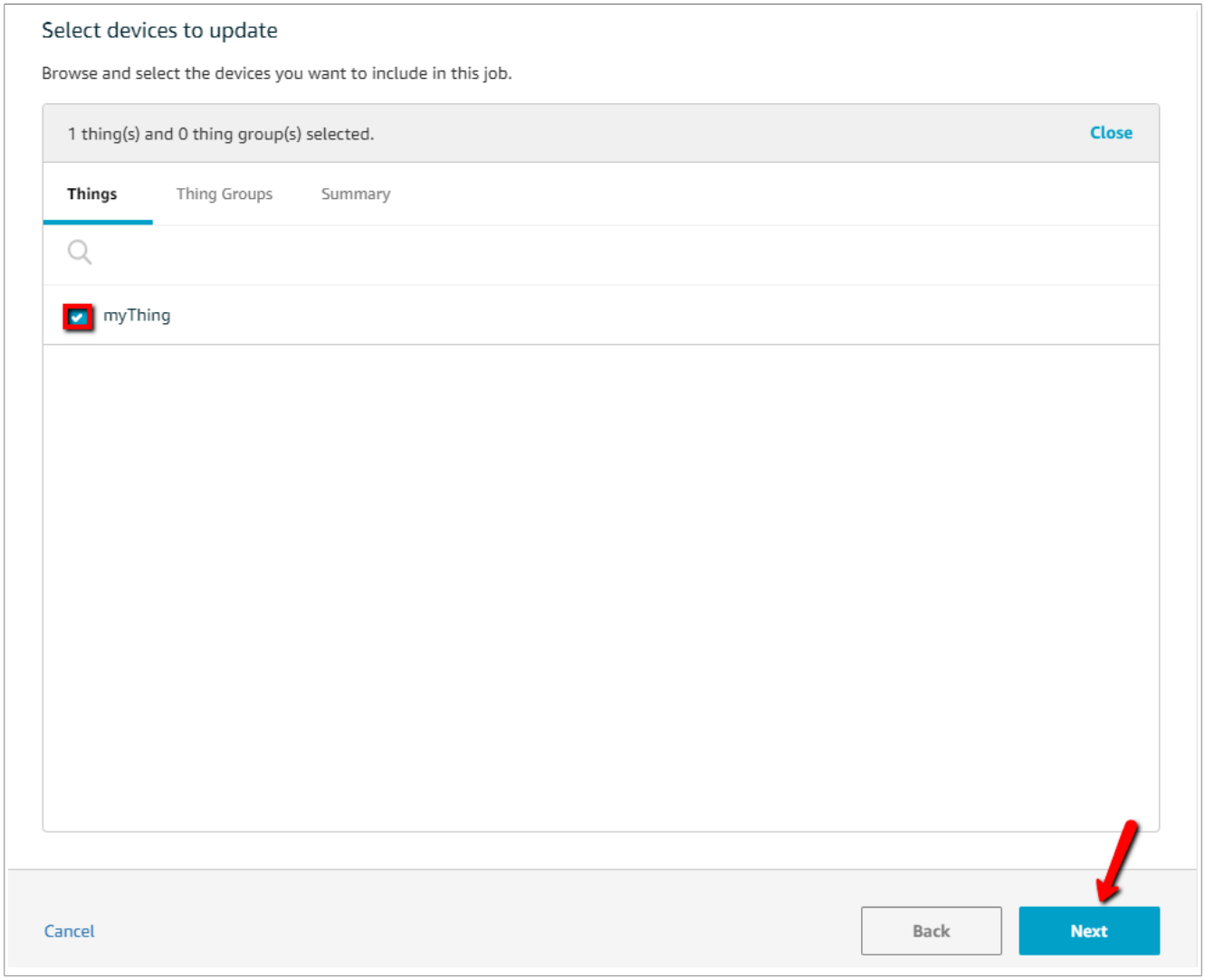
4. Under **Create an Amazon FreeRTOS Over-the-Air (OTA) update job**, choose **Create OTA update job**.



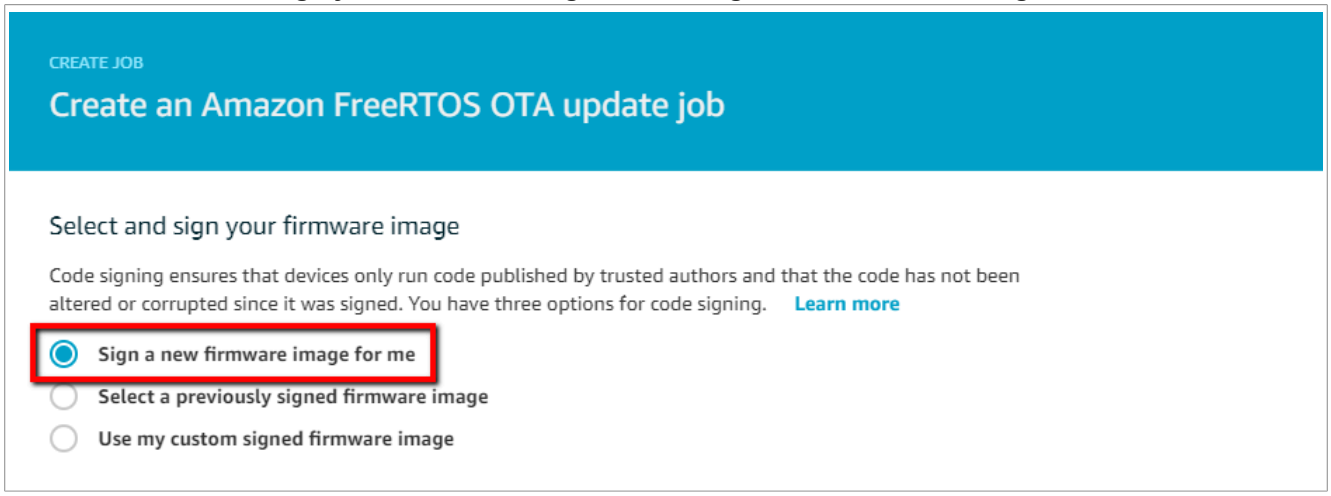
5. Under **Select devices to update**, choose **Select**. To update a single device, choose the **Things** tab.



6. Select the checkbox next to the IoT thing associated with your device. Choose **Next**.

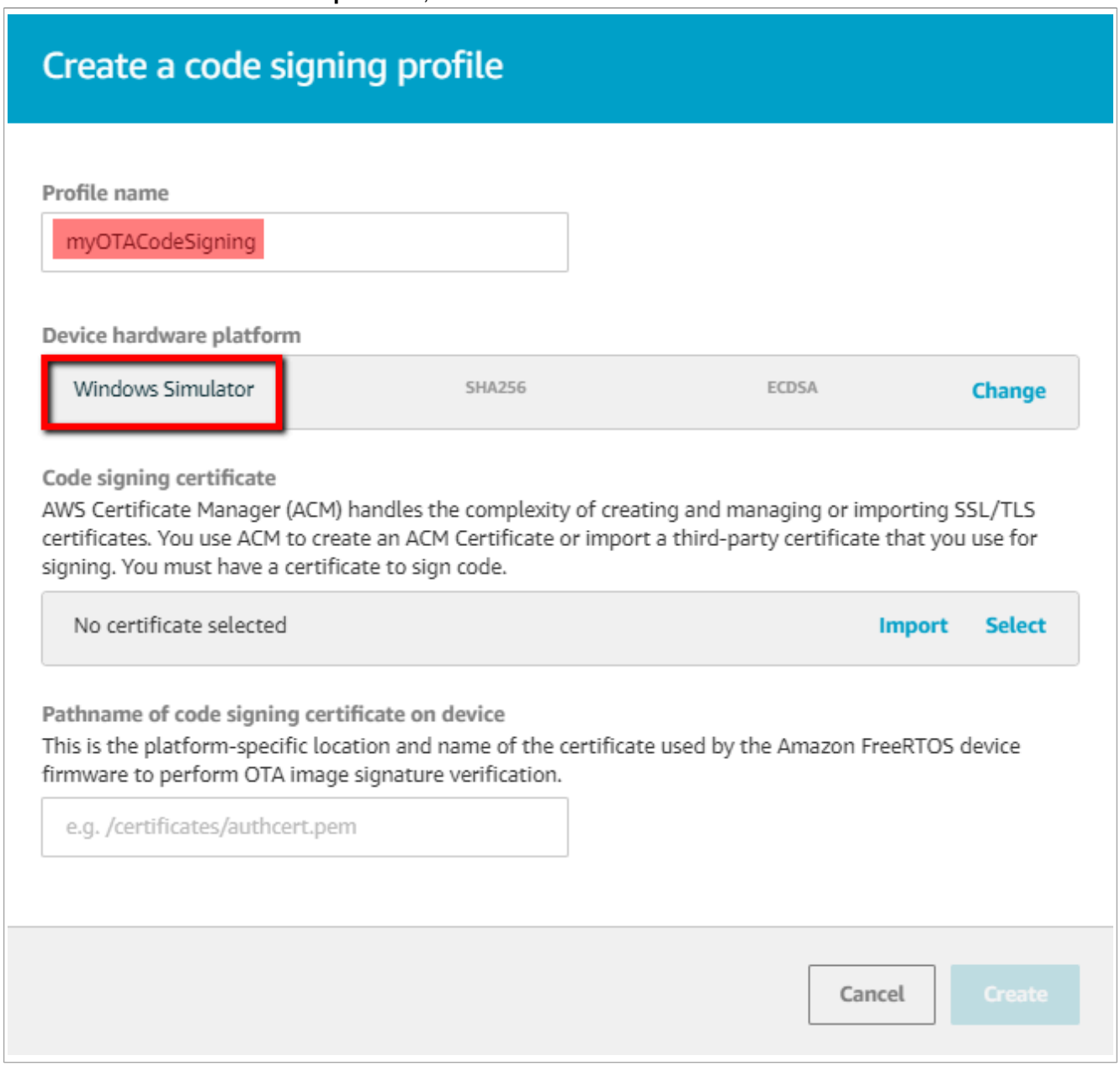


7. Under **Select and sign your firmware image**, choose **Sign a new firmware image for me**.

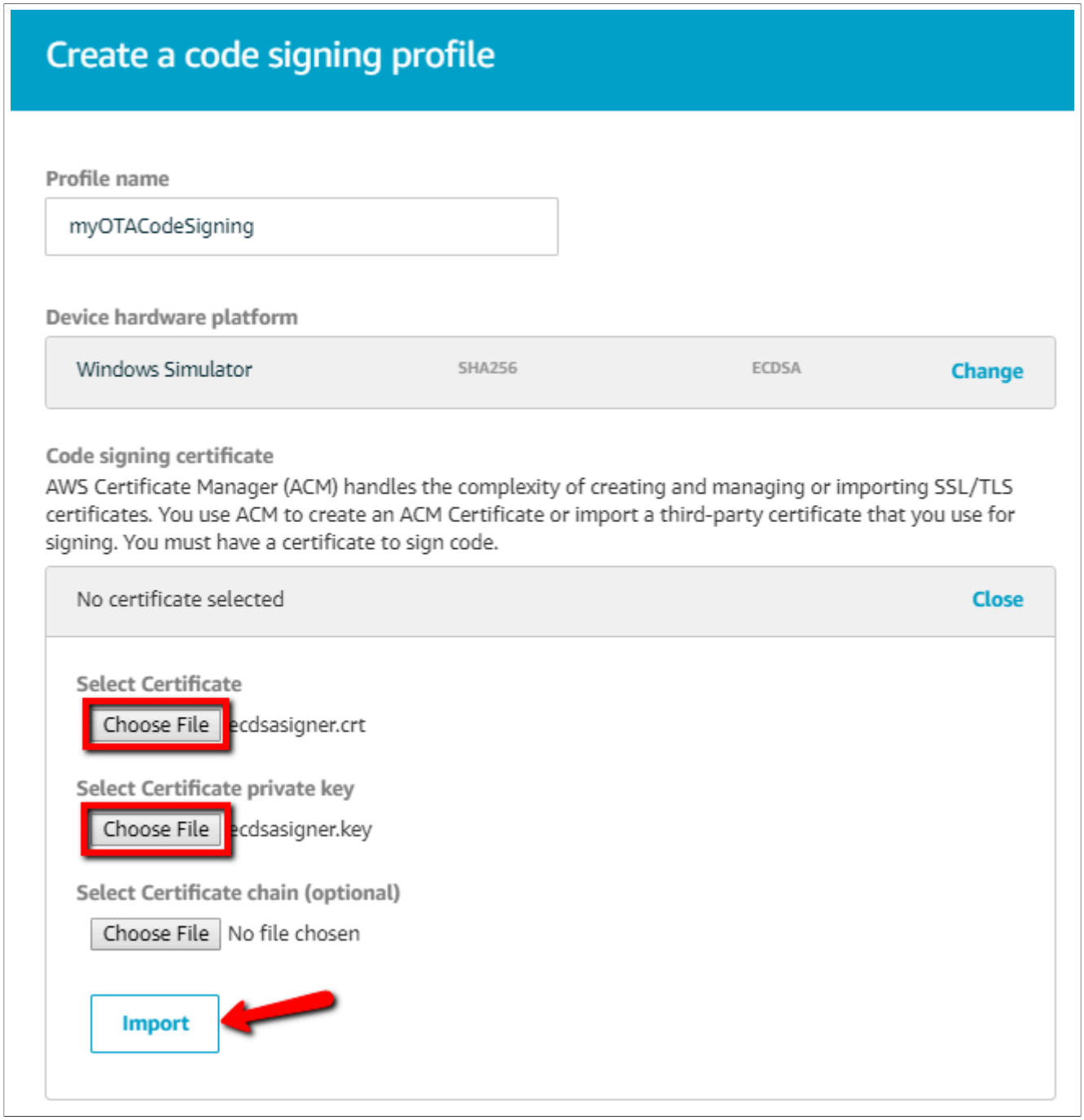


8. Under **Code signing profile**, choose **Create**.

9. In **Create a code signing profile**, enter a name for your code-signing profile.
   a. Under **Device hardware platform**, select Windows Simulator.

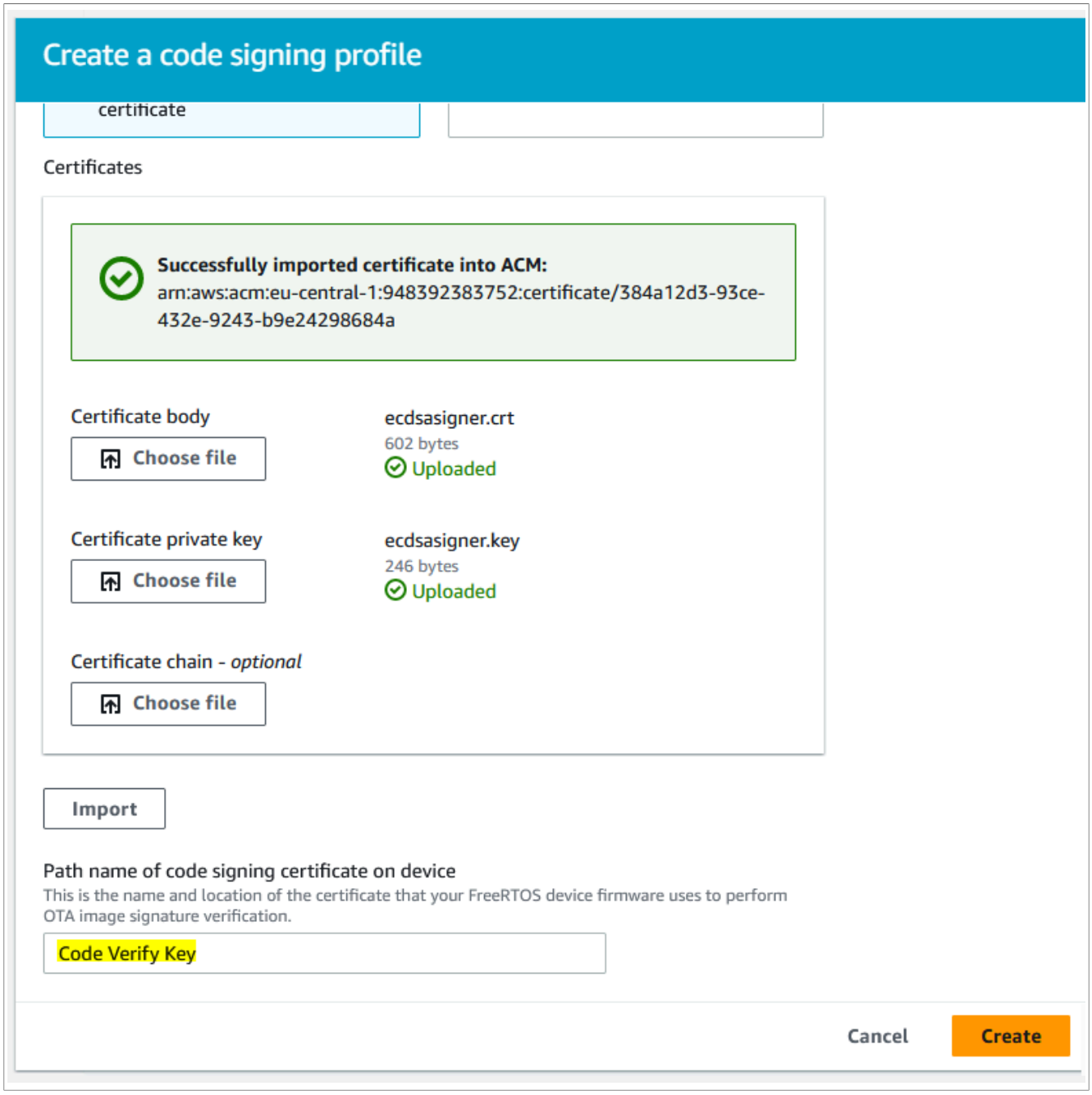


   b. Under **Code signing certificate**, choose **Import** and browse for the ecda certificate created with AWS CLI.



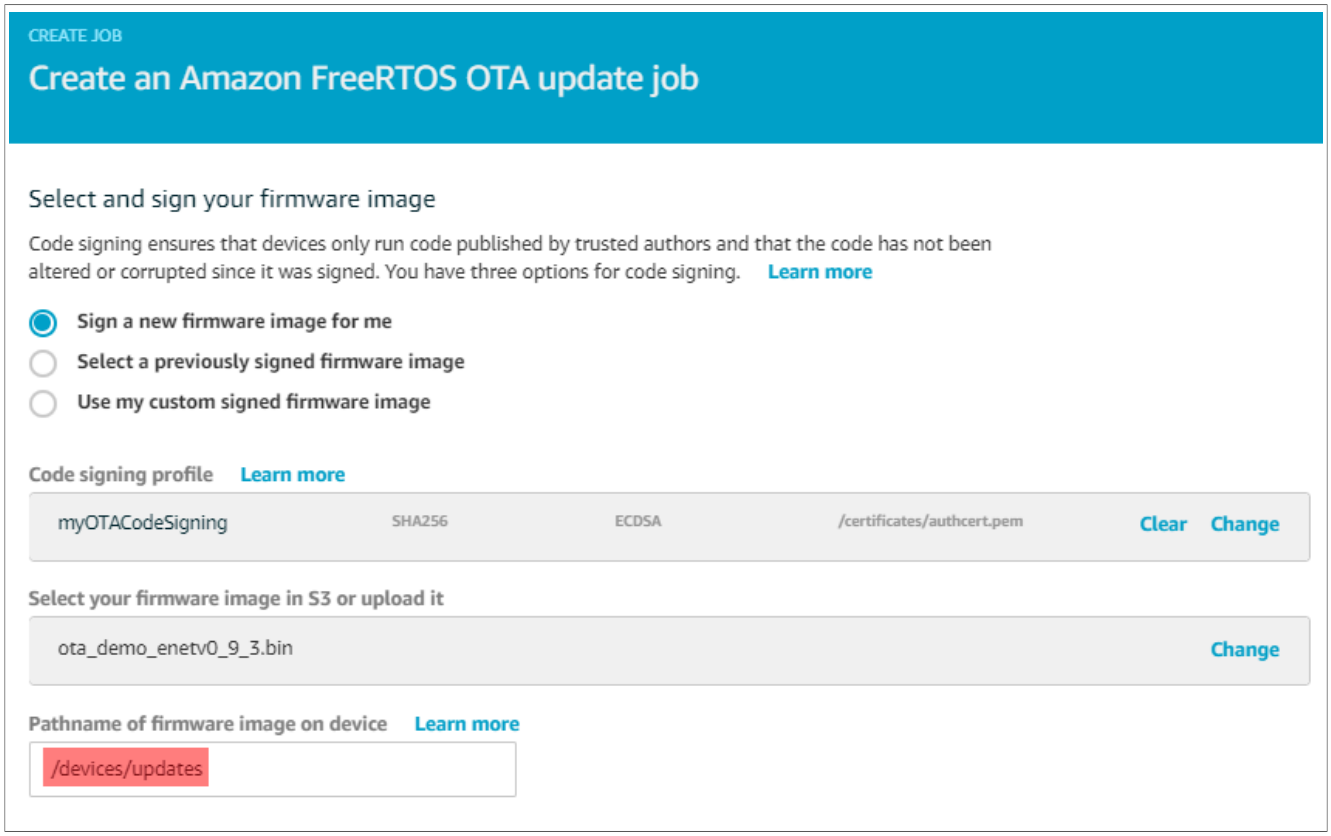
c. Under **Pathname of code signing certificate on device**, type: Code Verify Key; must align with the pkcs11configLABEL_CODE_VERIFICATION_KEY defined in core_pkcs11_config.h.

d. click **Create**.

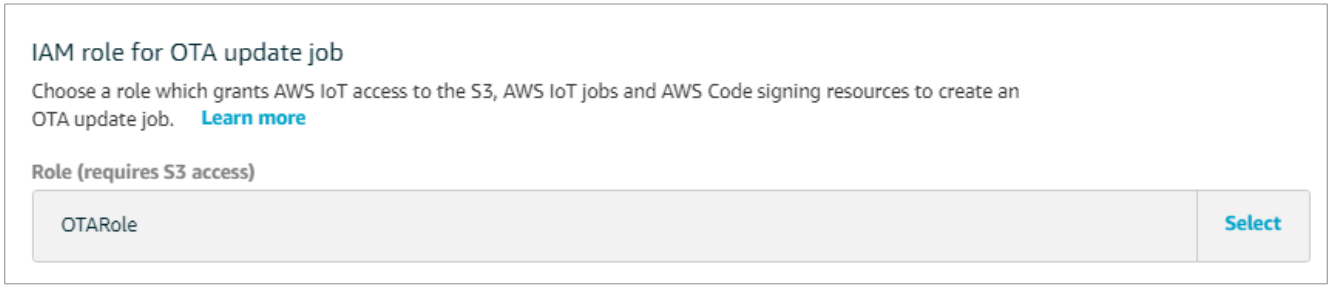


10. Under **Select your firmware image in S3**, choose **Select**.

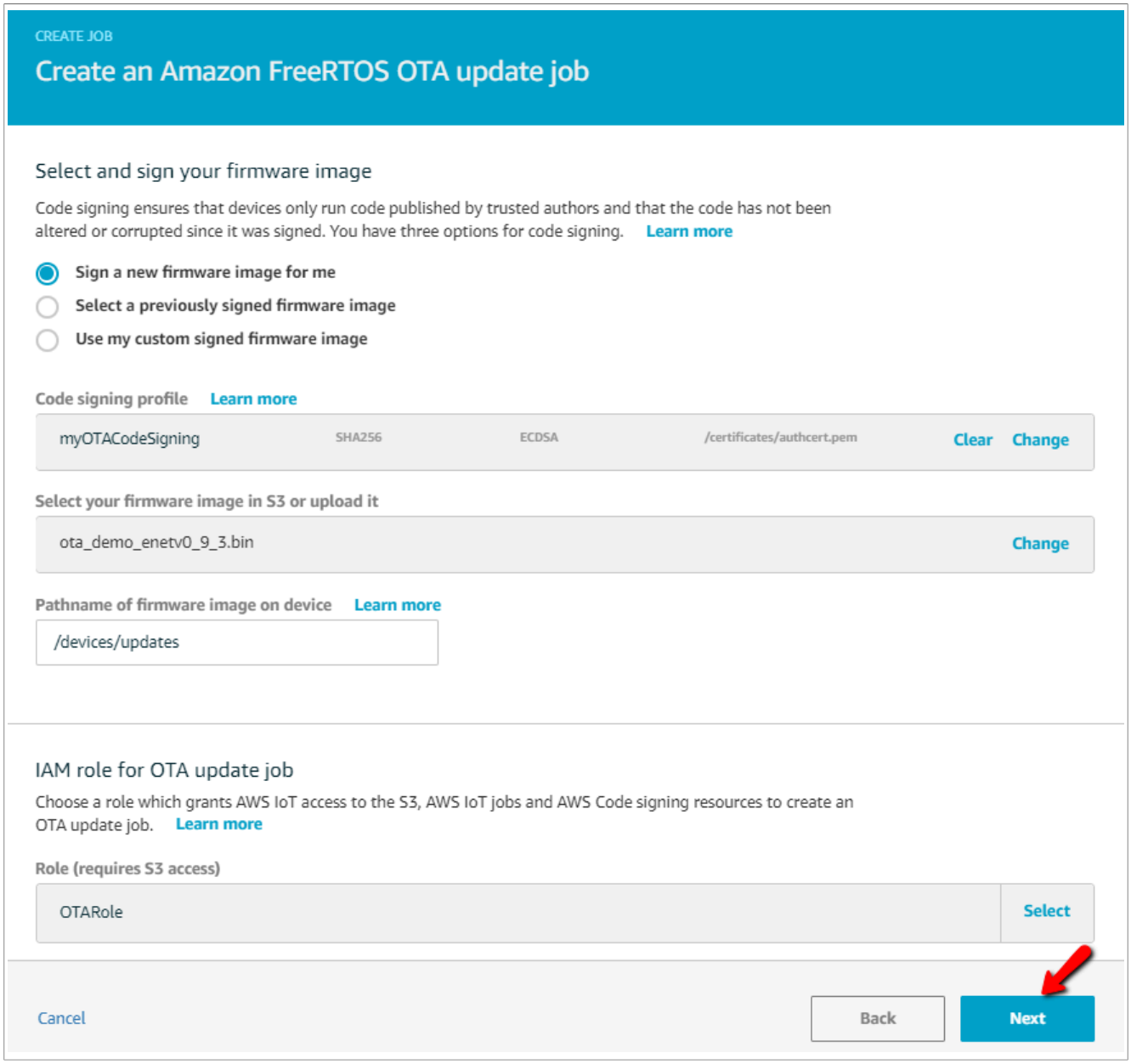
11. Under **Pathname of firmware image on device**, type the default path /device/updates.



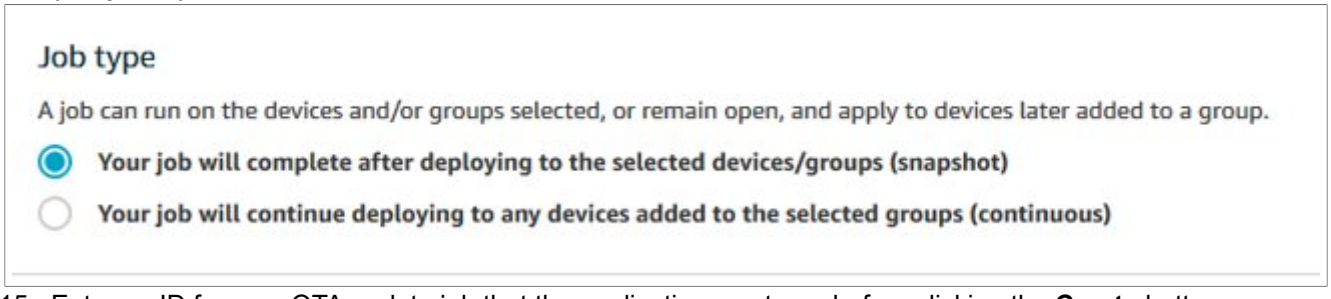
12. Under **IAM role for OTA update job**, choose the role created in previous steps.



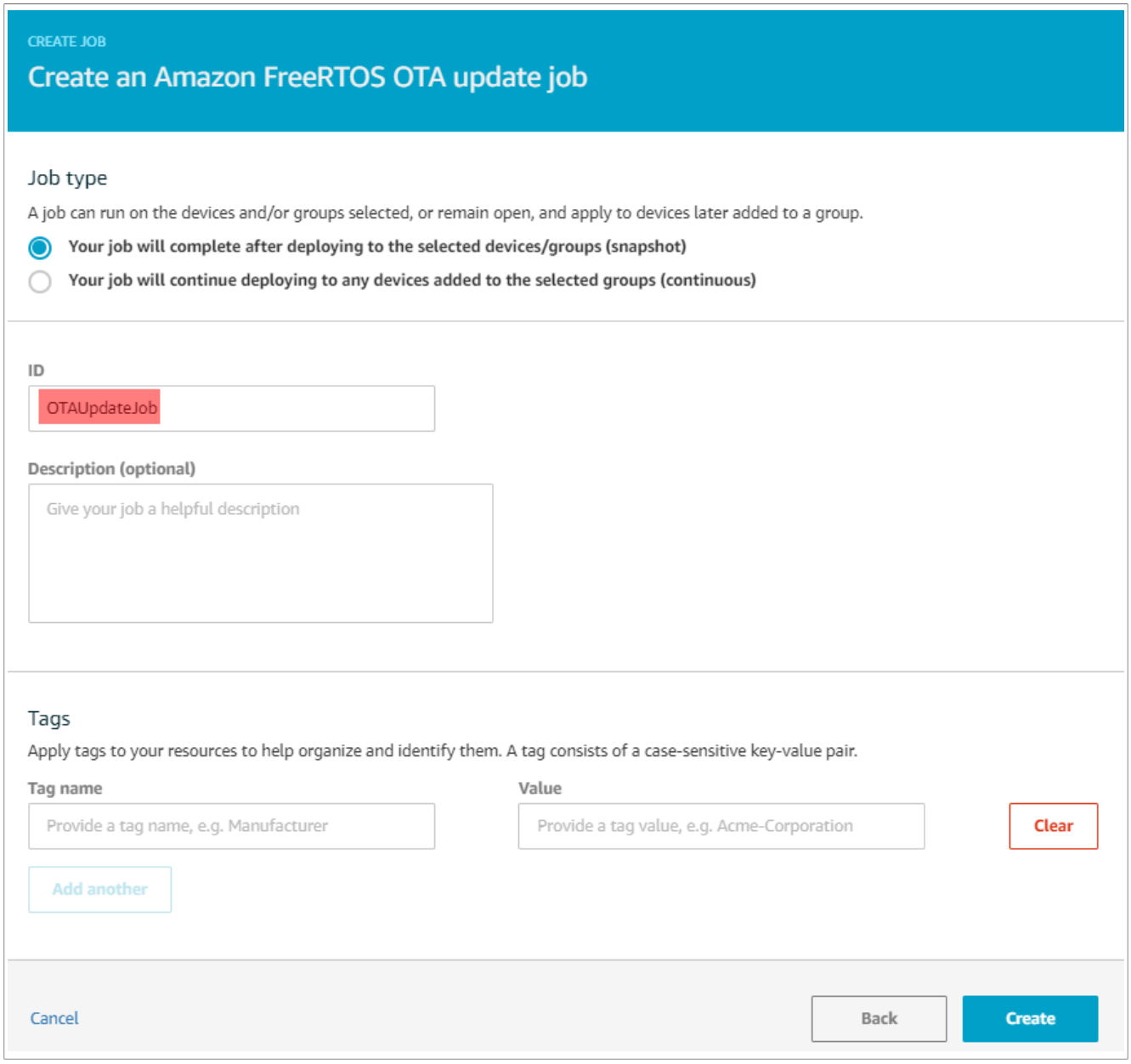
13. Choose **Next**.



14. Under **Job type**, choose **Your job will complete after deploying to the selected devices/groups (snapshot)**.



15. Enter an ID for your OTA update job that the application must run, before clicking the **Create** button.



6.4 Running the application

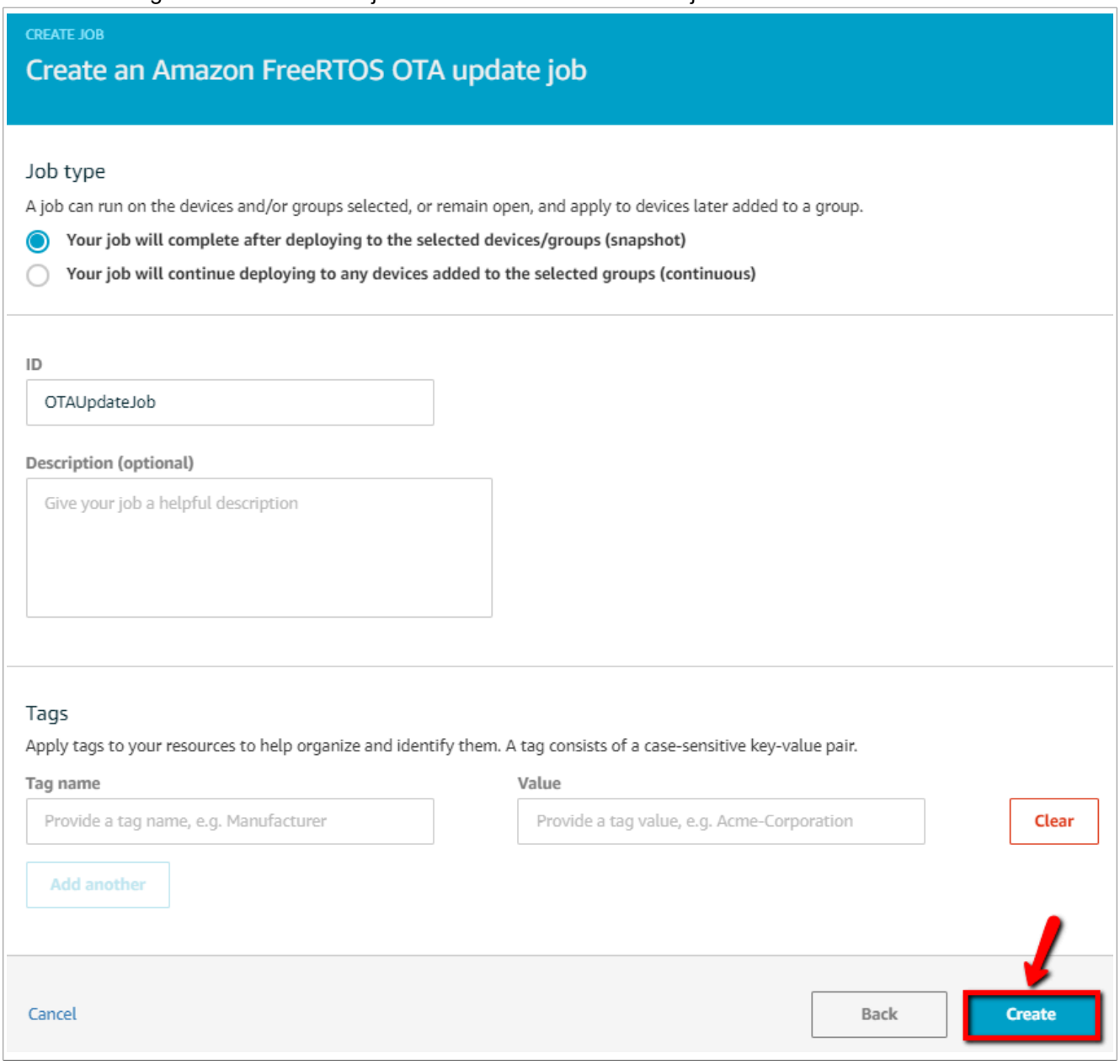
1. Open `ota_config.h`.
2. Change any of the APP_VERSION macros to the original value.

```
#define APP_VERSION_MAJOR 0
#define APP_VERSION_MINOR 9
#define APP_VERSION_BUILD 2
```

3. Make and Download and Debug.
4. When running the application, wait until the message of the OTA State Ready is shown in the serial terminal.

```
60 23602 [iot_thread] State: Ready  Received: 1   Queued: 1   Processed: 1   Dropped: 0
61 24602 [iot_thread] State: Ready  Received: 1   Queued: 1   Processed: 1   Dropped: 0
62 25602 [iot_thread] State: Ready  Received: 1   Queued: 1   Processed: 1   Dropped: 0
63 26602 [iot_thread] State: Ready  Received: 1   Queued: 1   Processed: 1   Dropped: 0
64 27602 [iot_thread] State: Ready  Received: 1   Queued: 1   Processed: 1   Dropped: 0
```

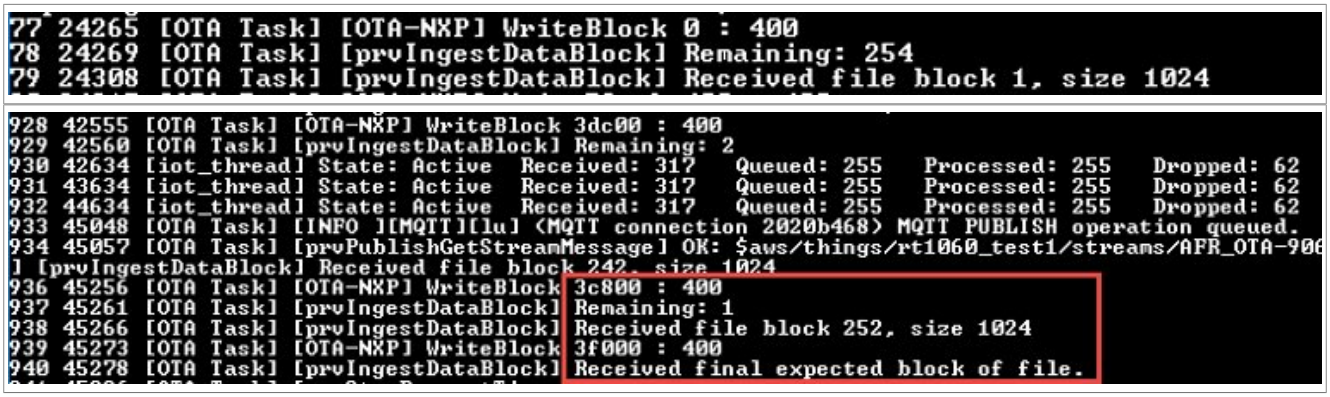
5. The OTA agent waits for an OTA job. Go back to the Create OTA job window and click **Create**.



6. The process starts, you can see a similar output.

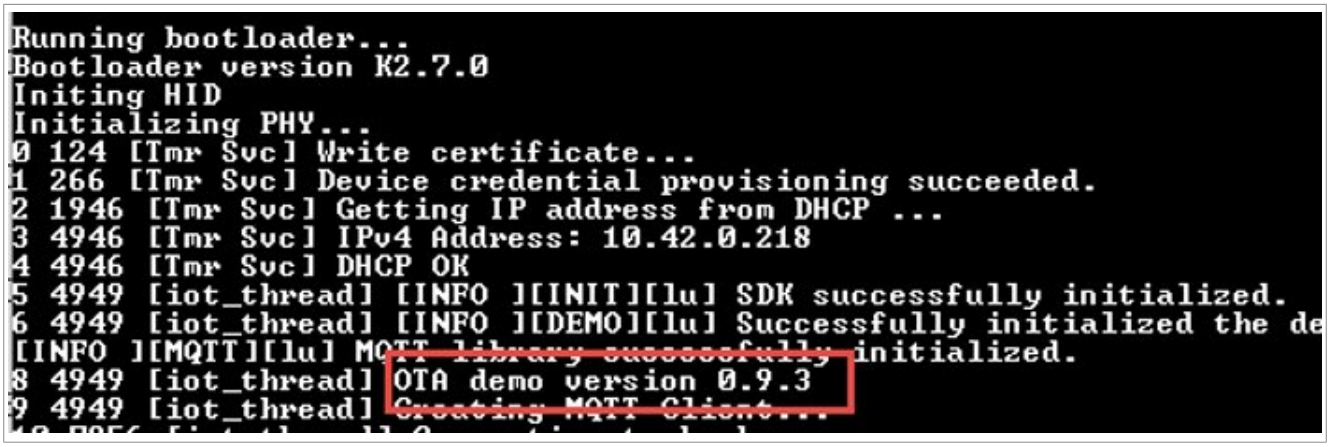
```
56 19633 [iot_thread] State: Ready  Received: 1   Queued: 1   Processed: 1   Dropped: 0
57 20633 [iot_thread] State: Ready  Received: 1   Queued: 1   Processed: 1   Dropped: 0
59 21291 [OTA Task] [prvParseJSONbyModel] Extracted parameter [ streamname: AFR_OTA-906e2011-a543-460 21300 [OTA Task]
 [prvParseJSONbyModel] Extracted parameter [ filepath: /device/updates ]
61 21308 [OTA Task] [prvParseJSONbyModel] Extracted parameter [ filesize: 260608 ]
62 21315 [OTA Task] [prvParseJSONbyModel] Extracted parameter [ fileid: 0 ]
[prvParseJSONbyModel] Extracted parameter [ sig-sha256-ecdsa: MEUCIQC2HsafgBckf65 21340 [OTA Task] [prvParseJobDoc] J
ob was accepted. Attempting to start transfer.
57 21358 [OTA Task] [INFO ][MQTT][lu] (MQTT connection 2020b468, SUBSCRIBE operation 2020b7b8) Wai68 21445 [OTA Task]
[INFO ][MQTT][lu] (MQTT connection 2020b468, SUBSCRIBE operation 2020b7b8) Wai69 21454 [OTA Task] [prvSubscribeToDataS
```

7. Start file transfer.



8. Swap.

9. Device gets restarted, then the new application starts running.



7 Revision history

This table summarizes revisions to this document.

Table 1. Revision history

Revision number	Date	Substantive changes
0	12/2019	Initial release
1	01 June 2020	Updated for MCUXpresso SDK v2.8.0
2	15 June 2022	Layout updated for MCUXpresso SDK v2.12.0. Added a note in the overview section and made some editorial changes.
3	27 July 2023	Updated for MCUXpresso SDK v2.14.0 for changes in the ota_demo application.
4	10 January 2024	Updated for MCUXpresso SDK v2.15.000.

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